#### VAUGHN ROBERT C

Form 4

December 20, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* VAUGHN ROBERT C

2. Issuer Name and Ticker or Trading

Issuer

5. Relationship of Reporting Person(s) to

Symbol DORCHESTER MINERALS, L.P.

(Check all applicable)

[DMLP]

(Last) (First) 3. Date of Earliest Transaction

\_X\_\_ Director Officer (give title

10% Owner \_ Other (specify

(Month/Day/Year)

12/19/2017

6116 N. CENTRAL EXPRESSWAY, SUITE 1440

> (Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**DALLAS, TX 75206** 

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-                            | Derivativ                         | e Secu                       | rities Acqui  | red, Disposed of,  | or Beneficial  | ly Owned  |
|--------------------------------------|---|---|--|-----------------------------------|------------------------------|---------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securionor Dispo<br>(Instr. 3, | sed of<br>4 and<br>(A)<br>or | ` ′           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Units                      | 12/19/2017                              |   | P                                      | 2,000                             | A                            | \$<br>14.5922 | 486,735  | I  | · <u>(1)</u>  |
| Common<br>Units                      | 12/19/2017                              |   | P                                      | 475                               | A                            | \$<br>14.6399 | 487,210  | I  | . (1)   |
| Common<br>Units                      | 12/19/2017                              |   | P                                      | 200                               | A                            | \$ 14.575     | 10,937   | D (2)  |   |
| Common<br>Units                      | 12/19/2017                              |   | P                                      | 2,398                             | A                            | \$<br>14.5999 | 13,335   | D (2)  |   |
| Common<br>Units                      | 12/19/2017                              |   | P                                      | 700                               | A                            | \$ 14.6       | 14,035   | D (2)  |   |

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| Units           | 12/19/2017 | P | 1,702 | A | \$ 14.6 | 15,737     | D (2) |       |
|-----------------|------------|---|-------|---|---------|------------|-------|-------|
| Common<br>Units |            |   |       |   |         | 20,000.686 | I     | · (3) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transa<br>Code<br>(Instr. 3 | ction<br>8) | 5. inNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | ate                | Secur | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|--------------------------------------|---|-----------------------------------|-------------|---|---------------------|--------------------|-------|--|---|
|   |   |                                      |   | Code                              | V           | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| · <b>F</b>  | Director      | 10% Owner | Officer | Other |  |  |  |
| VAUGHN ROBERT C<br>6116 N. CENTRAL EXPRESSWAY<br>SUITE 1440<br>DALLAS, TX 75206 | X             |           |         |       |  |  |  |
| Ciamotuwoo  |               |           |         |       |  |  |  |

### **Signatures**

Common

/s/ Robert C.
Vaughn

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) These common units are held by Vaughn Petroleum (DMLP), LLC. Mr. Vaughn and his spouse are the only unit holders of Vaughn Petroleum (DMLP), LLC.
- (2) These common units are held by Mr. Vaughn in his individual name, IRA or Keogh Plan.
  - These common units are held by Empire Partners, Ltd. Mr. Vaughn is the President of Empire (GP), Inc., the general partner of Empire
- (3) Partners, Ltd. Mr. Vaughn and his spouse are the shareholders of Empire (GP), Inc. Mr. Vaughn disclaims beneficial ownership of such common units, except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.