Noranda Aluminum Holding CORP Form 4

May 05, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287 Number:

**OMB APPROVAL** 

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Lehman Gail E

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Noranda Aluminum Holding CORP [NOR]

(Check all applicable)

(Last) (First) (Middle)

(Street)

(State)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

(Zip)

05/02/2015

below) Gen Counsel/Sec/Chief Adm Off

801 CRESCENT CENTRE DRIVE, SUITE 600

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

FRANKLIN, TN 37067

(City)

	Tuble 1 Tion 2011 with a Securities required, 2 is possed 0.1, or 2011 classified								
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ities A	cquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			d of	Securities	Form: Direct	Indirect
(Instr. 3)	•	any	Code	Code (D)			Beneficially	(D) or	Beneficial
· · ·		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
			,			Following	(Instr. 4)	(Instr. 4)	
							Reported		
					(A)		Transaction(s)		
			~		or	~ .	(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	,		
Common	05/02/2015		M	8,591	Α	\$ 0	84,977	D	
stock	03/02/2013		IVI	0,391	A	\$ U	04,977	D	
Common	05/02/2015		F	2.250	D	\$	92 627	D	
stock	03/02/2013		Г	2,330	ט	3.35	82,627	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock	<u>(1)</u>	05/02/2015		M		8,591	(2)	05/02/2024	Common stock	8,591

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
. 0	Director	10% Owner	Officer	Other				
Lehman Gail E 801 CRESCENT CENTRE DRIVE SUITE 600 FRANKLIN, TN 37067			Gen Counsel/Sec/Chief Adm Off					

### **Signatures**

/s/ Gail E.

Lehman 05/05/2015 \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of restricted stock is the economic equivalent of one share of Issuer common stock.
  - On May 2, 2015, the reporting person was granted 34,000 shares of restricted stock. On March 25, 2015, the reporting person was granted 111 additional shares of restricted stock in the form of dividend equivalents that, subsequent to the grant date, vest at the same times, and
- in the same proportions as the previously granted shares of restricted stock to which the dividend equivalent shares relate. One-fourth of the outstanding shares of restricted stock vested on May 2, 2015. One-third of the remaining shares of restricted stock (including shares of restricted stock subsequently granted as dividend equivalents) will vest on May 2, 2016, and two-thirds of the remaining shares of restricted stock (including shares of restricted stock subsequently granted as dividend equivalents) will vest on May 2, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2