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ENERGY FOCUS, INC/DE

Form 3

February 13, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

3235-0104 Number:

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

A Miller Marcia Jean

(Last) (First)

(Middle)

(Month/Day/Year)

02/05/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

ENERGY FOCUS, INC/DE [EFOI]

ENERGY FOCUS,

INC., 32000 AURORA ROAD,

SUITE B

(Street)

Statement

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

10% Owner Director _X__ Officer Other

(give title below) (specify below) Interim CFO

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SOLON. OHÂ 44139

(City) (State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security 2. Amount of Securities Beneficially Owned (Instr. 4)

(Instr. 4)

3. Ownership

4. Nature of Indirect Beneficial Ownership

Form: Direct (D)

or Indirect

(Instr. 5)

Â

(I) (Instr. 5)

Common Stock

6,415

D

SEC 1473 (7-02)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial

Ownership Price of Derivative (Instr. 5) Derivative Security:

1

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option	03/23/2013(1)	03/23/2022	Common Stock	1,000	\$ 3.9	D	Â
Stock Option	02/15/2014(2)	02/15/2023	Common Stock	2,000	\$ 2.3	D	Â
Stock Option	07/19/2014(2)	07/19/2023	Common Stock	2,500	\$ 4.1	D	Â
Common Stock Option	01/28/2015(2)	01/28/2024	Common Stock	5,000	\$ 4.1	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
Miller Marcia Jean						
ENERGY FOCUS, INC.	â	Â	Interim CFO	â		
32000 AURORA ROAD, SUITE B	А	Α	A Interim Cro	Α		
SOLON Â OHÂ 44139						

Signatures

/s/ Marcia Miller 02/13/2015

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- One quarter vests on the date shown, which is the first anniversary following the grant date. The remainder vests in equal monthly installments through the following three-year period.
- (2) One third vests on the date shown, which is the first anniversary following the grant date. The remainder vests in equal monthly installments through the following two-year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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