Noranda Aluminum Holding CORP

Form 4 June 12, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

stock

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading RICKERTSEN CARL J Issuer Symbol Noranda Aluminum Holding CORP (Check all applicable) [NOR] 3. Date of Earliest Transaction (Last) (First) (Middle) X_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) 801 CRESCENT CENTRE 06/10/2015 DRIVE, SUITE 600 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting FRANKLIN, TN 37067 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 113 (1) A 06/10/2015 \$0 A 115,381 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Noranda Aluminum Holding CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(2)</u>	06/10/2015		A	55	(3)	(3)	Common stock	55	\$ 0
Restricted Stock Units	(2)	06/10/2015		A	8	<u>(4)</u>	<u>(4)</u>	Common stock	8	\$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
RICKERTSEN CARL J 801 CRESCENT CENTRE DRIVE SUITE 600 FRANKLIN, TN 37067	X						

Signatures

Gail E. Lehman, Attorney-in-Fact for Carl J. Rickertsen

06/13/2015 Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares reported were issued in lieu of dividend equivalents on restricted stock units (RSUs); the dividends were declared prior to the vesting date of the RSUs but paid after the vesting date.
- (2) Each restricted stock unit is the economic equivalent of one share of Issuer common stock.
- This report relates to restricted stock units (RSUs) awarded in the form of dividend equivalents on unvested underlying RSUs awarded on December 2, 2014, and on unvested underlying RSUs previously awarded as dividend equivalents thereon. Subsequent to the grant date, these RSUs will vest at the same times, and in the same proportions, as the previously awarded RSUs to which they relate. One-third of
- the outstanding RSUs will vest on each of June 30, 2015, September 30, 2015, and December 31, 2015, respectively.
- (4) This report relates to restricted stock units (RSUs) awarded in the form of dividend equivalents on unvested underlying RSUs awarded on January 1, 2015, and on unvested underlying RSUs previously awarded as dividend equivalents thereon. Subsequent to the grant date, these RSUs will vest at the same times, and in the same proportions, as the previously awarded RSUs to which they relate. One-third of

Reporting Owners 2

Edgar Filing: Noranda Aluminum Holding CORP - Form 4

the outstanding RSUs will vest on each of June 30, 2015, September 30, 2015, and December 31, 2015, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.