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SCHWAB CHARLES CORP

Form 3 July 09, 2007

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement SCHWAB CHARLES CORP [SCHW] A Brigeman Benjamin L (Month/Day/Year) 07/02/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O THE CHARLES SCHWAB (Check all applicable) CORPORATION, Â 120 **KEARNY STREET** 10% Owner Director _X__ Officer (Street) Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) **EVP - Schwab Investor Services** _X_ Form filed by One Reporting Person SAN Form filed by More than One FRANCISCO, Â CAÂ 94108 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 13,337 D Common Stock 23,776 Ι by Trust Common Stock 1,532 I by 401(k) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)		
Non-Qualified Stock Option (right to buy)	02/25/2003	02/25/2009	Common Stock	11,250	\$ 23.3125	D	Â	
Incentive Stock Option (right to buy)	02/25/2003	02/25/2009	Common Stock	11,250	\$ 23.3125	D	Â	
Non-Qualified Stock Option (right to buy)	11/01/2003	11/01/2009	Common Stock	7,500	\$ 25.9167	D	Â	
Non-Qualified Stock Option (right to buy)	02/23/2004	02/23/2010	Common Stock	3,188	\$ 26.375	D	Â	
Incentive Stock Option (right to buy)	02/23/2004	02/23/2010	Common Stock	2,063	\$ 26.375	D	Â	
Non-Qualified Stock Option (right to buy)	10/25/2004	10/25/2010	Common Stock	5,000	\$ 31.0625	D	Â	
Non-Qualified Stock Option (right to buy)	12/15/2004	12/15/2010	Common Stock	33,000	\$ 28.75	D	Â	
Non-Qualified Stock Option (right to buy)	02/28/2003	02/28/2011	Common Stock	5,000	\$ 20.9	D	Â	
Incentive Stock Option (right to buy)	02/28/2005	02/28/2011	Common Stock	5,000	\$ 20.9	D	Â	
Non-Qualified Stock Option (right to buy)	05/04/2001	05/04/2011	Common Stock	2,500	\$ 20.68	D	Â	
Non-Qualified Stock Option (right to buy)	07/18/2004	07/18/2011	Common Stock	8,327	\$ 15.02	D	Â	
Incentive Stock Option (right to buy)	07/18/2005	07/18/2011	Common Stock	3,173	\$ 15.02	D	Â	
Non-Qualified Stock Option (right to buy)	07/18/2005	07/18/2011	Common Stock	55,000	\$ 15.02	D	Â	
Non-Qualified Stock Option (right to buy)	09/24/2005	09/24/2011	Common Stock	13,300	\$ 10.2	D	Â	
Non-Qualified Stock Option (right to buy)	02/27/2005	02/27/2012	Common Stock	13,993	\$ 13.11	D	Â	
Incentive Stock Option (right to buy)	02/27/2006	02/27/2012	Common Stock	6,007	\$ 13.11	D	Â	
Non-Qualified Stock Option (right to buy)	11/08/2006	11/08/2012	Common Stock	16,000	\$ 9.71	D	Â	

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Non-Qualified Stock Option (right to buy)	(1)	09/30/2011	Common Stock	21,898	\$ 9.19	D	Â
Non-Qualified Stock Option (right to buy)	(2)	10/30/2013	Common Stock	20,271	\$ 19.186	D	Â

Reporting Owners

Reporting Owner Name / Address Relationships

Â

Director 10% Owner Officer Other

EVP - Schwab Investor Services

Brigeman Benjamin L C/O THE CHARLES SCHWAB CORPORATION 120 KEARNY STREET SAN FRANCISCO, CAÂ 94108

Signatures

Benjamin L. 07/09/2007 Brigeman

**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were granted on 09/30/2004 under the 2004 Stock Incentive Plan and vest 40% on the first anniversary of the grant date and 20% on the second, third and fourth anniversary of the grant date.
- (2) The options were granted on 10/30/2006 under the 2004 Stock Incentive Plan and vest in four equal installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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