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NUEVO ENERGY CO  
Form 8-K  
June 15, 2001

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

June 15, 2001  
Date of Report  
(Date of earliest event reported)

NUEVO ENERGY COMPANY  
(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation)	0-10537 (Commission File Number)	76-0304436 (I.R.S. Employer Identification No.)
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1021 Main Street, Suite 2100  
Houston, Texas 77002  
(Address of principal executive offices, including zip code)

(713) 652-0706  
(Registrant's telephone number, including area code)

Not Applicable  
(Former name and former address,  
if changed since last report)

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ITEM 1. CHANGES IN CONTROL OF REGISTRANT.

Not applicable to this filing.

ITEM 2. ACQUISITION OR DISPOSITION OF ASSETS.

Not applicable to this filing.

ITEM 3. BANKRUPTCY OR RECEIVERSHIP.

Not applicable to this filing.

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ITEM 4. CHANGES IN REGISTRANT'S CERTIFYING ACCOUNTANTS.

Not applicable to this filing.

ITEM 5. OTHER EVENTS.

Not applicable to this filing.

ITEM 6. RESIGNATION OF REGISTRANT'S DIRECTORS.

Not applicable to this filing.

ITEM 7. FINANCIAL STATEMENTS, PRO FORMA FINANCIAL INFORMATION AND EXHIBITS.

(a) FINANCIAL STATEMENTS OF BUSINESS ACQUIRED.

Not applicable to this filing.

(b) PRO FORMA FINANCIAL INFORMATION.

Not applicable to this filing.

(c) EXHIBITS.

EXHIBIT NUMBER -----	TITLE OF DOCUMENT -----
99.1	Nuevo Energy Company Second Quarter 2001 Financial Guidance

ITEM 8. CHANGE IN FISCAL YEAR.

Not applicable to this filing.

ITEM 9. REGULATION FD DISCLOSURE.

The information attached hereto as Exhibit 99.1 is incorporated herein by reference.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NUEVO ENERGY COMPANY

By: /s/ Robert M. King

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Robert M. King  
Senior Vice President and Chief  
Financial Officer

Dated: June 15, 2001

EXHIBIT INDEX

EXHIBIT NUMBER -----	TITLE OF DOCUMENT -----
99.1	Nuevo Energy Company Second Quarter 2001 Financial Guidance

Times New Roman, Times, serif; FONT-SIZE: 10pt">

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2-(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**LIQUIDMETAL TECHNOLOGIES, INC.**

**FORM 8-K**

**Item 5.01. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On September 13, 2013, Liquidmetal Technologies, Inc. (the “Company”) entered into Change of Control Agreements with Ricardo A. Salas, the Company’s Executive Vice President, Tony Chung, the Company’s Chief Financial Officer, and certain other executive officers who are not “named executive officers” of the Company for SEC reporting purposes. The Change of Control Agreements provide that if the executive officer’s employment with the Company is terminated without cause during the one-year period after a change of control of the Company, then the terminated officer will receive lump sum severance compensation in an amount equal to twelve months of his then-current base salary. For this purpose, “change of control” is defined, with certain exceptions, as a merger of the Company with a third-party, the sale of all or substantially all of the Company’s assets, or the acquisition by a single person or group of more than 50% of the combined voting power of the Company’s outstanding securities. “Cause” is defined in the Change of Control Agreements to include fraud, embezzlement, dishonesty, material harm to the Company, or an uncured failure to adequately perform job duties, among other things. Under the agreements, the executive officers will each also be entitled to the above-described severance compensation in the event he terminates his own employment within one year after a change of control because of a salary decrease or assignment to a lower-level position.

The foregoing does not purport to be a complete description of the Change of Control Agreements and is qualified in its entirety by reference to the full text of such document, which is attached as Exhibit 10.1 to this Form 8-K and is incorporated by reference herein.

**Item 9.01. Financial Statements and Exhibits.**

See the Exhibit Index set forth below for a list of exhibits included with this Form 8-K.

**Signature**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

LIQUIDMETAL TECHNOLOGIES, INC.

By:                   /s/ Tony Chung  
                          Tony Chung  
                          Chief Financial Officer

Date: September 17, 2013

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**EXHIBIT INDEX**

<u>Exhibit</u>	<u>Description</u>
<u>Number</u>	

10.1	Form of Change of Control Agreement, dated September 13, 2013.
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