Edgar Filing: STRIANESE ANTHONY T - Form 4

STRIANESE ANTHONY T Form 4 January 02, 2018 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							OMB Number: Expires: Estimated a burden hou response			
<i>See</i> Instruction 16(a) of the Investment Company Act of 1940 1(b).										
(Print or Type Respo	onses)									
STRIANESE ANTHONY T Symbol			r Name and Ticker or Trading N & BROWN, INC. [BRO]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	3. Date of Earliest Transaction				ito]	(Check all applicable)			
(Month/Da 220 S. RIDGEWOOD AVE. 01/01/20			/Day/Year) 2018				Director 10% Owner X Officer (give title Other (specify below) EVP and Pres. Wholesale Div.			
	endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
(City)							Person			
1.Title of 2. T	.Title of 2. Transaction Date 2A. Deemed Gecurity (Month/Day/Year) Execution Date, if			4. Securi (A) or D (Instr. 3,	ties A ispose	cquired d of (D)	uired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock, \$.10 01/ par value	/01/2018		F	2,575 (1)	D	\$ 51.46	31,612 <u>(2)</u>	D		
Common Stock, \$.10 01/ par value	/01/2018		F	1,569 (<u>3)</u>	D	\$ 51.46	30,043 <u>(2)</u>	D		
Common Stock, \$.10 par value (SIP)							79,205 <u>(4)</u>	D		
							15,152 <u>(5)</u>	D		

Common Stock, \$.10 par value (PSP)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	;	Date	Under Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
STRIANESE ANTHONY T 220 S. RIDGEWOOD AVE. DAYTONA BEACH, FL 32114			EVP and Pres. Wholesale Div					
Signatures								
Robert W. Lloyd for Anthony T. Attorney	Strianese	per Power o	of 01/02/2018					
<u>**</u> Signature of Repo	rting Person		Date					

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares were withheld by the Company solely to cover the income tax withholding requirements associated with the vesting of 9,144 shares of stock under the Company's Performance Stock Plan ("PSP").

(2)

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A total of 684 of these shares were acquired through the Company's Employee Stock Purchase Plan in July 2017. Number of shares may vary due to dividend reinvestment.

- (3) Shares were withheld by the Company solely to cover the income tax withholding requirements associated with the vesting of 5,570 shares of stock under the Company's 2010 Stock Incentive Plan ("SIP").
- (4) These securities were granted pursuant to the Company's SIP. The Reporting Person has voting rights and dividend entitlement with respect to these shares, but full ownership will not vest until the satisfaction of service-based conditions.

These securities were granted pursuant to the Company's PSP. Based on the satisfaction of conditions established pursuant to the PSP, the
 (5) Reporting Person has voting rights and dividend entitlement with respect to these shares based on the satisfaction of certain performance-based criteria, but full ownership will not vest until the satisfaction of additional conditions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.