Edgar Filing: BIOLARGO, INC. - Form 4

| BIOLARGO, INC Form 4 | 2. | | | | | | | | | | |
|---|--|--|---|---|--------------------------|--|--|--|---|--|--|
| January 04, 2017 | | | | | | | | | | | |
| FORM 4 | | | CECU | | | | | T | PPROVAL | | |
| | | SIAIES | | shington | | | COMMISSIO | NomB Number: | 3235-0287 | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations | ANGES IN BENEFICIAL OWNERSHIP OF SECURITIES on 16(a) of the Securities Exchange Act of 1934, | | | | | Expires:January 31 2009Estimated average burden hours per response0.9 | | | | | |
| obligations may continue.Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).1(b). | | | | | | | | | | | |
| (Print or Type Respon | nses) | | | | | | | | | | |
| 1. Name and Address Roberts Kent Ch | 2. Issuer Name and Ticker or Trading Symbol BIOLARGO, INC. [BLGO] | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | |
| | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/31/2016 | | | Officer (give title Other (specify below) below) | | | | | |
| Filed(| | | | Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| SAN MARINO, | CA 91108 | | | | | | Person | | -F8 | | |
| (City) (| State) | (Zip) | Tab | le I - Non-l | Derivative | Securities A | cquired, Disposed | of, or Beneficia | lly Owned | | |
| | ansaction Date th/Day/Year) | 2A. Deeme Execution any (Month/Da | Date, if | 3. Transactio Code (Instr. 8) Code V | Disposed (Instr. 3, | (A) or of (D) 4 and 5) (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Reminder: Report on | a separate line | for each cla | ass of sec | urities bene | ficially ow | ned directly of | or indirectly. | | | | |
| | | | | | Perso inforr requi | ons who res nation cont red to responses ays a current | pond to the colle ained in this forn ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | | 3. Transaction Date | | | | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|------------|--------------|-------------------------|------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | orDerivative | Expiration Date | Underlying Securities |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Acquired (A) | | |

| | Derivative Security | | | | or Dispose (D) (Instr. 3, 4 and 5) | | | | | |
|--|------------------------|------------|------|---|---|-----|---------------------|--------------------|-----------------|-------------------------------------|
| | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Option to Purchase Common Stock | \$ 0.83 | 12/31/2016 | А | | 18,072 | | 12/31/2016 | 12/31/2026 | Common Stock | 18,072 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Roberts Kent Charles II 1146 OXFORD ROAD SAN MARINO, CA 91108 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/ Kent Charles Roberts II | 01/04/2017 | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Option was issued to Reporting Person as payment for \$15,000 in fees due to Reporting Person by Issuer in exchange for services on its board of directors pursuant to a plan adopted by the Issuer's Board to retire outstanding accounts payable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.