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Clough Global Allocation Fund
Form N-PX
August 25, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number: 811-21583

CLOUGH GLOBAL ALLOCATION FUND
(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203
(Address of principal executive offices) (Zip code)

Abigail J. Murray, Esq.
Clough Global Allocation Fund
1290 Broadway, Suite 1100
Denver, Colorado 80203
(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2014 – June 30, 2015

Item 1 – Proxy Voting Record.

Vote Summary

MAN WAH HOLDINGS LTD

| | | | |
|----------------|-----------------------------|--------------------|------------------------|
| Security | G5800U107 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 03-Jul-2014 |
| ISIN | BMG5800U1071 | Agenda | 705341270 - Management |
| Record Date | 26-Jun-2014 | Holding Recon Date | 26-Jun-2014 |
| City / Country | HONG KONG / Bermuda | Vote Deadline Date | 27-Jun-2014 |
| SEDOL(s) | B58VHF3 - B58YWF7 - B5WN7S8 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING. | | Non-Voting | |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0529/LTN20140529717.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0529/LTN20140529713.pdf | | Non-Voting | |
| 1 | TO RECEIVE, CONSIDER AND ADOPT THE REPORTS OF THE DIRECTORS AND THE AUDITORS AND THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR ENDED 31 MARCH 2014 | Management | For | For |
| 2 | TO DECLARE A FINAL DIVIDEND OF HK25.0 CENTS PER SHARE FOR THE YEAR ENDED 31 MARCH 2014 | Management | For | For |
| 3 | TO APPROVE THE RE-ELECTION OF MR. WONG MAN LI AS AN EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION) | Management | For | For |

| | | | |
|----|---|------------|-----|
| 4 | TO APPROVE THE RE-ELECTION OF MS. HUI WAI HING AS AN EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HER APPOINTMENT (INCLUDING REMUNERATION) | Management | For |
| 5 | TO APPROVE THE RE-ELECTION OF MR. ALAN MARNIE AS AN EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION) | Management | For |
| 6 | TO APPROVE THE RE-ELECTION OF MR. CHAU SHING YIM, DAVID AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION) | Management | For |
| 7 | TO RE-APPOINT DELOITTE TOUCHE TOHMATSU AS AUDITORS OF THE COMPANY AND TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO FIX THEIR REMUNERATION | Management | For |
| 8 | TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH NEW SHARES OF THE COMPANY NOT EXCEEDING 20% OF ITS ISSUED SHARE CAPITAL | Management | For |
| 9 | TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO REPURCHASE SHARES OF THE COMPANY NOT EXCEEDING 10% OF ITS ISSUED SHARE CAPITAL | Management | For |
| 10 | TO EXTEND THE GENERAL MANDATE GRANTED TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH NEW SHARES BY AN AMOUNT NOT EXCEEDING THE AMOUNT OF THE SHARES REPURCHASED BY THE COMPANY | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|----------------------|
| AL27 | AL27 | STATE STREET BANK | | 408,065 0 | | 31-May-2014 Jun-2014 |

GRUPO FINANCIERO BANORTE SAB DE CV

| | | | |
|----------------|---|--------------------|-------------------------------|
| Security | P49501201 | Meeting Type | ExtraOrdinary General Meeting |
| Ticker Symbol | | Meeting Date | 04-Jul-2014 |
| ISIN | MXP370711014 | Agenda | 705409464 - Management |
| Record Date | 23-Jun-2014 | Holding Recon Date | 23-Jun-2014 |
| City / Country | NUEVO LEON / Mexico | Vote Deadline Date | 23-Jun-2014 |
| SEDOL(s) | 2421041 - B01DHK6 - B2Q3MD3 - B57YQ34 - B59G4P6 - BHZLH61 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| I | DISCUSSION AND, IF DEEMED APPROPRIATE, APPROVAL TO AMEND ARTICLE 2 OF THE CORPORATE BYLAWS OF THE COMPANY, FOR THE PURPOSE OF CHANGING THE CORPORATE NAME FROM SEGUROS BANORTE GENERALI, S.A. DE C.V., GRUPO FINANCIERO BANORTE, AND PENSIONES BANORTE GENERALI, S.A. DE C.V., GRUPO FINANCIERO BANORTE, TO SEGUROS BANORTE, S.A. DE C.V., GRUPO FINANCIERO BANORTE, AND PENSIONES BANORTE, S.A. DE C.V., GRUPO FINANCIERO BANORTE, RESPECTIVELY, AND, AS A CONSEQUENCE, AUTHORIZATION TO SIGN THE NEW SINGLE AGREEMENT ON RESPONSIBILITIES | Management | For | For |
| II | DISCUSSION AND, IF DEEMED APPROPRIATE, APPROVAL TO AMEND THE CORPORATE BYLAWS OF THE COMPANY, IN ORDER TO ADAPT THEM TO THE DECREE BY WHICH AMENDMENTS, ADDITIONS AND EXCLUSIONS ARE MADE TO VARIOUS PROVISIONS REGARDING FINANCIAL MATTERS AND UNDER WHICH IS ISSUED THE LAW TO GOVERN FINANCIAL GROUPINGS, WHICH WAS PUBLISHED IN THE OFFICIAL GAZETTE | Management | For | For |

OF THE FEDERATION ON JANUARY 10, 2014, AND, AS A CONSEQUENCE, AUTHORIZATION TO SIGN THE NEW SINGLE AGREEMENT ON RESPONSIBILITIES, AS WELL AS TO APPROVE THE FULL EXCHANGE OF THE SHARE CERTIFICATES REPRESENTATIVE OF THE SHARE CAPITAL OF THE COMPANY, SO THAT THEY WILL CONTAIN THE REQUIREMENTS PROVIDED FOR IN ARTICLE 11 OF THE CORPORATE BYLAWS

III DESIGNATION OF A DELEGATE OR DELEGATES TO FORMALIZE AND CARRY OUT, IF DEEMED APPROPRIATE, THE RESOLUTIONS THAT ARE PASSED BY THE GENERAL MEETING Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|------------------------|
| AL27 | AL27 | | STATE STREET BANK | 105,888 0 | | 17-Jun-2014 - Jul-2014 |

COMPANHIA DE LOCACAO DAS AMERICAS

| | | | |
|----------------|--------------------|--------------------|-------------------------------|
| Security | P2R93B103 | Meeting Type | ExtraOrdinary General Meeting |
| Ticker Symbol | | Meeting Date | 01-Aug-2014 |
| ISIN | BRLCAMACNOR3 | Agenda | 705472873 - Management |
| Record Date | | Holding Recon Date | 29-Jul-2014 |
| City / Country | SAO PAULO / Brazil | Vote Deadline Date | 24-Jul-2014 |
| SEDOL(s) | B82CQN4 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF-ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING-INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY | | Non-Voting | |

CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE

CMMT PLEASE NOTE THAT VOTES 'IN FAVOR' AND 'AGAINST' IN THE SAME AGENDA ITEM ARE- NOT ALLOWED. ONLY VOTES IN FAVOR AND/OR ABSTAIN OR AGAINST AND/ OR ABSTAIN-ARE ALLOWED. THANK YOU Non-Voting

1 AMENDMENT OF THE WORDING A. OF ITEM 3 OF THE FIRST STOCK OPTION PLAN FOR SHARES OF THE COMPANY, WHICH WAS APPROVED ON SEPTEMBER 21, 2010, AND AMENDED ON FEBRUARY 23, 2012, AND ON MARCH 30, 2012, FROM HERE ONWARDS REFERRED TO AS PLAN I, AND B. OF ITEM 4.1 OF THE SECOND STOCK OPTION PLAN FOR SHARES OF THE COMPANY, WHICH WAS APPROVED ON NOVEMBER 23, 2012, AND AMENDED ON MARCH 30, 2012, AND ON OCTOBER 19, 2012, FROM HERE ONWARDS REFERRED TO AS PLAN II, IN ORDER TO ADJUST THE PERCENTAGE LIMITS IN RELATION TO THE SHARE CAPITAL OF THE COMPANY FOR THE GRANTING OF STOCK OPTIONS IT HAS ISSUED WITHIN THE FRAMEWORK OF PLANS I AND II Management No Action

2 RESTATEMENT OF PLANS I AND II AS A RESULT OF THE RESOLUTION CONTAINED IN THE AGENDA ITEM ABOVE Management No Action

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|------------------------|
| AL27 | AL27 | STATE STREET BANK | | 161,639 0 | | 19-Jul-2014#9-Jul-2014 |

BHG SA - BRAZIL HOSPITALITY GROUP, SAO PAULO

| | | | |
|---------------|-----------|--------------|-------------------------------|
| Security | P1668A101 | Meeting Type | ExtraOrdinary General Meeting |
| Ticker Symbol | | Meeting Date | 04-Sep-2014 |

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|----------------|-------------------------|--------------------|------------------------|
| ISIN | BRBHGRACNOR9 | Agenda | 705519289 - Management |
| Record Date | | Holding Recon Date | 26-Aug-2014 |
| City / Country | RIO DE JANEIRO / Brazil | Vote Deadline Date | 27-Aug-2014 |
| SEDOL(s) | B23CS02 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------------|------------------------|
| CMMT | <p>IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF AT-TORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING INSTRUCTION-S IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO BE REJECTED-. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE</p> | | Non-Voting | |
| CMMT | <p>PLEASE NOTE THAT VOTES 'IN FAVOR' AND 'AGAINST' IN THE SAME AGENDA ITEM ARE NO-T ALLOWED. ONLY VOTES IN FAVOR AND/OR ABSTAIN OR AGAINST AND/ OR ABSTAIN ARE A-LLOWED. THANK YOU</p> | | Non-Voting | |
| CMMT | <p>PLEASE NOTE THAT ALTHOUGH THERE ARE 3 COMPANIES TO BE ELECTED, THERE IS ONLY 1-VACANCY AVAILABLE TO BE FILLED AT THE MEETING. THE STANDING INSTRUCTIONS FOR-THIS MEETING WILL BE DISABLED AND, IF YOU CHOOSE, YOU ARE REQUIRED TO VOTE FOR-ONLY 1 OF THE 3 COMPANIES. THANK YOU.</p> | | Non-Voting | |
| I.A | <p>TO CHOOSE, IN ACCORDANCE WITH ARTICLE 12, LINE IX, OF THE CORPORATE BYLAWS OF THE COMPANY, FROM AMONG THE COMPANIES NOMINATED BY THE BOARD OF DIRECTORS OF THE COMPANY, WHICH IS N. M. ROTHSCHILD AND SONS, BRASIL, LTDA., THE SPECIALIZED COMPANY</p> | | Management | |

RESPONSIBLE FOR THE PREPARATION OF THE VALUATION REPORT FOR THE SHARES OF THE COMPANY, WITHIN THE FRAMEWORK OF THE PUBLIC TENDER OFFER THAT WAS THE SUBJECT OF THE NOTICE OF MATERIAL FACT THAT WAS RELEASED ON AUGUST 8, 2014

I.B TO CHOOSE, IN ACCORDANCE WITH ARTICLE 12, LINE IX, OF THE CORPORATE BYLAWS OF THE COMPANY, FROM AMONG THE COMPANIES NOMINATED BY THE BOARD OF DIRECTORS OF THE COMPANY, WHICH IS BANCO SANTANDER S.A., THE SPECIALIZED COMPANY RESPONSIBLE FOR Management

THE PREPARATION OF THE VALUATION REPORT FOR THE SHARES OF THE COMPANY, WITHIN THE FRAMEWORK OF THE PUBLIC TENDER OFFER THAT WAS THE SUBJECT OF THE NOTICE OF MATERIAL FACT THAT WAS RELEASED ON AUGUST 8, 2014

I.C TO CHOOSE, IN ACCORDANCE WITH ARTICLE 12, LINE IX, OF THE CORPORATE BYLAWS OF THE COMPANY, FROM AMONG THE COMPANIES NOMINATED BY THE BOARD OF DIRECTORS OF THE COMPANY, WHICH IS BANCO DE INVESTIMENTOS CREDIT SUISSE, BRASIL, S.A., THE SPECIALIZED COMPANY RESPONSIBLE FOR THE PREPARATION OF THE VALUATION REPORT FOR THE SHARES OF THE COMPANY, WITHIN THE FRAMEWORK OF THE PUBLIC TENDER OFFER THAT WAS THE SUBJECT OF THE NOTICE OF MATERIAL FACT THAT WAS RELEASED ON AUGUST 8, 2014 Management

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-----------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 59,300 | 0 | | |

VINDA INTERNATIONAL HOLDINGS LTD

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| | | | |
|----------------|----------------------------|--------------------|-------------------------------|
| Security | G9361V108 | Meeting Type | ExtraOrdinary General Meeting |
| Ticker Symbol | | Meeting Date | 12-Sep-2014 |
| ISIN | KYG9361V1086 | Agenda | 705515712 - Management |
| Record Date | 11-Sep-2014 | Holding Recon Date | 11-Sep-2014 |
| City / Country | HONG KONG / Cayman Islands | Vote Deadline Date | 29-Aug-2014 |
| SEDOL(s) | B1Z7648 - B235FQ6 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING | | Non-Voting | |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0822/LTN20140822242.PDF - http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0822/LTN20140822234.pdf | | Non-Voting | |
| 1 | (A) THE SALE AND PURCHASE AGREEMENT DATED 17 JULY 2014 (THE "SALE AND PURCHASE AGREEMENT") ENTERED INTO BETWEEN THE COMPANY AND SCA GROUP HOLDING BV IN RESPECT OF THE ACQUISITION OF (1) THE ENTIRE ISSUED SHARE CAPITAL IN SCA TISSUE HONG KONG LIMITED, (2) THE ENTIRE ISSUED SHARE CAPITAL OF SCA HEALTHCARE MANAGEMENT PTE. LTD., (3) THE ENTIRE EQUITY INTEREST OF (AS SPECIFIED)(IN ENGLISH, FOR IDENTIFICATION PURPOSE ONLY, EVERBEAUTY INDUSTRY (FUJIAN) CO., LTD.), AND (4) THE PRC ASSETS (AS DEFINED IN THE COMPANY'S CIRCULAR DATED 22 AUGUST 2014) FOR AN INITIAL PURCHASE PRICE OF | Management | For | For |

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HKD 1,144,000,000 AND THE TRANSACTIONS CONTEMPLATED THEREUNDER, ARE HEREBY APPROVED, CONFIRMED AND RATIFIED; AND (B) THE DIRECTORS OF THE COMPANY ARE HEREBY AUTHORISED TO DO ALL SUCH ACTS AND THINGS AND EXECUTE ALL SUCH DOCUMENTS WHICH THEY CONSIDERS CONTD

CONTD NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION-WITH, THE IMPLEMENTATION OF AND GIVING EFFECT TO THE SALE AND PURCHASE-AGREEMENT AND THE TRANSACTIONS CONTEMPLATED THEREUNDER

CONT

Non-Voting

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|----------------------------|
| AL27 | AL27 | | STATE STREET BANK | 480,498 0 | | 23-Aug-2014 14-Sep-2014 |

BHG SA - BRAZIL HOSPITALITY GROUP, SAO PAULO

| | | | |
|----------------|-------------------------|--------------------|-------------------------------|
| Security | P1668A101 | Meeting Type | ExtraOrdinary General Meeting |
| Ticker Symbol | | Meeting Date | 19-Sep-2014 |
| ISIN | BRBHGRACNOR9 | Agenda | 705517475 - Management |
| Record Date | | Holding Recon Date | 17-Sep-2014 |
| City / Country | RIO DE JANEIRO / Brazil | Vote Deadline Date | 11-Sep-2014 |
| SEDOL(s) | B23CS02 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF-ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING-INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY | | Non-Voting | |

CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE

CMMT PLEASE NOTE THAT VOTES 'IN FAVOR' AND 'AGAINST' IN THE SAME AGENDA ITEM ARE- NOT ALLOWED. ONLY VOTES IN FAVOR AND/OR ABSTAIN OR AGAINST AND/ OR ABSTAIN-ARE ALLOWED. THANK YOU Non-Voting

1 TO APPROVE THE DELISTING OF THE COMPANY AS A PUBLICLY TRADED COMPANY AND, AS A CONSEQUENCE, ITS DELISTING FROM THE SPECIAL TRADING SEGMENT OF THE NOVO MERCADO OF THE BM AND FBOVESPA S.A., BOLSA DE VALORES, MERCADORIAS E FUTUROS, CONDITIONED ON THE PUBLIC TENDER OFFER THAT IS THE SUBJECT OF THE NOTICE OF MATERIAL FACT THAT WAS RELEASED ON AUGUST 8, 2014, BEING CARRIED OUT BY RAZUYA EMPREENDIMENTOS E PARTICIPACOES S.A., IN ACCORDANCE WITH ITS TERMS AND CONDITIONS Management No Action

CMMT 26 AUG 2014: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF MEETING LOC-ATION. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS-YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU. Non-Voting

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 59,300 0 | | 26-Aug-2014 | 26-Aug-2014 |

DON QUIJOTE HOLDINGS CO.,LTD.

| | | | |
|---------------|--------------|--------------|------------------------|
| Security | J1235L108 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 26-Sep-2014 |
| ISIN | JP3639650005 | Agenda | 705553471 - Management |
| Record Date | 30-Jun-2014 | | 30-Jun-2014 |

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| | | | | | |
|----------|---------|-----------------------------|---------|--------------------|-------------|
| City / | Country | TOKYO | / Japan | Holding Recon Date | |
| | | | | Vote Deadline Date | 10-Sep-2014 |
| SEDOL(s) | | 5767753 - 6269861 - B021NZ6 | | Quick Code | 75320 |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| | Please reference meeting materials. | | Non-Voting | |
| 1 | Approve Appropriation of Surplus | Management | For | For |
| 2.1 | Appoint a Director | Management | For | For |
| 2.2 | Appoint a Director | Management | For | For |
| 2.3 | Appoint a Director | Management | For | For |
| 2.4 | Appoint a Director | Management | For | For |
| 2.5 | Appoint a Director | Management | For | For |
| 2.6 | Appoint a Director | Management | For | For |
| 3 | Appoint a Corporate Auditor | Management | For | For |
| 4 | Approve Payment of Accrued Benefits associated with Abolition of Retirement Benefit System for Current Directors | Management | For | For |
| 5 | Approve Payment of Accrued Benefits associated with Abolition of Retirement Benefit System for Current Corporate Auditors | Management | For | For |
| 6 | Approve Issuance of Share Acquisition Rights as Stock-Linked Compensation Type Stock Options for Directors | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|---------------------|
| AL27 | AL27 | | STATE STREET BANK | 6,900 | 0 | 10-Sep-2014 |

GCL-POLY ENERGY HOLDINGS LTD

| | | | |
|---------------|--------------|--------------|-------------------------------|
| Security | G3774X108 | Meeting Type | ExtraOrdinary General Meeting |
| Ticker Symbol | | Meeting Date | 15-Oct-2014 |
| ISIN | KYG3774X1088 | Agenda | 705577926 - Management |

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| | | | |
|----------------|---------------------------------------|--------------------|-------------|
| Record Date | 14-Oct-2014 | Holding Recon Date | 14-Oct-2014 |
| City / Country | HONG KONG / Cayman Islands | Vote Deadline Date | 01-Oct-2014 |
| SEDOL(s) | B28XTR4 - B2971P7 - B51NPM9 - BP3RTY9 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING | | Non-Voting | |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0923/LTN20140923433.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/0923/LTN20140923426.pdf | | Non-Voting | |
| 1 | TO APPROVE THE SHARE OPTION SCHEME OF GCL NEW ENERGY HOLDINGS LIMITED AND AUTHORIZE ITS BOARD TO GRANT OPTIONS | Management | For | For |
| 2 | TO RE-ELECT MR. YEUNG MAN CHUNG, CHARLES AS AN EXECUTIVE DIRECTOR OF THE COMPANY | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|---------------------|
| AL27 | AL27 | | STATE STREET BANK | 1,501,000 | | 24-Sep-2014 |

GRUPO FINANCIERO BANORTE SAB DE CV

| | | | |
|----------------|---------------------|--------------------|--------------------------|
| Security | P49501201 | Meeting Type | Ordinary General Meeting |
| Ticker Symbol | | Meeting Date | 22-Oct-2014 |
| ISIN | MXP370711014 | Agenda | 705590253 - Management |
| Record Date | 09-Oct-2014 | Holding Recon Date | 09-Oct-2014 |
| City / Country | NUEVO LEON / Mexico | | 14-Oct-2014 |

| SEDOL(s) | Quick Code | Vote Deadline Date |
|---|------------|--------------------|
| 2421041 - B01DHK6 - B2Q3MD3 - B57YQ34 - B59G4P6 - BHZLH61 | | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|-------|---|-------------|------|------------------------|
| 1.I | IT IS PROPOSED TO APPOINT CARLOS HANK GONZALEZ AS PROPRIETARY PATRIMONIAL MEMBER OF THE BOARD SUBSTITUTING GRACIELA GONZALEZ MORENO | Management | For | For |
| 1.II | IT IS PROPOSED TO APPOINT GRACIELA GONZALEZ MORENO AS ALTERNATE MEMBER OF THE BOARD SUBSTITUTING ALEJANDRO HANK GONZALEZ, WHO IS RELIEVED FROM ALL RESPONSIBILITY FOR THE LEGAL PERFORMANCE OF HIS POSITION | Management | For | For |
| 1.III | BASED ON THE ARTICLE FORTY OF THE CORPORATE BY-LAWS, IT IS PROPOSED THAT THE FORMERLY MENTIONED MEMBERS OF DE BOARD ARE EXEMPT FROM THE RESPONSIBILITY OF PROVIDING A BOND OR MONETARY GUARANTEE FOR BACKING THEIR PERFORMANCE WHEN CARRYING OUT THEIR DUTIES | Management | For | For |
| 2 | DISCUSSION, AND IF THE CASE, APPROVAL OF A PROPOSED CASH DIVIDEND PAYMENT EQUIVALENT TO PS 0.2435 PER SHARE. IT IS PROPOSED TO DISTRIBUTE A CASH DIVIDEND OF PS. 0.2435 PER SHARE, DERIVED FROM THE RETAINED EARNINGS OF PRIOR YEARS. THIS DIVIDEND CORRESPONDS TO THE FIRST OF FOUR PAYMENTS THAT WILL BE MADE FOR A TOTAL AMOUNT OF PS. 0.9740 PER SHARE. IT IS PROPOSED THAT THE FIRST DISBURSEMENT BE PAID ON OCTOBER 31, 2014. THE TOTAL AMOUNT OF THE DIVIDEND TO BE PAID IN FOUR DISBURSEMENTS REPRESENTS 20% OF THE RECURRING PROFITS GENERATED IN 2013 | Management | For | For |

| | | | |
|------|---|------------|-----|
| 3 | <p>DISCUSSION, AND IF THE CASE, APPROVAL OF THE ESTABLISHMENT AND OPERATION OF A SHARE PURCHASE PLAN TO PAY THE INCENTIVE PLANS, ACCORDING TO THE AUTHORIZATION OF THE BOARD OF DIRECTORS. IT IS PROPOSED TO ESTABLISH AN INCENTIVE PLAN FOR THE EMPLOYEES OF THE COMPANY AND ITS SUBSIDIARIES TO BE PAID THROUGH REPRESENTATIVE SHARES OF THE COMPANY'S EQUITY ACCORDING TO ARTICLES 57, 366 AND 367 OF THE SECURITIES MARKET LAW. THE OBJECTIVE OF THIS PLAN IS TO CONTINUE</p> | Management | For |
| | <p>ALIGNING THE INCENTIVES BETWEEN THE MANAGEMENT OF THE FINANCIAL GROUP AND ITS SHAREHOLDERS, GRANTING STOCK PLANS TO EXECUTIVES AS PART OF THEIR TOTAL COMPENSATION IN ORDER TO PROMOTE THE ACHIEVEMENT OF THE INSTITUTIONS' STRATEGIC GOALS. TO OPERATE THE PLAN, IT IS REQUIRED TO ALLOCATE FUNDS FOR THE ACQUISITION OF REPRESENTATIVE SHARES OF THE COMPANY'S EQUITY. THIS MAY BE CONTD</p> | | |
| CONT | <p>CONTD OPERATED THROUGH THE SHARE REPURCHASE FUND. IT IS PROPOSED TO DELEGATE-TO THE HUMAN RESOURCES COMMITTEE, ACTING THROUGH THE ASSIGNATIONS' COMMITTEE,-THE FACULTY TO ESTABLISH THE TERMS AND CONDITIONS OF THE PLAN. FURTHERMORE,-IT IS REQUESTED TO RATIFY CERTAIN RESOLUTIONS AGREED FORMERLY BY THE BOARD OF-DIRECTORS RELATED TO THE IMPLEMENTATION OF THE PLAN</p> | Non-Voting | |
| 4 | <p>EXTERNAL AUDITOR'S REPORT ON THE COMPANY'S TAX SITUATION</p> | Management | For |
| 5 | <p>DESIGNATION OF DELEGATE(S) TO FORMALIZE AND EXECUTE THE RESOLUTIONS PASSED BY THE ASSEMBLY</p> | Management | For |

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| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|--|---------------------------------------|------------------|-------------------|--------------------|--------------------|-------------------------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 105,888 0 | | 04-Oct-2014 | 17-Oct-2014 |
| SEMICONDUCTOR MANUFACTURING INTERNATIONAL CORP, GE | | | | | | | |
| Security | G8020E101 | | | Meeting Type | | ExtraOrdinary General Meeting | |
| Ticker Symbol | | | | Meeting Date | | 05-Nov-2014 | |
| ISIN | KYG8020E1017 | | | Agenda | | 705618811 - Management | |
| Record Date | 31-Oct-2014 | | | Holding Recon Date | | 31-Oct-2014 | |
| City / Country | SHANGHAI / Cayman Islands | | | Vote Deadline Date | | 28-Oct-2014 | |
| SEDOL(s) | 6743473 - B02QTC0 - B1BJS42 - BP3RX47 | | | Quick Code | | | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING | | Non-Voting | |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1017/LTN20141017316.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1017/LTN20141017303.pdf | | Non-Voting | |
| 1.a | TO APPROVE, CONFIRM AND RATIFY THE DATANG PRE-EMPTIVE SHARE SUBSCRIPTION AGREEMENT IN RELATION TO THE ISSUE OF THE DATANG PRE-EMPTIVE SHARES AND THE TRANSACTIONS CONTEMPLATED THEREBY | Management | For | For |
| 1.b | TO APPROVE THE ISSUE OF THE DATANG PRE-EMPTIVE SHARES TO DATANG PURSUANT TO THE TERMS | Management | For | For |

AND CONDITIONS OF THE DATANG
PRE-EMPTIVE SHARE SUBSCRIPTION
AGREEMENT

| | | | |
|-----|--|------------|-----|
| 1.c | <p>TO APPROVE, CONFIRM AND RATIFY THE DATANG PRE-EMPTIVE BOND SUBSCRIPTION AGREEMENT IN RELATION TO THE ISSUE OF THE DATANG PRE-EMPTIVE BONDS AND THE TRANSACTIONS CONTEMPLATED THEREBY</p> | Management | For |
| 1.d | <p>TO APPROVE, SUBJECT TO THE COMPLETION OF THE DATANG PRE- EMPTIVE BOND SUBSCRIPTION AGREEMENT, THE CREATION AND ISSUE OF THE DATANG PRE-EMPTIVE BONDS TO DATANG PURSUANT TO THE TERMS AND CONDITIONS OF THE DATANG PRE-EMPTIVE BOND SUBSCRIPTION AGREEMENT</p> | Management | For |
| 1.e | <p>TO APPROVE THE DATANG SUPPLEMENTAL AGREEMENT IN RELATION TO AMENDMENTS OF THE PRE-EMPTIVE RIGHTS PROVISION IN THE DATANG SHARE PURCHASE AGREEMENT</p> | Management | For |
| 1.f | <p>TO AUTHORISE AND GRANT A SPECIFIC MANDATE TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH THE DATANG PRE-EMPTIVE SHARES AND THE DATANG CONVERSION SHARES UPON EXERCISE OF THE CONVERSION RIGHTS ATTACHING TO THE DATANG PRE-EMPTIVE BONDS ON AND SUBJECT TO THE TERMS AND CONDITIONS OF THE DATANG PREEMPTIVE SHARE SUBSCRIPTION AGREEMENT, THE DATANG PRE-EMPTIVE BOND SUBSCRIPTION AGREEMENT AND THE DATANG PRE-EMPTIVE BONDS</p> | Management | For |
| 1.g | <p>TO AUTHORISE ANY DIRECTOR(S) OF THE COMPANY TO ENTER INTO ANY AGREEMENT, DEED OR INSTRUMENT AND/OR TO EXECUTE AND DELIVER ALL SUCH DOCUMENTS AND/OR DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER</p> | Management | For |

TO BE NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH (I) THE IMPLEMENTATIONS AND COMPLETION OF THE DATANG PRE-EMPTIVE SHARE SUBSCRIPTION AGREEMENT, THE DATANG PRE-EMPTIVE BOND SUBSCRIPTION AGREEMENT, THE DATANG SUPPLEMENTAL AGREEMENT AND TRANSACTIONS CONTEMPLATED THEREUNDER AND/OR (II) ANY AMENDMENT, VARIATION OR MODIFICATION OF THE DATANG PREEMPTIVE SHARE SUBSCRIPTION AGREEMENT, THE DATANG PRE-EMPTIVE BOND SUBSCRIPTION AGREEMENT, THE DATANG SUPPLEMENTAL AGREEMENT AND THE TRANSACTIONS CONTEMPLATED THEREUNDER

| | | | |
|-----|--|------------|-----|
| 2.a | <p>TO APPROVE, CONFIRM AND RATIFY THE COUNTRY HILL PRE-EMPTIVE SUBSCRIPTION AGREEMENT IN RELATION TO THE ISSUE OF THE COUNTRY HILL PRE-EMPTIVE SHARES AND THE TRANSACTIONS CONTEMPLATED THEREBY</p> | Management | For |
| 2.b | <p>TO APPROVE THE ISSUE OF THE COUNTRY HILL PRE-EMPTIVE SHARES TO COUNTRY HILL PURSUANT TO THE TERMS AND CONDITIONS OF THE COUNTRY HILL PRE-EMPTIVE SUBSCRIPTION AGREEMENT</p> | Management | For |
| 2.c | <p>TO AUTHORISE AND GRANT A SPECIFIC MANDATE TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH COUNTRY HILL PRE-EMPTIVE SHARES, ON AND SUBJECT TO THE TERMS AND CONDITIONS OF THE COUNTRY HILL PREEMPTIVE SUBSCRIPTION AGREEMENT</p> | Management | For |
| 2.d | <p>TO AUTHORISE ANY DIRECTOR(S) OF THE COMPANY TO ENTER INTO ANY AGREEMENT, DEED OR INSTRUMENT AND/OR TO EXECUTE AND DELIVER ALL SUCH DOCUMENTS AND/OR DO ALL SUCH ACTS ON BEHALF OF THE</p> | Management | For |

COMPANY AS HE/SHE MAY CONSIDER TO BE NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH (I)

THE IMPLEMENTATIONS AND COMPLETION OF THE COUNTRY HILL PRE-EMPTIVE SUBSCRIPTION AGREEMENT AND TRANSACTIONS CONTEMPLATED THEREUNDER AND/OR (II) ANY AMENDMENT, VARIATION OR MODIFICATION OF THE COUNTRY HILL PREEMPTIVE SUBSCRIPTION AGREEMENT AND THE TRANSACTIONS CONTEMPLATED THEREUNDER

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|-----------------|--------------|------------------|-------------------|--------------------|--------------------|------------------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 3,641,000 | 0 | 18-Oct-2014 | 18-Oct-2014 |
| WELLPOINT, INC. | | | | | | | |
| Security | 94973V107 | | | Meeting Type | | Special | |
| Ticker Symbol | WLP | | | Meeting Date | | 05-Nov-2014 | |
| ISIN | US94973V1070 | | | Agenda | | 934077834 - Management | |
| Record Date | 12-Sep-2014 | | | Holding Recon Date | | 12-Sep-2014 | |
| City / Country | | United States | | Vote Deadline Date | | 04-Nov-2014 | |
| SEDOL(s) | | | | Quick Code | | | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1. | TO AMEND THE ARTICLES OF INCORPORATION TO CHANGE THE NAME OF THE COMPANY FROM WELLPOINT, INC. TO ANTHEM, INC. | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK | 4,010 | 0 | 24-Sep-2014 | 24-Sep-2014 |

&
TRUST
CO

LAM RESEARCH CORPORATION

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 512807108 | Meeting Type | Annual |
| Ticker Symbol | LRCX | Meeting Date | 06-Nov-2014 |
| ISIN | US5128071082 | Agenda | 934078191 - Management |
| Record Date | 08-Sep-2014 | Holding Recon Date | 08-Sep-2014 |
| City / Country | / United States | Vote Deadline Date | 05-Nov-2014 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 MARTIN B. ANSTICE | | For | For |
| | 2 ERIC K. BRANDT | | For | For |
| | 3 MICHAEL R. CANNON | | For | For |
| | 4 YOUSSEF A. EL-MANSY | | For | For |
| | 5 CHRISTINE A. HECKART | | For | For |
| | 6 GRANT M. INMAN | | For | For |
| | 7 CATHERINE P. LEGO | | For | For |
| | 8 STEPHEN G. NEWBERRY | | For | For |
| | 9 KRISHNA C. SARASWAT | | For | For |
| | 10 WILLIAM R. SPIVEY | | For | For |
| | 11 ABHIJIT Y. TALWALKAR | | For | For |
| 2. | ADVISORY VOTE ON THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS OF LAM RESEARCH ("SAY ON PAY"). | Management | For | For |
| 3. | RATIFICATION OF THE APPOINTMENT OF THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2015. | Management | For | For |

Account Name

Custodian

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| Account Number | | Internal Account | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|-----|------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | 16,000 | 0 | 24-Sep-2014 | 24-Sep-2014 |

STATE STREET BANK & TRUST CO

CITIC SECURITIES CO LTD

| | | | |
|----------------|---------------------------------------|--------------------|-------------------------------|
| Security | Y1639N117 | Meeting Type | ExtraOrdinary General Meeting |
| Ticker Symbol | | Meeting Date | 22-Dec-2014 |
| ISIN | CNE1000016V2 | Agenda | 705691524 - Management |
| Record Date | 21-Nov-2014 | Holding Recon Date | 21-Nov-2014 |
| City / Country | BEIJING / China | Vote Deadline Date | 11-Dec-2014 |
| SEDOL(s) | B6SPB49 - B76VCF4 - B7WHGP4 - BP3RTD8 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1105/LTN20141105623.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1105/LTN20141105585.pdf | | Non-Voting | |
| 1 | THAT: THE COMPANY BE AND IS HEREBY APPROVED, RATIFIED AND CONFIRMED TO ABSORB AND MERGE WITH CITIC SECURITIES (ZHEJIANG) IN ACCORDANCE WITH THE PROPOSAL SET OUT IN THE SECTION HEADED "2. PROPOSED ABSORPTION AND MERGER OF THE WHOLLY-OWNED SUBSIDIARY CITIC SECURITIES (ZHEJIANG)" IN THE LETTER FROM THE BOARD CONTAINED IN THE CIRCULAR, AND TO AUTHORIZE ANY ONE OF THE DIRECTORS OR THE MANAGEMENT OF | Management | For | For |

THE COMPANY TO IMPLEMENT ALL MATTERS RELATING TO THIS ABSORPTION AND MERGER, INCLUDING WITHOUT LIMITATION TO, THE AUDIT, ASSESSMENTS (IF NECESSARY), APPROVALS, EXECUTION OF AN ABSORPTION AND MERGER AGREEMENT, TRANSFER OF ASSETS AND THE OWNERSHIP CHANGE, AND REGISTRATION FORMALITIES WITH COMPETENT INDUSTRY AND COMMERCE AUTHORITIES, AS WELL AS TO TAKE ALL OTHER NECESSARY ACTIONS

THAT: (A) SUBJECT TO THE REQUIRED APPROVAL OR ENDORSEMENT FROM OR REGISTRATION WITH THE RELEVANT REGULATORY AUTHORITIES IN THE PRC, THE PROPOSED CHANGE OF BUSINESS SCOPE OF THE COMPANY AND THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION (DETAILS OF WHICH ARE SET OUT IN THE SECTION HEADED "3. PROPOSED CHANGE OF BUSINESS SCOPE OF THE COMPANY AND AMENDMENTS TO THE ARTICLES OF ASSOCIATION" IN THE LETTER FROM THE BOARD CONTAINED IN THE CIRCULAR) BE AND ARE HEREBY APPROVED AND CONFIRMED; (B) ANY ONE OF THE DIRECTORS OR THE MANAGEMENT OF THE

2

Management

For

COMPANY BE AND IS HEREBY AUTHORIZED TO IMPLEMENT AND TAKE ALL STEPS AND TO DO ALL ACTS AND THINGS AS MAY BE NECESSARY OR DESIRABLE TO GIVE EFFECT TO THE PROPOSED CHANGE OF BUSINESS SCOPE OF THE COMPANY AND THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION, INCLUDING BUT NOT CONTD

CONT

CONTD LIMITED TO OBTAINING ALL NECESSARY APPROVALS FROM, AND UNDERTAKING-RELEVANT REGISTRATION AND FILING

Non-Voting

PROCEDURES WITH THE RELEVANT REGULATORY-AUTHORITIES IN THE PRC, AND SIGNING AND EXECUTING SUCH FURTHER DOCUMENTS, OR-DOING ANY OTHER MATTERS INCIDENTAL THERETO AND/OR AS CONTEMPLATED THEREUNDER,-AS SUCH DIRECTOR OR MANAGEMENT OF THE COMPANY MAY IN HIS/HER ABSOLUTE-DISCRETION DEEM FIT; AND (C) ANY ONE OF THE DIRECTORS OR THE MANAGEMENT OF-THE COMPANY BE AND IS HEREBY AUTHORIZED TO MAKE SUCH OTHER MODIFICATIONS TO-THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION AS MAY BE REQUIRED BY-THE RELEVANT REGULATORY AUTHORITIES IN THE PRC

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|---------------------------|---|------------------|-------------------|------------------------|--------------------|-------------------------------|
| AL27 | AL27 | | STATE STREET BANK | 319,500 0 | | 06-Nov-2011 17-Dec-2014 |
| HYPERMARCAS SA, SAO PAULO | | | | | | |
| Security | P5230A101 | | | Meeting Type | | ExtraOrdinary General Meeting |
| Ticker Symbol | | | | Meeting Date | | 22-Dec-2014 |
| ISIN | BRHYPEACNOR0 | | | Agenda | | 705741634 - Management |
| Record Date | | | | Holding Recon Date | | 18-Dec-2014 |
| City / Country | SAO PAULO / Brazil | | | Vote Deadline Date | | 12-Dec-2014 |
| SEDOL(s) | B2QY968 | | | Quick Code | | |
| Item | Proposal | Proposed by | Vote | For/Against Management | | |
| CMMT | IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF-ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING-INSTRUCTIONS IN THIS | | Non-Voting | | | |

| | | |
|------|---|----------------------------|
| CMMT | <p>MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE</p> <p>PLEASE NOTE THAT VOTES 'IN FAVOR' AND 'AGAINST' IN THE SAME AGENDA ITEM ARE- NOT ALLOWED. ONLY VOTES IN FAVOR AND/OR ABSTAIN OR AGAINST AND/ OR ABSTAIN-ARE ALLOWED. THANK YOU</p> | Non-Voting |
| I | <p>TO RATIFY THE PROTOCOL AND JUSTIFICATION OF SPIN OFF FROM HYPERMARCAS S.A. WITH THE MERGER OF THE SPUN OFF PORTION INTO COSMED INDUSTRIA DE COSMETICOS E MEDICAMENTOS S.A., WHICH WAS PREPARED BY THE EXECUTIVE COMMITTEES OF THE COMPANY AND OF COSMED AND WHICH ESTABLISHES, AMONG OTHER THINGS, THE TERMS AND CONDITIONS OF THE SPIN OFF FROM THE COMPANY, FROM HERE ONWARDS REFERRED TO AS THE SPIN OFF, FOLLOWED BY THE MERGER OF THE SPUN OFF PORTION OF ITS EQUITY, WHICH CONSISTS OF CERTAIN ASSETS AND LIABILITIES RELATED TO THE MANUFACTURE AND SALE OF CERTAIN MEDICATIONS, FROM HERE ONWARDS REFERRED TO AS THE SPUN OFF PORTION, INTO ITS WHOLLY OWNED SUBSIDIARY COSMED INDUSTRIA DE COMETICOS E MEDICAMENTOS S.A., A SHARE CORPORATION, WITH ITS HEAD OFFICE IN THE CITY OF BARUERI, STATE OF SAO PAULO, AT AVENIDA CECI 282, MODULE 1, CENTRO CONTD</p> | Management No Action |
| CONT | <p>CONTD EMPRESARIAL TAMBORE, TAMBORE, ZIP CODE 06460.120, WITH CORPORATE- TAXPAYER ID NUMBER, CNPJ.MF, 61.082.426.0002.07, FROM HERE ONWARDS REFERRED-TO AS COSMED, FROM HERE ONWARDS REFERRED TO AS THE MERGER OF THE SPUN OFF-PORION, FROM HERE ONWARDS REFERRED TO AS THE SPIN OFF</p> | Non-Voting |

PROTOCOL

- | | | |
|-----|--|-------------------------------------|
| II | <p>TO RATIFY THE APPOINTMENT AND HIRING OF CCA CONTINUITY AUDITORES INDEPENDENTES S.S., A SIMPLE PARTNERSHIP, WITH ITS HEAD OFFICE IN THE CITY OF SAO PAULO, STATE OF SAO PAULO, AT ALAMEDA SANTOS 2313, 2ND FLOOR, JARDIM PAULISTA, WHICH IS DULY REGISTERED WITH THE SAO PAULO REGIONAL ACCOUNTING COMMITTEE, CRC.SP, UNDER NUMBER 2SP025430.O.2, WITH CORPORATE TAXPAYER ID NUMBER, CNPJ.MF, 10.686.276.0001.29, FROM HERE ONWARDS REFERRED TO AS CCA, AS THE SPECIALIZED COMPANY RESPONSIBLE FOR THE PREPARATION OF THE VALUATION REPORT, IN REGARD TO THE VALUATION AT BOOK VALUE OF THE SPUN OFF PORTION, FOR THE PURPOSES OF THE SPIN OFF FROM THE COMPANY, OF THE MERGER OF THE SPUN OFF PORTION AND OF THE SHARE MERGER, AS DEFINED BELOW, ON THE BASIS DATE OF SEPTEMBER 30, 2014, FROM HERE ONWARDS REFERRED TO AS THE VALUATION REPORT</p> | <p>Management No Action</p> |
| III | <p>TO APPROVE THE VALUATION REPORT, IN REGARD TO THE SPIN OFF</p> | <p>Management No Action</p> |
| IV | <p>TO CONSIDER AND APPROVE THE PROPOSAL OF THE SPIN OFF FROM THE COMPANY, IN ACCORDANCE WITH THE SPIN OFF PROTOCOL AND IN ACCORDANCE WITH THE TERMS OF ARTICLE 229 OF THE BRAZILIAN CORPORATE LAW, WITH THE CONSEQUENT REDUCTION OF THE SHARE CAPITAL OF THE COMPANY, IN THE AMOUNT OF BRL 48,631,228.79, THROUGH THE CANCELLATION OF 4,150,727 COMMON, NOMINATIVE, BOOK ENTRY SHARES, THAT HAVE NO PAR VALUE, ISSUED BY THE COMPANY, PROPORTIONALLY TO THE EQUITY INTERESTS HELD BY THE SHAREHOLDERS OF THE COMPANY</p> | <p>Management No Action</p> |

- V TO CONSIDER AND APPROVE THE PROPOSAL FOR THE MERGER OF THE SPUN OFF PORTION INTO COSMED, IN ACCORDANCE WITH THE SPIN OFF PROTOCOL AND IN ACCORDANCE WITH THE TERMS OF ARTICLE 227 OF THE BRAZILIAN CORPORATE LAW, WITH THE CONSEQUENT INCREASE OF THE SHARE CAPITAL OF COSMED, IN THE AMOUNT OF BRL 48,631,228.79, THROUGH THE ISSUANCE OF 144,448,632 COMMON, NOMINATIVE SHARES THAT HAVE NO PAR VALUE BY COSMED, TO BE SUBSCRIBED FOR AND PAID IN BY THE SHAREHOLDERS OF THE COMPANY, AS A RESULT OF THE SPIN OFF, IN PROPORTION TO THEIR CURRENT EQUITY INTEREST IN THE SHARE CAPITAL OF THE COMPANY
- VI TO RATIFY THE PROTOCOL AND JUSTIFICATION OF THE MERGER OF SHARES OF COSMED INDUSTRIA DE COSMETICOS E MEDICAMENTOS S.A. INTO HYPERMARCAS S.A., THAT WAS PREPARED BY THE EXECUTIVE COMMITTEES OF THE COMPANY AND OF COSMED IN ACCORDANCE WITH THE TERMS OF ARTICLE 252 OF THE BRAZILIAN CORPORATE LAW, WHICH ESTABLISHES THE TERMS AND CONDITIONS OF THE SHARE MERGER, AS DEFINED BELOW, AND OF THE ACTS AND MEASURES CONTEMPLATED IN IT, FROM HERE ONWARDS REFERRED TO AS THE SHARE MERGER PROTOCOL
- VII TO RATIFY THE APPOINTMENT AND HIRING OF CCA AS THE SPECIALIZED COMPANY THAT IS RESPONSIBLE FOR THE PREPARATION OF THE VALUATION REPORT, IN REGARD TO THE BOOK VALUATION OF THE SHARES OF COSMED FOR THE PURPOSES OF THE SHARE MERGER, AS DEFINED BELOW, ON THE BASIS DATE OF SEPTEMBER 30, 2014
- VIII TO APPROVE THE VALUATION REPORT, IN REGARD TO THE SHARE MERGER,
- Management No Action
- Management No Action
- Management No Action
- Management No Action

AS DEFINED BELOW

IX TO CONSIDER AND APPROVE THE PROPOSAL FOR THE MERGER, INTO THE COMPANY, OF THE SHARES ISSUED BY COSMED, AS A RESULT OF THE SHARE CAPITAL INCREASE THAT OCCURRED DUE TO THE MERGER OF THE SPUN OFF PORTION INTO COSMED, FROM HERE ONWARDS REFERRED TO AS THE SHARE MERGER, IN ACCORDANCE WITH THE TERMS OF THE SHARE MERGER PROTOCOL, WITH THE CONSEQUENT INCREASE OF THE SHARE CAPITAL OF THE COMPANY IN THE AMOUNT OF BRL 48,631,228.79, THROUGH THE ISSUANCE OF 4,150,727 NEW, COMMON, NOMINATIVE, BOOK ENTRY SHARES THAT HAVE NO PAR VALUE, TO BE SUBSCRIBED FOR BY THE SHAREHOLDERS OF THE COMPANY IN PROPORTION TO THE EQUITY INTEREST THAT THEY CURRENTLY HAVE IN THE SHARE CAPITAL OF THE COMPANY

Management No
Action

X TO AUTHORIZE THE MANAGERS OF THE COMPANY TO DO ALL THE ACTS THAT ARE NECESSARY TO CARRY OUT THE RESOLUTIONS THAT ARE PROPOSED AND APPROVED BY THE SHAREHOLDERS OF THE COMPANY

Management No
Action

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 62,500 0 | | 06-Dec-2004 | 04-Dec-2014 |

MAN WAH HOLDINGS LTD

| | | | |
|----------------|---------------------|--------------------|-------------------------|
| Security | G5800U107 | Meeting Type | Special General Meeting |
| Ticker Symbol | | Meeting Date | 29-Dec-2014 |
| ISIN | BMG5800U1071 | Agenda | 705741951 - Management |
| Record Date | 23-Dec-2014 | Holding Recon Date | 23-Dec-2014 |
| City / Country | HONG KONG / Bermuda | Vote Deadline Date | 22-Dec-2014 |
| SEDOL(s) | | Quick Code | |

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B58VHF3 - B58YWF7 - B5WN7S8 -
BS7K5D6

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
|------|----------|-------------|------|------------------------|

| | | | | |
|------|---|------------|--|--|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/sehk/2014/1208/LTN20141208853.pdf -AND- http://www.hkexnews.hk/listedco/listconews/sehk/2014/1208/LTN20141208847.pdf | Non-Voting | | |
|------|---|------------|--|--|

| | | | | |
|------|---|------------|--|--|
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING | Non-Voting | | |
|------|---|------------|--|--|

| | | | | |
|---|--|------------|-----|-----|
| 1 | TO APPROVE THE INCREASE IN AUTHORISED SHARE CAPITAL OF THE COMPANY FROM HKD 500,000,000 DIVIDED INTO 1,250,000,000 SHARES TO HKD 2,000,000,000 DIVIDED INTO 5,000,000,000 SHARES | Management | For | For |
|---|--|------------|-----|-----|

| | | | | |
|---|---|------------|-----|-----|
| 2 | TO APPROVE THE BONUS ISSUE OF SHARES ON THE BASIS OF ONE (1) BONUS SHARE FOR EVERY ONE (1) EXISTING SHARE | Management | For | For |
|---|---|------------|-----|-----|

| | | | | |
|------|---|------------|--|--|
| CMMT | 12-DEC-2014: PLEASE NOTE THAT THIS IS A REVISION DUE TO CHANGE IN RECORD DATE-FROM 26-DEC-2014 TO 23-DEC-14. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE-DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK-YOU. | Non-Voting | | |
|------|---|------------|--|--|

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | STATE STREET BANK | | 408,065 | 0 | 09-Dec-2014 | 23-Dec-2014 |

HAITONG SECURITIES CO LTD

| | | | |
|----------|-----------|--------------|-------------------------------|
| Security | Y2988F101 | Meeting Type | ExtraOrdinary General Meeting |
|----------|-----------|--------------|-------------------------------|

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| | | | |
|----------------|-----------------------------|--------------------|------------------------|
| Ticker Symbol | | Meeting Date | 30-Dec-2014 |
| ISIN | CNE1000019K9 | Agenda | 705698617 - Management |
| Record Date | 28-Nov-2014 | Holding Recon Date | 28-Nov-2014 |
| City / Country | SHANGHAI / China | Vote Deadline Date | 22-Dec-2014 |
| SEDOL(s) | B71SXC4 - B8GNHM9 - BP3RVF4 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|-------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1111/LTN20141111669.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1111/LTN20141111683.pdf | | Non-Voting | |
| O.1.1 | ELECTION OF MR. WANG KAIGUO AS AN EXECUTIVE DIRECTOR OF THE COMPANY | Management | For | For |
| O.1.2 | ELECTION OF MR. QU QIUPING AS AN EXECUTIVE DIRECTOR OF THE COMPANY | Management | For | For |
| O.1.3 | ELECTION OF MR. ZHUANG GUOWEI AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY | Management | For | For |
| O.1.4 | ELECTION OF MR. CHEN BIN AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY | Management | For | For |
| O.1.5 | ELECTION OF MR. XU CHAO AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY | Management | For | For |
| O.1.6 | ELECTION OF MR. WANG HONGXIANG AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY | Management | For | For |
| O.1.7 | ELECTION OF MS. ZHANG XINMEI AS A NON- EXECUTIVE DIRECTOR OF THE COMPANY | Management | For | For |
| O.1.8 | ELECTION MR. HE JIANYONG AS A NON- EXECUTIVE DIRECTOR OF THE | Management | For | For |

COMPANY

| | | | |
|--------|--|------------|-----|
| O.1.9 | ELECTION OF MR. LIU CHEE MING AS AN INDEPENDENT NONEXECUTIVE DIRECTOR OF THE COMPANY | Management | For |
| O.1.10 | ELECTION OF MR. XIAO SUINING AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY | Management | For |
| O.1.11 | ELECTION OF MR. LI GUANGRONG AS AN INDEPENDENT NONEXECUTIVE DIRECTOR OF THE COMPANY | Management | For |
| O.1.12 | ELECTION OF MR. LV CHANGJIANG AS AN INDEPENDENT NONEXECUTIVE DIRECTOR OF THE COMPANY | Management | For |
| O.1.13 | ELECTION OF MR. FENG LUN AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY | Management | For |
| O.2.1 | ELECTION OF MR. LI LIN AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY | Management | For |
| O.2.2 | ELECTION OF MR. DONG XIAOCHUN AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY | Management | For |
| O.2.3 | ELECTION OF MR. CHEN HUIFENG AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY | Management | For |
| O.2.4 | ELECTION OF MR. CHENG FENG AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY | Management | For |
| O.2.5 | ELECTION OF MR. XU QI AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY | Management | For |
| O.2.6 | ELECTION OF MR. HU JINGWU AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY | Management | For |
| O.2.7 | ELECTION OF MR. FENG HUANG AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY | Management | For |
| S.1 | TO CONSIDER AND APPROVE THE PROPOSAL ON AMENDMENTS TO THE ARTICLES OF ASSOCIATIONS AND RULES AND PROCEDURES FOR GENERAL MEETINGS | Management | For |

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| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|------------------|--------------------|------------------|-------------------|--------------------|--------------------|-------------------------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 224,000 0 | | 12-Nov-2014 | 14-Dec-2014 |
| BRASIL PHARMA SA | | | | | | | |
| Security | P1815Q108 | | | Meeting Type | | ExtraOrdinary General Meeting | |
| Ticker Symbol | | | | Meeting Date | | 07-Jan-2015 | |
| ISIN | BRBPHAACNOR6 | | | Agenda | | 705747434 - Management | |
| Record Date | | | | Holding Recon Date | | 05-Jan-2015 | |
| City / Country | SAO PAULO / Brazil | | | Vote Deadline Date | | 29-Dec-2014 | |
| SEDOL(s) | B3Q1YB6 - B99P0H5 | | | Quick Code | | | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF-ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING-INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE | | Non-Voting | |
| CMMT | PLEASE NOTE THAT VOTES 'IN FAVOR' AND 'AGAINST' IN THE SAME AGENDA ITEM ARE- NOT ALLOWED. ONLY VOTES IN FAVOR AND/OR ABSTAIN OR AGAINST AND/ OR ABSTAIN-ARE ALLOWED. THANK YOU | | Non-Voting | |
| I | UPDATING THE AMOUNT OF THE SHARE CAPITAL OF THE COMPANY AND THE RESPECTIVE NUMBER OF SHARES THAT HAVE BEEN ISSUED, TO BRING ABOUT THE FULFILLMENT OF THE RESOLUTIONS THAT WERE APPROVED AT THE MEETINGS OF THE BOARD OF DIRECTORS OF THE | Management | No Action | |

COMPANY THAT WERE HELD ON MAY 6, 2014, AND JUNE 24, 2014, THROUGH WHICH WERE APPROVED AND RATIFIED, RESPECTIVELY, AN INCREASE IN THE SHARE CAPITAL OF THE COMPANY AND THE ISSUANCE OF NEW SHARES, WHICH WERE CARRIED OUT WITHIN THE AUTHORIZED CAPITAL LIMIT OF THE COMPANY, WITH THE CONSEQUENT AMENDMENT OF ARTICLE 5 OF THE CORPORATE BYLAWS OF THE COMPANY

THE AMENDMENT OF PARAGRAPH 2 OF ARTICLE 6 OF THE CORPORATE BYLAWS OF THE COMPANY TO STATE THAT THE BOARD OF DIRECTORS OF THE COMPANY CAN GRANT STOCK PURCHASE OR SUBSCRIPTION OPTIONS FOR ITS SHARES TO ITS MANAGERS IN ACCORDANCE WITH THE TERMS OF THE STOCK OPTION PLAN THAT WAS PREVIOUSLY APPROVED BY THE GENERAL MEETING OF THE COMPANY, DIRECTLY OR AUTHORIZING A COMMITTEE

CREATED IN ACCORDANCE WITH THE TERMS OF THE CORPORATE BYLAWS OF THE COMPANY FOR THAT PURPOSE, EXCEPT THROUGH THE ISSUANCE OF SHARES WITHIN THE AUTHORIZED CAPITAL, WHICH CANNOT BE DELEGATED TO A COMMITTEE

THE RESTATEMENT OF THE CORPORATE BYLAWS OF THE COMPANY IN LIGHT OF THE AMENDMENTS THAT ARE PROPOSED HERE

THE APPROVAL OF A NEW STOCK OPTION PLAN FOR SHARES ISSUED BY THE COMPANY, FROM HERE ONWARDS REFERRED TO AS THE SECOND PLAN

AUTHORIZATION FOR THE EXECUTIVE COMMITTEE TO DO ALL THE ACTS THAT ARE NECESSARY TO CARRY OUT THE RESOLUTIONS THAT ARE DESCRIBED IN ITEMS I THROUGH IV ABOVE

II

Management
No
Action

III

Management
No
Action

IV

Management
No
Action

V

Management
No
Action

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CMMT 29 DEC 2014: PLEASE NOTE THAT THIS IS A REVISION DUE TO POSTPONEMENT OF MEETIN-G DATE FROM 26 DEC 2014 TO 07 JAN 2015. IF YOU HAVE ALREADY SENT IN YOUR VOTES-, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIO-NS. THANK YOU. Non-Voting

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|-------------------------|--------------|------------------|--------------------|------------------------|--------------------|----------------------|
| AL27 | AL27 | | STATE STREET BANK | 135,374 0 | | 12-Dec-2004-Jan-2015 |
| MICRON TECHNOLOGY, INC. | | | | | | |
| Security | 595112103 | | Meeting Type | Annual | | |
| Ticker Symbol | MU | | Meeting Date | 22-Jan-2015 | | |
| ISIN | US5951121038 | | Agenda | 934108588 - Management | | |
| Record Date | 21-Nov-2014 | | Holding Recon Date | 21-Nov-2014 | | |
| City / Country | | / United States | Vote Deadline Date | 21-Jan-2015 | | |
| SEDOL(s) | | | Quick Code | | | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.1 | ELECTION OF DIRECTOR: ROBERT L. BAILEY | Management | For | For |
| 1.2 | ELECTION OF DIRECTOR: RICHARD M. BEYER | Management | For | For |
| 1.3 | ELECTION OF DIRECTOR: PATRICK J. BYRNE | Management | For | For |
| 1.4 | ELECTION OF DIRECTOR: D. MARK DURCAN | Management | For | For |
| 1.5 | ELECTION OF DIRECTOR: D. WARREN A. EAST | Management | For | For |
| 1.6 | ELECTION OF DIRECTOR: MERCEDES JOHNSON | Management | For | For |
| 1.7 | ELECTION OF DIRECTOR: LAWRENCE N. MONDRY | Management | For | For |

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- | | | | |
|-----|--|------------|-----|
| 1.8 | ELECTION OF DIRECTOR: ROBERT E. SWITZ | Management | For |
| 2. | TO APPROVE AMENDED & RESTATED 2007 EQUITY INCENTIVE PLAN & INCREASE SHARES RESERVED FOR ISSUANCE THEREUNDER BY 30,000,000. | Management | For |
| 3. | TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. | Management | For |
| 4. | TO AMEND THE COMPANY'S RESTATED CERTIFICATE OF INCORPORATION TO ELIMINATE CUMULATIVE VOTING. | Management | For |
| 5. | TO APPROVE THE MATERIAL TERMS OF THE PERFORMANCE GOALS UNDER THE COMPANY'S EXECUTIVE OFFICER PERFORMANCE INCENTIVE PLAN. | Management | For |
| 6. | TO APPROVE A NON-BINDING RESOLUTION TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS AS DESCRIBED IN THE PROXY STATEMENT. | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 15,600 0 | | 13-Dec-2014 | 14-Dec-2014 |

WESCO AIRCRAFT HOLDINGS, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 950814103 | Meeting Type | Annual |
| Ticker Symbol | WAIR | Meeting Date | 27-Jan-2015 |
| ISIN | US9508141036 | Agenda | 934109819 - Management |
| Record Date | 03-Dec-2014 | Holding Recon Date | 03-Dec-2014 |
| City / Country | / United States | Vote Deadline Date | 26-Jan-2015 |
| SEDOL(s) | | Quick Code | |

| | | |
|------|----------|------|
| Item | Proposal | Vote |
|------|----------|------|

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| | | Proposed by | For/Against Management |
|----|---|----------------|---------------------------|
| 1. | DIRECTOR | Management | |
| | 1 DAYNE A. BAIRD | For | For |
| | 2 JAY L. HABERLAND | For | For |
| | 3 JENNIFER M. POLLINO | For | For |
| 2. | APPROVE, BY A NON-BINDING ADVISORY VOTE, THE COMPANY'S EXECUTIVE COMPENSATION. | Management | For |
| 3. | APPROVE THE WESCO AIRCRAFT HOLDINGS, INC. 2014 INCENTIVE AWARD PLAN. | Management | For |
| 4. | RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2015. | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailabl Shares | Vote Date Date Confirmed |
|-------------------|--------------|---------------------|---|------------------|----------------------|-----------------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 10,600 | 0 | 19-Dec-2014 19-Dec-2014 |

GOLUB CAPITAL BDC, INC.

| | | | |
|----------------|--------------------|-----------------------|------------------------|
| Security | 38173M102 | Meeting Type | Annual |
| Ticker Symbol | GBDC | Meeting Date | 03-Feb-2015 |
| ISIN | US38173M1027 | Agenda | 934112703 - Management |
| Record Date | 10-Dec-2014 | Holding Recon Date | 10-Dec-2014 |
| City / Country | / United States | Vote Deadline Date | 02-Feb-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|----------------|------|---------------------------|
| 1.1 | | Management | For | For |

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ELECTION OF TRUSTEE: KENNETH F. BERNSTEIN

1.2 ELECTION OF TRUSTEE: JOHN T. BAILY Management For

2) TO RATIFY THE SELECTION OF MCGLADREY LLP TO SERVE AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2015. Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 73,800 | 0 | 23-Dec-2014 | 24-Dec-2014 |

HAITONG SECURITIES CO LTD

| | | | |
|----------------|---------------------------------------|--------------------|------------------------|
| Security | Y2988F101 | Meeting Type | Class Meeting |
| Ticker Symbol | | Meeting Date | 09-Feb-2015 |
| ISIN | CNE1000019K9 | Agenda | 705756914 - Management |
| Record Date | 09-Jan-2015 | Holding Recon Date | 09-Jan-2015 |
| City / Country | SHANGHAI / China | Vote Deadline Date | 03-Feb-2015 |
| SEDOL(s) | B71SXC4 - B8GNHM9 - BP3RVF4 - BTFRPB4 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
|------|----------|-------------|------|------------------------|

| | | | | |
|------|---|--|------------|--|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEWS/SEHK/2014/1223/LTN20141223420.PDF -AND- HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEWS/SEHK/2014/1223/LTN20141223438.PDF | | Non-Voting | |
|------|---|--|------------|--|

1.1 TO CONSIDER AND APPROVE THE Management For

PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: TYPE AND NOMINAL VALUE

| | | | |
|------|---|------------|-----|
| 1.2 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE METHOD | Management | For |
| 1.3 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE TARGETS | Management | For |
| 1.4 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE SIZE | Management | For |
| 1.5 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE PRICE | Management | For |
| 1.6 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: SUBSCRIPTION METHOD | Management | For |
| 1.7 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ACCUMULATED UNDISTRIBUTED PROFIT | Management | For |
| 1.8 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: USE OF PROCEEDS | Management | For |
| 1.9 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: VALIDITY PERIOD OF RESOLUTION | Management | For |
| 1.10 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: AUTHORISATION FOR ISSUE OF NEW H SHARES | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | STATE STREET BANK | | 140,400 0 | | 24-Dec-2004 | Feb-2015 |

HAITONG SECURITIES CO LTD

| | | | |
|----------------|---------------------------------------|--------------------|-------------------------------|
| Security | Y2988F101 | Meeting Type | ExtraOrdinary General Meeting |
| Ticker Symbol | | Meeting Date | 09-Feb-2015 |
| ISIN | CNE1000019K9 | Agenda | 705756926 - Management |
| Record Date | 09-Jan-2015 | Holding Recon Date | 09-Jan-2015 |
| City / Country | SHANGHA I / China | Vote Deadline Date | 03-Feb-2015 |
| SEDOL(s) | B71SXC4 - B8GNHM9 - BP3RVF4 - BTFRPB4 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|-------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1223/LTN20141223351.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1223/LTN20141223375.pdf | | Non-Voting | |
| S.1.1 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: TYPE AND NOMINAL VALUE | Management | For | For |
| S.1.2 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE METHOD | Management | For | For |
| S.1.3 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE TARGETS | Management | For | For |
| S.1.4 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE SIZE | Management | For | For |
| S.1.5 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ISSUE PRICE | Management | For | For |
| S.1.6 | TO CONSIDER AND APPROVE THE | Management | For | For |

PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: SUBSCRIPTION METHOD

| | | | |
|--------|---|------------|-----|
| S.1.7 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: ACCUMULATED UNDISTRIBUTED PROFIT | Management | For |
| S.1.8 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: USE OF PROCEEDS | Management | For |
| S.1.9 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: VALIDITY PERIOD OF RESOLUTION | Management | For |
| S.1.10 | TO CONSIDER AND APPROVE THE PLAN TO ISSUE NEW H SHARES OF THE COMPANY, INCLUDING: AUTHORISATION FOR ISSUE OF NEW H SHARES | Management | For |
| S.2 | TO CONSIDER AND APPROVE THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION OF THE COMPANY | Management | For |
| S.3.1 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: TYPE | Management | For |
| S.3.2 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: TERM | Management | For |
| S.3.3 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: INTEREST RATE | Management | For |
| S.3.4 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: ISSUER, ISSUE SIZE AND | Management | For |

ISSUE METHOD

| | | | |
|-------|--|------------|-----|
| S.3.5 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: ISSUE PRICE | Management | For |
| S.3.6 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: SECURITY AND OTHER CREDIT ENHANCEMENT ARRANGEMENTS | Management | For |
| S.3.7 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: USE OF PROCEEDS | Management | For |
| S.3.8 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: ISSUE TARGET AND ARRANGEMENTS ON PLACEMENT TO SHAREHOLDERS OF THE COMPANY | Management | For |
| S.3.9 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: GUARANTEE MEASURES FOR REPAYMENT | Management | For |
| S3.10 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: LISTING OF DEBT FINANCING INSTRUMENTS | Management | For |
| S3.11 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: VALIDITY PERIOD OF RESOLUTION | Management | For |
| S3.12 | TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE GENERAL | Management | For |

MANDATE TO ISSUE OTHER ONSHORE DEBT FINANCING INSTRUMENTS, INCLUDING: AUTHORISATION FOR ISSUANCE OF OTHER ONSHORE DEBT FINANCING INSTRUMENTS OF THE COMPANY

O.1 TO CONSIDER AND APPROVE THE REPORT ON USE OF PROCEEDS FROM PREVIOUS FUNDS RAISING ACTIVITY OF THE COMPANY Management For

O.2 TO CONSIDER AND APPROVE THE PROPOSAL REGARDING THE INCREASE IN QUOTA OF EXTERNAL GUARANTEE OF THE COMPANY Management For

O.3 TO CONSIDER AND APPROVE THE PROPOSAL REGARDING INVESTMENT ASSET ALLOCATION OF EQUITY, FIXED INCOME SECURITIES AND DERIVATIVE PRODUCTS OF THE COMPANY FOR THE YEAR 2015 Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 140,400 | 0 | 24-Dec-2004 | Feb-2015 |

VARIAN MEDICAL SYSTEMS, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 92220P105 | Meeting Type | Annual |
| Ticker Symbol | VAR | Meeting Date | 12-Feb-2015 |
| ISIN | US92220P1057 | Agenda | 934113248 - Management |
| Record Date | 15-Dec-2014 | Holding Recon Date | 15-Dec-2014 |
| City / Country | / United States | Vote Deadline Date | 11-Feb-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|----------------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 | TIMOTHY E. GUERTIN | For | For |
| | 2 | DAVID J. ILLINGWORTH | For | For |

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- 3 R. NAUMANN-ETIENNE For For
2. TO APPROVE THE COMPENSATION OF THE VARIAN MEDICAL SYSTEMS, INC. NAMED EXECUTIVE OFFICERS AS DESCRIBED IN THE PROXY STATEMENT. Management For
3. TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS VARIAN MEDICAL SYSTEMS, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2015. Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 14,500 | 0 | 31-Dec-2014 | 31-Dec-2014 |

CITIC SECURITIES CO LTD

| | | | |
|----------------|---------------------------------------|--------------------|------------------------|
| Security | Y1639N117 | Meeting Type | Class Meeting |
| Ticker Symbol | | Meeting Date | 16-Feb-2015 |
| ISIN | CNE1000016V2 | Agenda | 705764125 - Management |
| Record Date | 16-Jan-2015 | Holding Recon Date | 16-Jan-2015 |
| City / Country | BEIJING / China | Vote Deadline Date | 05-Feb-2015 |
| SEDOL(s) | B6SPB49 - B76VCF4 - B7WHGP4 - BP3RTD8 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1230/LTN20141230448.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2014/1230/LTN20141230437.pdf | | Non-Voting | |

- | | | | |
|-----|---|------------|-----|
| 1.1 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : CLASS OF SHARES TO BE ISSUED</p> | Management | For |
| 1.2 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : TIME OF ISSUANCE</p> | Management | For |
| 1.3 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : METHOD OF ISSUANCE</p> | Management | For |
| 1.4 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE</p> | Management | For |

HONG KONG STOCK EXCHANGE
 (RELEVANT DETAILS OF THE
 RESOLUTIONS ARE SET OUT IN THE
 PARAGRAPH HEADED "PROPOSED
 SPECIFIC MANDATE TO ISSUE NEW H
 SHARES" IN THE ANNOUNCEMENT
 PRODUCED IN THE APPENDIX TO THE
 NOTICE OF H SHAREHOLDERS CLASS
 MEETING) : TARGET PLACES

TO CONSIDER AND APPROVE THE
 FOLLOWING RESOLUTIONS ON THE
 SPECIFIC MANDATE FOR THE
 PROPOSED NEW H SHARE ISSUE AND
 LISTING OF NEW H SHARES ON THE
 HONG KONG STOCK EXCHANGE

1.5

(RELEVANT DETAILS OF THE
 RESOLUTIONS ARE SET OUT IN THE
 PARAGRAPH HEADED "PROPOSED
 SPECIFIC MANDATE TO ISSUE NEW H
 SHARES" IN THE ANNOUNCEMENT
 PRODUCED IN THE APPENDIX TO THE
 NOTICE OF H SHAREHOLDERS CLASS
 MEETING) : PRICING MECHANISM

Management

For

TO CONSIDER AND APPROVE THE
 FOLLOWING RESOLUTIONS ON THE
 SPECIFIC MANDATE FOR THE
 PROPOSED NEW H SHARE ISSUE AND
 LISTING OF NEW H SHARES ON THE
 HONG KONG STOCK EXCHANGE

1.6

(RELEVANT DETAILS OF THE
 RESOLUTIONS ARE SET OUT IN THE
 PARAGRAPH HEADED "PROPOSED
 SPECIFIC MANDATE TO ISSUE NEW H
 SHARES" IN THE ANNOUNCEMENT
 PRODUCED IN THE APPENDIX TO THE
 NOTICE OF H SHAREHOLDERS CLASS
 MEETING) : METHOD OF SUBSCRIPTION

Management

For

1.7

TO CONSIDER AND APPROVE THE
 FOLLOWING RESOLUTIONS ON THE
 SPECIFIC MANDATE FOR THE
 PROPOSED NEW H SHARE ISSUE AND
 LISTING OF NEW H SHARES ON THE
 HONG KONG STOCK EXCHANGE
 (RELEVANT DETAILS OF THE
 RESOLUTIONS ARE SET OUT IN THE
 PARAGRAPH HEADED "PROPOSED
 SPECIFIC MANDATE TO ISSUE NEW H
 SHARES" IN THE ANNOUNCEMENT

Management

For

PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : SIZE OF ISSUANCE

1.8 TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : ACCUMULATED PROFITS

Management For

1.9 TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : USE OF PROCEEDS

Management For

1.10 TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : VALIDITY PERIOD OF THE RESOLUTIONS

Management For

1.11 TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE

Management For

SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : AUTHORIZATION OF THE CONSEQUENTIAL AMENDMENTS TO THE ARTICLES OF ASSOCIATION UPON COMPLETION OF THE PROPOSED NEW H SHARE ISSUE

1.12 TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE

ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF H SHAREHOLDERS CLASS MEETING) : OTHER RELEVANT AUTHORIZATION TO THE BOARD AND THE PERSONS AUTHORIZED BY THE BOARD TO DEAL WITH ALL MATTERS RELATING TO THE PROPOSED NEW H SHARE ISSUE

CMMT 31 DEC 2014: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION TO TEXT O-F RESOLUTION 1.4. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE A-GAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|----------------------|
| AL27 | AL27 | STATE STREET BANK | | 219,500 0 | | 31-Dec-2014-Feb-2015 |

CITIC SECURITIES CO LTD

| | | | |
|----------------|---------------------------------------|--------------------|-------------------------------|
| Security | Y1639N117 | Meeting Type | ExtraOrdinary General Meeting |
| Ticker Symbol | | Meeting Date | 16-Feb-2015 |
| ISIN | CNE1000016V2 | Agenda | 705764187 - Management |
| Record Date | 16-Jan-2015 | Holding Recon Date | 16-Jan-2015 |
| City / Country | BEIJING / China | Vote Deadline Date | 05-Feb-2015 |
| SEDOL(s) | B6SPB49 - B76VCF4 - B7WHGP4 - BP3RTD8 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
|------|----------|-------------|------|------------------------|

| | | | | |
|-----|---|------------|------------|-----|
| | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEWS/SEHK/2014/1230/LTN20141230410.PDF -AND- HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEWS/SEHK/2014/1230/LTN20141230404.PDF | | Non-Voting | |
| 1.1 | TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): CLASS OF SHARES TO BE ISSUED | Management | For | For |
| 1.2 | TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE | Management | For | For |

- (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): TIME OF ISSUANCE
- TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE
- 1.3 (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): METHOD OF ISSUANCE
- Management For
- TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE
- 1.4 (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): TARGET PLACEES
- Management For
- TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE
- 1.5 (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): PRICING MECHANISM
- Management For

- | | | | |
|-----|---|------------|-----|
| 1.6 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): METHOD OF SUBSCRIPTION</p> | Management | For |
| 1.7 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): SIZE OF ISSUANCE</p> | Management | For |
| 1.8 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): ACCUMULATED PROFITS</p> | Management | For |
| 1.9 | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE</p> | Management | For |

| | | | |
|-------------|---|-------------------|------------|
| | <p>RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): USE OF PROCEEDS</p> | | |
| <p>1.10</p> | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): VALIDITY PERIOD OF THE RESOLUTIONS</p> | <p>Management</p> | <p>For</p> |
| <p>1.11</p> | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): AUTHORIZATION OF THE CONSEQUENTIAL AMENDMENTS TO THE ARTICLES OF ASSOCIATION UPON COMPLETION OF THE PROPOSED NEW H SHARE ISSUE</p> | <p>Management</p> | <p>For</p> |
| <p>1.12</p> | <p>TO CONSIDER AND APPROVE THE FOLLOWING RESOLUTIONS ON THE SPECIFIC MANDATE FOR THE PROPOSED NEW H SHARE ISSUE AND LISTING OF NEW H SHARES ON THE HONG KONG STOCK EXCHANGE (RELEVANT DETAILS OF THE RESOLUTIONS ARE SET OUT IN THE PARAGRAPH HEADED "PROPOSED SPECIFIC MANDATE TO ISSUE NEW H SHARES" IN THE ANNOUNCEMENT</p> | <p>Management</p> | <p>For</p> |

PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM): OTHER RELEVANT AUTHORIZATION TO THE BOARD AND THE PERSONS AUTHORIZED BY THE BOARD TO DEAL WITH ALL MATTERS RELATING TO THE PROPOSED NEW H SHARE ISSUE

TO CONSIDER AND APPROVE THE REPORT ON USE OF PROCEEDS FROM PREVIOUS FUNDS RAISING ACTIVITY OF THE COMPANY (RELEVANT DETAILS OF THIS RESOLUTION ARE SET OUT IN THE PARAGRAPH HEADED "REPORT ON USE OF PROCEEDS FROM PREVIOUS FUNDS RAISING ACTIVITY" IN THE ANNOUNCEMENT PRODUCED IN THE APPENDIX TO THE NOTICE OF EGM)

2 Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 219,500 0 | | 31-Dec-2014 | Feb-2015 |

TRANSDIGM GROUP INCORPORATED

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 893641100 | Meeting Type | Annual |
| Ticker Symbol | TDG | Meeting Date | 05-Mar-2015 |
| ISIN | US8936411003 | Agenda | 934120712 - Management |
| Record Date | 05-Jan-2015 | Holding Recon Date | 05-Jan-2015 |
| City / Country | / United States | Vote Deadline Date | 04-Mar-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------------------|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 WILLIAM DRIES | | For | For |
| | 2 W. NICHOLAS HOWLEY | | For | For |
| | 3 RAYMOND LAUBENTHAL | | For | For |
| | 4 ROBERT SMALL | | For | For |

2. TO APPROVE (IN AN ADVISORY VOTE) COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS. Management For

3. TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT ACCOUNTANTS FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2015. Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 3,100 | 0 | 24-Jan-2015 | 24-Jan-2015 |

ACTAVIS PLC

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | G0083B108 | Meeting Type | Special |
| Ticker Symbol | ACT | Meeting Date | 10-Mar-2015 |
| ISIN | IE00BD1NQJ95 | Agenda | 934122499 - Management |
| Record Date | 22-Jan-2015 | Holding Recon Date | 22-Jan-2015 |
| City / Country | / United States | Vote Deadline Date | 09-Mar-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1. | APPROVING THE ISSUANCE OF ORDINARY SHARES PURSUANT TO THE AGREEMENT AND PLAN OF MERGER, DATED NOVEMBER 16, 2014, AMONG ACTAVIS PLC ("ACTAVIS"), AVOCADO ACQUISITION INC. AND ALLERGAN, INC. (THE "ACTAVIS SHARE ISSUANCE PROPOSAL"). | Management | For | For |
| 2. | APPROVING ANY MOTION TO ADJOURN THE ACTAVIS EXTRAORDINARY GENERAL MEETING (THE "ACTAVIS EGM"), OR ANY ADJOURNMENTS THEREOF, TO | Management | For | For |

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ANOTHER TIME OR PLACE IF NECESSARY OR APPROPRIATE TO, AMONG OTHER THINGS, SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE ACTAVIS EGM TO APPROVE THE ACTAVIS SHARE ISSUANCE PROPOSAL.

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 1,500 | 0 | 30-Jan-2015 | 30-Jan-2015 |
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 5,400 | 0 | 30-Jan-2015 | 30-Jan-2015 |

SPANSION INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 84649R200 | Meeting Type | Special |
| Ticker Symbol | CODE | Meeting Date | 12-Mar-2015 |
| ISIN | US84649R2004 | Agenda | 934125902 - Management |
| Record Date | 05-Feb-2015 | Holding Recon Date | 05-Feb-2015 |
| City / Country | / United States | Vote Deadline Date | 11-Mar-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1. | TO ADOPT THE AGREEMENT AND PLAN OF MERGER AND REORGANIZATION, DATED AS OF DECEMBER 1, 2014 (THE "MERGER AGREEMENT"), BY AND AMONG SPANSION INC., CYPRESS SEMICONDUCTOR CORPORATION AND MUSTANG ACQUISITION CORPORATION, AND APPROVE THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT. | Management | For | For |

2. TO APPROVE ON AN ADVISORY (NON-BINDING) BASIS THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO SPANSION INC. NAMED EXECUTIVE OFFICERS THAT IS BASED ON OR OTHERWISE RELATES TO THE MERGER AGREEMENT AND MERGER. Management For

3. TO APPROVE THE ADJOURNMENT OF THE SPANSION INC. SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT AND APPROVE THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT. Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 15,000 | 0 | 11-Feb-2015 | Feb-2015 |
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 36,000 | 0 | 11-Feb-2015 | Feb-2015 |

SAMSUNG ELECTRONICS CO LTD, SUWON

| | | | |
|----------------|----------------------------|--------------------|------------------------|
| Security | Y74718100 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 13-Mar-2015 |
| ISIN | KR7005930003 | Agenda | 705825137 - Management |
| Record Date | 31-Dec-2014 | Holding Recon Date | 31-Dec-2014 |
| City / Country | SEOUL / Korea, Republic Of | Vote Deadline Date | 03-Mar-2015 |
| SEDOL(s) | 6771720 - B19VC15 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
|------|----------|-------------|------|------------------------|

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| | | | |
|-------|---|------------|-----|
| 1 | APPROVAL OF FINANCIAL STATEMENTS | Management | For |
| 2.1.1 | ELECTION OF OUTSIDE DIRECTOR GIM HAN JUNG | Management | For |
| 2.1.2 | ELECTION OF OUTSIDE DIRECTOR I BYEONG GI | Management | For |
| 2.2 | ELECTION OF INSIDE DIRECTOR GWON O HYEON | Management | For |
| 2.3 | ELECTION OF AUDIT COMMITTEE MEMBER GIM HAN JUNG | Management | For |
| 3 | APPROVAL OF REMUNERATION FOR DIRECTOR | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 1,114 | 0 | 14-Feb-2015 | 05-Mar-2015 |

SUNTORY BEVERAGE & FOOD LIMITED

| | | | |
|----------------|-----------------------------|--------------------|------------------------|
| Security | J78186103 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 27-Mar-2015 |
| ISIN | JP3336560002 | Agenda | 705863783 - Management |
| Record Date | 31-Dec-2014 | Holding Recon Date | 31-Dec-2014 |
| City / Country | TOKYO / Japan | Vote Deadline Date | 11-Mar-2015 |
| SEDOL(s) | BBD7Q84 - BBT3GD1 - BLRLZP2 | Quick Code | 25870 |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---------------------------------------|-------------|------|------------------------|
| | Please reference meeting materials. | Non-Voting | | |
| 1 | Approve Appropriation of Surplus | Management | For | For |
| 2.1 | Appoint a Director Torii, Nobuhiro | Management | For | For |
| 2.2 | Appoint a Director Kakimi, Yoshihiko | Management | For | For |
| 2.3 | Appoint a Director Kogo, Saburo | Management | For | For |
| 2.4 | Appoint a Director Kurihara, Nobuhiro | Management | For | For |
| 2.5 | Appoint a Director Tsuchida, Masato | Management | For | For |

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| | | | |
|-----|--|------------|-----|
| 2.6 | Appoint a Director Kamada, Yasuhiko | Management | For |
| 2.7 | Appoint a Director Hizuka, Shinichiro | Management | For |
| 2.8 | Appoint a Director Inoue, Yukari | Management | For |
| 3 | Amend Articles to: Transition to a Company with Supervisory Committee, Adopt Reduction of Liability System for Non-Executive Directors | Management | For |
| 4.1 | Appoint a Director except as Supervisory Committee Members Torii, Nobuhiro | Management | For |
| 4.2 | Appoint a Director except as Supervisory Committee Members Kakimi, Yoshihiko | Management | For |
| 4.3 | Appoint a Director except as Supervisory Committee Members Kogo, Saburo | Management | For |
| 4.4 | Appoint a Director except as Supervisory Committee Members Kurihara, Nobuhiro | Management | For |
| 4.5 | Appoint a Director except as Supervisory Committee Members Tsuchida, Masato | Management | For |
| 4.6 | Appoint a Director except as Supervisory Committee Members Kamada, Yasuhiko | Management | For |
| 4.7 | Appoint a Director except as Supervisory Committee Members Hizuka, Shinichiro | Management | For |
| 4.8 | Appoint a Director except as Supervisory Committee Members Inoue, Yukari | Management | For |
| 5.1 | Appoint a Director as Supervisory Committee Members Hattori, Seiichiro | Management | For |
| 5.2 | Appoint a Director as Supervisory Committee Members Uehara, Yukihiro | Management | For |
| 5.3 | Appoint a Director as Supervisory Committee Members Uchida, Harumichi | Management | For |
| 6 | Appoint a Substitute Director as Supervisory Committee Members Amitani, Mitsuhiro | Management | For |
| 7 | Amend the Compensation to be received by Directors except as Supervisory Committee Members | Management | For |
| 8 | Amend the Compensation to be received by Directors as Supervisory Committee Members | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-----------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | | 10,600 | 0 | 05-Mar-2015 | 5-Mar-2015 |

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STATE
STREET
BANK

APPLIED MATERIALS, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 038222105 | Meeting Type | Annual |
| Ticker Symbol | AMAT | Meeting Date | 02-Apr-2015 |
| ISIN | US0382221051 | Agenda | 934127108 - Management |
| Record Date | 11-Feb-2015 | Holding Recon Date | 11-Feb-2015 |
| City / Country | / United States | Vote Deadline Date | 01-Apr-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A. | ELECTION OF DIRECTOR: AART J. DE GEUS | Management | For | For |
| 1B. | ELECTION OF DIRECTOR: GARY E. DICKERSON | Management | For | For |
| 1C. | ELECTION OF DIRECTOR: STEPHEN R. FORREST | Management | For | For |
| 1D. | ELECTION OF DIRECTOR: THOMAS J. IANNOTTI | Management | For | For |
| 1E. | ELECTION OF DIRECTOR: SUSAN M. JAMES | Management | For | For |
| 1F. | ELECTION OF DIRECTOR: ALEXANDER A. KARSNER | Management | For | For |
| 1G. | ELECTION OF DIRECTOR: DENNIS D. POWELL | Management | For | For |
| 1H. | ELECTION OF DIRECTOR: WILLEM P. ROELANDTS | Management | For | For |
| 1I. | ELECTION OF DIRECTOR: MICHAEL R. SPLINTER | Management | For | For |
| 1J. | ELECTION OF DIRECTOR: ROBERT H. SWAN | Management | For | For |
| 2. | TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF APPLIED MATERIALS' NAMED EXECUTIVE | Management | For | For |

OFFICERS.

3. TO RATIFY THE APPOINTMENT OF KPMG LLP AS APPLIED MATERIALS' INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2015. Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 7,700 | 0 | 19-Feb-2015 | 19-Feb-2015 |

LENNAR CORPORATION

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 526057104 | Meeting Type | Annual |
| Ticker Symbol | LEN | Meeting Date | 08-Apr-2015 |
| ISIN | US5260571048 | Agenda | 934127879 - Management |
| Record Date | 12-Feb-2015 | Holding Recon Date | 12-Feb-2015 |
| City / Country | / United States | Vote Deadline Date | 07-Apr-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---------------------------|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 IRVING BOLOTIN | | For | For |
| | 2 STEVEN L. GERARD | | For | For |
| | 3 THERON I. "TIG" GILLIAM | | For | For |
| | 4 SHERRILL W. HUDSON | | For | For |
| | 5 SIDNEY LAPIDUS | | For | For |
| | 6 TERI P. MCCLURE | | For | For |
| | 7 STUART A. MILLER | | For | For |
| | 8 ARMANDO OLIVERA | | For | For |
| | 9 JEFFREY SONNENFELD | | For | For |
| 2. | | Management | For | For |

RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS LENNAR'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING NOVEMBER 30, 2015.

3. APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF LENNAR'S NAMED EXECUTIVE OFFICERS. Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|---------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 5,200 | 0 | 25-Feb-2015 |

GEA GROUP AG, BOCHUM

| | | | |
|----------------|---------------------------------------|--------------------|------------------------|
| Security | D28304109 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 16-Apr-2015 |
| ISIN | DE0006602006 | Agenda | 705882579 - Management |
| Record Date | 25-Mar-2015 | Holding Recon Date | 25-Mar-2015 |
| City / Country | OBERHAUSEN / Germany | Vote Deadline Date | 31-Mar-2015 |
| SEDOL(s) | 4557104 - B02NSV3 - B28HB58 - BHZLGS6 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| | ACCORDING TO GERMAN LAW, IN CASE OF SPECIFIC CONFLICTS OF INTEREST IN CONNECTION WITH SPECIFIC ITEMS OF THE AGENDA FOR THE GENERAL MEETING YOU ARE NOT ENTITLED TO EXERCISE YOUR VOTING RIGHTS. FURTHER, YOUR VOTING RIGHT MIGHT BE EXCLUDED WHEN YOUR SHARE IN VOTING RIGHTS HAS REACHED CERTAIN THRESHOLDS AND YOU HAVE NOT COMPLIED WITH ANY OF YOUR MANDATORY VOTING RIGHTS | | Non-Voting | |

NOTIFICATIONS PURSUANT-TO THE GERMAN SECURITIES TRADING ACT (WHPG). FOR QUESTIONS IN THIS REGARD PLEASE CONTACT YOUR CLIENT SERVICE REPRESENTATIVE FOR CLARIFICATION. IF YOU DO NOT HAVE ANY INDICATION REGARDING SUCH CONFLICT OF INTEREST, OR ANOTHER EXCLUSION FROM VOTING, PLEASE SUBMIT YOUR VOTE AS USUAL. THANK YOU.

PLEASE NOTE THAT THE TRUE RECORD DATE FOR THIS MEETING IS 26 MAR 2015, WHEREAS-THE MEETING HAS BEEN SETUP USING THE ACTUAL RECORD DATE-1 BUSINESS DAY. THIS-IS DONE TO ENSURE THAT ALL POSITIONS REPORTED ARE IN CONCURRENCE WITH THE GERMAN LAW. THANK YOU.

Non-Voting

COUNTER PROPOSALS MAY BE SUBMITTED UNTIL 01 APR 2015. FURTHER INFORMATION ON C-OUNTER PROPOSALS CAN BE FOUND DIRECTLY ON THE ISSUER'S WEBSITE (PLEASE REFER T-O THE MATERIAL URL SECTION OF THE APPLICATION). IF YOU WISH TO ACT ON THESE IT-EMS, YOU WILL NEED TO REQUEST A MEETING ATTEND AND VOTE YOUR SHARES DIRECTLY A-T THE COMPANY'S MEETING. COUNTER PROPOSALS CANNOT BE REFLECTED IN THE BALLOT O-N PROXYEDGE.

Non-Voting

1.

PRESENTATION OF THE ADOPTED ANNUAL FINANCIAL STATEMENTS OF GEA GROUP AKTIENGES-ELLSCHAFT AND OF THE APPROVED CONSOLIDATED FINANCIAL STATEMENTS AS OF DECEMBER-31, 2014, OF THE GROUP MANAGEMENT REPORT COMBINED WITH THE MANAGEMENT

Non-Voting

REPORT-OF GEA GROUP AKTIENGESELLSCHAFT FOR THE FISCAL YEAR 2014 INCLUDING THE EXPLANATORY REPORT OF THE EXECUTIVE BOARD ON THE

INFORMATION PROVIDED IN ACCORDANCE WITH S. 289 PARA. 4 AND PARA. 5, S. 315 PARA. 2 NO. 5 AND PARA. 4 HGB (GERMAN COMMERCIAL CODE) AS WELL AS THE REPORT OF THE SUPERVISORY BOARD FOR THE FISCAL-YEAR 2014

| | | | |
|----|---|------------|-----|
| 2. | APPROPRIATION OF NET EARNINGS: PAYMENT OF A DIVIDEND OF EUR 0.70 PER NO-PAR SHARE | Management | For |
| 3. | RATIFICATION OF THE ACTS OF THE MEMBERS OF THE EXECUTIVE BOARD IN THE FISCAL YEAR 2014 | Management | For |
| 4. | RATIFICATION OF THE ACTS OF THE MEMBERS OF THE SUPERVISORY BOARD IN THE FISCAL YEAR 2014 | Management | For |
| 5. | ELECTION OF THE AUDITOR FOR THE FISCAL YEAR 2015: KPMG AG WIRTSCHAFTSPRÜFUNGSGESELLSCHAFT, BERLIN | Management | For |
| 6. | CANCELLATION OF AUTHORIZED CAPITAL II IN ACCORDANCE WITH S. 4 PARA. 4 OF THE ARTICLES OF ASSOCIATION, CREATION OF A NEW AUTHORIZED CAPITAL II AND AUTHORIZATION TO DECIDE ON THE EXCLUSION OF SHAREHOLDERS' SUBSCRIPTION RIGHTS, AND THE CORRESPONDING AMENDMENT TO S. 4 PARA. 4 OF THE ARTICLES OF ASSOCIATION | Management | For |
| 7. | CREATION OF A NEW AUTHORIZED CAPITAL III GRANTING AUTHORIZATION TO EXCLUDE SHAREHOLDERS' SUBSCRIPTION RIGHTS AND CORRESPONDING AMENDMENT TO THE ARTICLES OF ASSOCIATION | Management | For |
| 8. | RESOLUTION ON THE AUTHORIZATION TO ISSUE CONVERTIBLE OR WARRANT BONDS, PROFIT PARTICIPATION RIGHTS OR INCOME BONDS, THE CREATION OF CONTINGENT CAPITAL AS WELL AS THE RESPECTIVE AMENDMENT TO THE ARTICLES OF ASSOCIATION WHILE | Management | For |

SIMULTANEOUSLY CANCELLING THE RESPECTIVE AUTHORIZATION GIVEN IN 2010 AND THE CONTINGENT CAPITAL IN ACCORDANCE WITH S. 4 PARA. 5 OF THE ARTICLES OF ASSOCIATION

AUTHORIZATION TO ACQUIRE AND USE TREASURY STOCK AS WELL AS EXCLUSION OF THE RIGHT TO TENDER AND OF THE SUBSCRIPTION RIGHT

9. Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|--------------------|--------------------|------------------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 32,615 0 | | 11-Mar-2015 | 15-Apr-2015 |
| OWENS CORNING | | | | | | | |
| Security | 690742101 | | | Meeting Type | | Annual | |
| Ticker Symbol | OC | | | Meeting Date | | 16-Apr-2015 | |
| ISIN | US6907421019 | | | Agenda | | 934137666 - Management | |
| Record Date | 25-Feb-2015 | | | Holding Recon Date | | 25-Feb-2015 | |
| City / Country | | United States | | Vote Deadline Date | | 15-Apr-2015 | |
| SEDOL(s) | | | | Quick Code | | | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 JAMES J. MCMONAGLE | | For | For |
| | 2 W. HOWARD MORRIS | | For | For |
| | 3 SUZANNE P. NIMOCKS | | For | For |
| 2. | TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015. | Management | For | For |
| 3. | TO APPROVE, ON AN ADVISORY BASIS, 2014 NAMED EXECUTIVE OFFICER COMPENSATION. | Management | For | For |

Account Name

Custodian

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| Account Number | | Internal Account | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|-----|------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | 2,700 | 0 | 13-Mar-2015 | 13-Mar-2015 |

STATE STREET BANK & TRUST CO

STARWOOD PROPERTY TRUST INC

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 85571B105 | Meeting Type | Annual |
| Ticker Symbol | STWD | Meeting Date | 21-Apr-2015 |
| ISIN | US85571B1052 | Agenda | 934157620 - Management |
| Record Date | 03-Mar-2015 | Holding Recon Date | 03-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 20-Apr-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 RICHARD D. BRONSON | | For | For |
| | 2 JEFFREY G. DISHNER | | For | For |
| | 3 CAMILLE J. DOUGLAS | | For | For |
| | 4 SOLOMON J. KUMIN | | For | For |
| | 5 BARRY S. STERNLICHT | | For | For |
| | 6 STRAUSS ZELNICK | | For | For |
| 2. | TO APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S EXECUTIVE COMPENSATION AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT. | Management | For | For |
| 3. | TO RATIFY THE AUDIT COMMITTEE'S APPOINTMENT OF DELOITTE & TOUCHE LLP AS STARWOOD PROPERTY TRUST, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CALENDAR YEAR ENDING DECEMBER 31, 2015. | Management | For | For |

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4. THE STOCKHOLDER PROPOSAL REGARDING AN INDEPENDENT CHAIRMAN OF THE BOARD OF DIRECTORS AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT. Shareholder's Vote For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 28,200 | 0 | 28-Mar-2015 | 28-Mar-2015 |

MGIC INVESTMENT CORPORATION

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 552848103 | Meeting Type | Annual |
| Ticker Symbol | MTG | Meeting Date | 23-Apr-2015 |
| ISIN | US5528481030 | Agenda | 934149849 - Management |
| Record Date | 02-Mar-2015 | Holding Recon Date | 02-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 22-Apr-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|------------------------|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| 1 | DANIEL A. ARRIGONI | | For | For |
| 2 | CASSANDRA C. CARR | | For | For |
| 3 | C. EDWARD CHAPLIN | | For | For |
| 4 | CURT S. CULVER | | For | For |
| 5 | TIMOTHY A. HOLT | | For | For |
| 6 | KENNETH M. JASTROW, II | | For | For |
| 7 | MICHAEL E. LEHMAN | | For | For |
| 8 | DONALD T. NICOLAISEN | | For | For |
| 9 | GARY A. POLINER | | For | For |
| 10 | PATRICK SINKS | | For | For |
| 11 | MARK M. ZANDI | | For | For |

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- | | | | |
|----|---|------------|-----|
| 2. | ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION | Management | For |
| 3. | APPROVE OUR 2015 OMNIBUS INCENTIVE PLAN | Management | For |
| 4. | RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015 | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 12,000 | 0 | 24-Mar-2015 | 14-Mar-2015 |

SELECT MEDICAL HOLDINGS CORP.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 81619Q105 | Meeting Type | Annual |
| Ticker Symbol | SEM | Meeting Date | 27-Apr-2015 |
| ISIN | US81619Q1058 | Agenda | 934139026 - Management |
| Record Date | 02-Mar-2015 | Holding Recon Date | 02-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 24-Apr-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 JAMES E. DALTON, JR. | | For | For |
| | 2 ROCCO A. ORTENZIO | | For | For |
| | 3 THOMAS A. SCULLY | | For | For |
| 2. | ADVISORY VOTE ON EXECUTIVE COMPENSATION | Management | For | For |
| 3. | VOTE TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLC AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING | Management | For | For |

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DECEMBER 31, 2015

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 25,200 | 0 | 12-Mar-2015 | 13-Mar-2015 |

KIRBY CORPORATION

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 497266106 | Meeting Type | Annual |
| Ticker Symbol | KEX | Meeting Date | 28-Apr-2015 |
| ISIN | US4972661064 | Agenda | 934149863 - Management |
| Record Date | 02-Mar-2015 | Holding Recon Date | 02-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 27-Apr-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.1 | ELECTION OF DIRECTOR: BARRY E. DAVIS | Management | For | For |
| 1.2 | ELECTION OF DIRECTOR: MONTE J. MILLER | Management | For | For |
| 1.3 | ELECTION OF DIRECTOR: JOSEPH H. PYNE | Management | For | For |
| 2. | REAPPROVAL OF THE MATERIAL TERMS OF THE PERFORMANCE OBJECTIVES UNDER KIRBY'S 2005 STOCK AND INCENTIVE PLAN. | Management | For | For |
| 3. | RATIFICATION OF THE SELECTION OF KPMG LLP AS KIRBY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015. | Management | For | For |
| 4. | ADVISORY VOTE ON THE APPROVAL OF THE COMPENSATION OF KIRBY'S NAMED EXECUTIVE OFFICERS. | Management | For | For |
| 5. | THE PROXIES ARE AUTHORIZED TO VOTE IN THEIR DISCRETION UPON | Management | For | For |

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SUCH OTHER BUSINESS AS MAY PROPERLY COME BEFORE THE MEETING.

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 5,400 | 0 | 24-Mar-2015 | 15-Mar-2015 |

VALERO ENERGY CORPORATION

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 91913Y100 | Meeting Type | Annual |
| Ticker Symbol | VLO | Meeting Date | 30-Apr-2015 |
| ISIN | US91913Y1001 | Agenda | 934139165 - Management |
| Record Date | 03-Mar-2015 | Holding Recon Date | 03-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 29-Apr-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A. | ELECTION OF DIRECTOR: JERRY D. CHOATE | Management | For | For |
| 1B. | ELECTION OF DIRECTOR: JOSEPH W. GORDER | Management | For | For |
| 1C. | ELECTION OF DIRECTOR: DEBORAH P. MAJORAS | Management | For | For |
| 1D. | ELECTION OF DIRECTOR: DONALD L. NICKLES | Management | For | For |
| 1E. | ELECTION OF DIRECTOR: PHILIP J. PFEIFFER | Management | For | For |
| 1F. | ELECTION OF DIRECTOR: ROBERT A. PROFUSEK | Management | For | For |
| 1G. | ELECTION OF DIRECTOR: SUSAN KAUFMAN PURCELL | Management | For | For |
| 1H. | ELECTION OF DIRECTOR: STEPHEN M. WATERS | Management | For | For |

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| | | | |
|-----|---|-------------|-------------|
| 1I. | ELECTION OF DIRECTOR: RANDALL J. WEISENBURGER | Management | For |
| 1J. | ELECTION OF DIRECTOR: RAYFORD WILKINS, JR. | Management | For |
| 2. | RATIFY THE APPOINTMENT OF KPMG LLP AS VALERO ENERGY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015. | Management | For |
| 3. | APPROVE, BY NON-BINDING VOTE, THE 2014 COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS. | Management | For |
| 4. | VOTE ON A STOCKHOLDER PROPOSAL ENTITLED, "GREENHOUSE GAS EMISSIONS." | Shareholder | Against For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 11,200 | 0 | 21-Mar-2015 | 15-Mar-2015 |

HCA HOLDINGS, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 40412C101 | Meeting Type | Annual |
| Ticker Symbol | HCA | Meeting Date | 30-Apr-2015 |
| ISIN | US40412C1018 | Agenda | 934141312 - Management |
| Record Date | 06-Mar-2015 | Holding Recon Date | 06-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 29-Apr-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---------------------|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 R. MILTON JOHNSON | | For | For |
| | 2 ROBERT J. DENNIS | | For | For |
| | 3 NANCY-ANN DEPARLE | | For | For |

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| | | | |
|----|----------------------|-----|-----|
| 4 | THOMAS F. FRIST III | For | For |
| 5 | WILLIAM R. FRIST | For | For |
| 6 | ANN H. LAMONT | For | For |
| 7 | JAY O. LIGHT | For | For |
| 8 | GEOFFREY G. MEYERS | For | For |
| 9 | MICHAEL W. MICHELSON | For | For |
| 10 | WAYNE J. RILEY, M.D. | For | For |
| 11 | JOHN W. ROWE, M.D. | For | For |

2. TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2015

Management For

3. ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION

Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 1,400 | 0 | 20-Mar-2015 | 15-Mar-2015 |

EMC CORPORATION

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 268648102 | Meeting Type | Annual |
| Ticker Symbol | EMC | Meeting Date | 30-Apr-2015 |
| ISIN | US2686481027 | Agenda | 934146867 - Management |
| Record Date | 27-Feb-2015 | Holding Recon Date | 27-Feb-2015 |
| City / Country | / United States | Vote Deadline Date | 29-Apr-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---------------------------------------|-------------|------|------------------------|
| 1A. | ELECTION OF DIRECTOR: JOSE E. ALMEIDA | Management | For | For |

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| | | | |
|-----|---|-------------|---------|
| 1B. | ELECTION OF DIRECTOR: MICHAEL W. BROWN | Management | For |
| 1C. | ELECTION OF DIRECTOR: DONALD J. CARTY | Management | For |
| 1D. | ELECTION OF DIRECTOR: RANDOLPH L. COWEN | Management | For |
| 1E. | ELECTION OF DIRECTOR: JAMES S. DISTASIO | Management | For |
| 1F. | ELECTION OF DIRECTOR: JOHN R. EGAN | Management | For |
| 1G. | ELECTION OF DIRECTOR: WILLIAM D. GREEN | Management | For |
| 1H. | ELECTION OF DIRECTOR: EDMUND F. KELLY | Management | For |
| 1I. | ELECTION OF DIRECTOR: JAMI MISCIK | Management | For |
| 1J. | ELECTION OF DIRECTOR: PAUL SAGAN | Management | For |
| 1K. | ELECTION OF DIRECTOR: DAVID N. STROHM | Management | For |
| 1L. | ELECTION OF DIRECTOR: JOSEPH M. TUCCI | Management | For |
| 2. | RATIFICATION OF THE SELECTION BY THE AUDIT COMMITTEE OF PRICEWATERHOUSECOOPERS LLP AS EMC'S INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015, AS DESCRIBED IN EMC'S PROXY STATEMENT. | Management | For |
| 3. | ADVISORY APPROVAL OF OUR EXECUTIVE COMPENSATION, AS DESCRIBED IN EMC'S PROXY STATEMENT. | Management | For |
| 4. | APPROVAL OF THE EMC CORPORATION AMENDED AND RESTATED 2003 STOCK PLAN, AS DESCRIBED IN EMC'S PROXY STATEMENT. | Management | For |
| 5. | TO ACT UPON A SHAREHOLDER PROPOSAL RELATING TO AN INDEPENDENT BOARD CHAIRMAN, AS DESCRIBED IN EMC'S PROXY STATEMENT. | Shareholder | Against |

| Account | Account Name | Internal Custodian | Ballot | Unavailable | Vote | Date |
|---------|--------------|--------------------|--------|-------------|------|------|
|---------|--------------|--------------------|--------|-------------|------|------|

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| Number | Account | Shares | Shares | Date | Confirmed | |
|---------|---------|---------|------------------------------|----------|-------------|-------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 38,900 0 | 21-Mar-2015 | 15-Mar-2015 |

PHILLIPS 66

| | | | |
|----------------|---------------|--------------------|------------------------|
| Security | 718546104 | Meeting Type | Annual |
| Ticker Symbol | PSX | Meeting Date | 06-May-2015 |
| ISIN | US7185461040 | Agenda | 934145485 - Management |
| Record Date | 13-Mar-2015 | Holding Recon Date | 13-Mar-2015 |
| City / Country | United States | Vote Deadline Date | 05-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|---------|------------------------|
| 1A. | ELECTION OF DIRECTOR: J. BRIAN FERGUSON | Management | For | For |
| 1B. | ELECTION OF DIRECTOR: HAROLD W. MCGRAW III | Management | For | For |
| 1C. | ELECTION OF DIRECTOR: VICTORIA J. TSCHINKEL | Management | For | For |
| 2. | TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2015. | Management | For | For |
| 3. | TO CONSIDER AND VOTE ON A PROPOSAL TO APPROVE, ON AN ADVISORY (NON- BINDING) BASIS, THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS. | Management | For | For |
| 4. | TO CONSIDER AND VOTE ON A MANAGEMENT PROPOSAL REGARDING THE ANNUAL ELECTION OF DIRECTORS. | Management | For | For |
| 5. | | Shareholder | Against | For |

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TO CONSIDER AND VOTE ON A
SHAREHOLDER PROPOSAL
REGARDING GREENHOUSE GAS
REDUCTION GOALS.

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 10,100 | 0 | 26-Mar-2015 | 16-Mar-2015 |

GILEAD SCIENCES, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 375558103 | Meeting Type | Annual |
| Ticker Symbol | GILD | Meeting Date | 06-May-2015 |
| ISIN | US3755581036 | Agenda | 934149685 - Management |
| Record Date | 11-Mar-2015 | Holding Recon Date | 11-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 05-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A. | ELECTION OF DIRECTOR: JOHN F. COGAN | Management | For | For |
| 1B. | ELECTION OF DIRECTOR: ETIENNE F. DAVIGNON | Management | For | For |
| 1C. | ELECTION OF DIRECTOR: CARLA A. HILLS | Management | For | For |
| 1D. | ELECTION OF DIRECTOR: KEVIN E. LOFTON | Management | For | For |
| 1E. | ELECTION OF DIRECTOR: JOHN W. MADIGAN | Management | For | For |
| 1F. | ELECTION OF DIRECTOR: JOHN C. MARTIN | Management | For | For |
| 1G. | ELECTION OF DIRECTOR: NICHOLAS G. MOORE | Management | For | For |
| 1H. | | Management | For | For |

ELECTION OF DIRECTOR: RICHARD J. WHITLEY

- | | | | |
|-----|--|-------------|-------------|
| 1I. | ELECTION OF DIRECTOR: GAYLE E. WILSON | Management | For |
| 1J. | ELECTION OF DIRECTOR: PER WOLD-OLSEN | Management | For |
| 2. | TO RATIFY THE SELECTION OF ERNST & YOUNG LLP BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF GILEAD FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015. | Management | For |
| 3. | TO APPROVE AN AMENDMENT AND RESTATEMENT TO GILEAD'S EMPLOYEE STOCK PURCHASE PLAN AND INTERNATIONAL EMPLOYEE STOCK PURCHASE PLAN. | Management | For |
| 4. | TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS AS PRESENTED IN THE PROXY STATEMENT. | Management | For |
| 5. | TO VOTE ON A STOCKHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT THE BOARD TAKE STEPS TO PERMIT STOCKHOLDER ACTION BY WRITTEN CONSENT. | Shareholder | Against For |
| 6. | TO VOTE ON A STOCKHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT THE BOARD ADOPT A POLICY THAT THE CHAIRMAN OF THE BOARD OF DIRECTORS BE AN INDEPENDENT DIRECTOR. | Shareholder | Against For |
| 7. | TO VOTE ON A STOCKHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT GILEAD ISSUE AN ANNUAL SUSTAINABILITY REPORT. | Shareholder | Against For |
| 8. | TO VOTE ON A STOCKHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT THE BOARD REPORT ON CERTAIN RISKS TO GILEAD FROM RISING | Shareholder | Against For |

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PRESSURE TO CONTAIN U.S.
SPECIALTY DRUG PRICES.

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 2,300 | 0 | 28-Mar-2015 | 28-Mar-2015 |

W.R. GRACE & CO.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 38388F108 | Meeting Type | Annual |
| Ticker Symbol | GRA | Meeting Date | 07-May-2015 |
| ISIN | US38388F1084 | Agenda | 934148481 - Management |
| Record Date | 10-Mar-2015 | Holding Recon Date | 10-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 06-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.1 | ELECTION OF CLASS I DIRECTOR (TERM EXPIRING 2018): ROBERT F. CUMMINGS, JR. | Management | | |
| 1.2 | ELECTION OF CLASS I DIRECTOR (TERM EXPIRING 2018): MARYE ANNE FOX | Management | | |
| 1.3 | ELECTION OF CLASS I DIRECTOR (TERM EXPIRING 2018): JANICE K. HENRY | Management | | |
| 1.4 | ELECTION OF CLASS I DIRECTOR (TERM EXPIRING 2018): MARK E. TOMKINS | Management | | |
| 1.5 | ELECTION OF CLASS II DIRECTOR (TERM EXPIRING 2016): DIANE H. GULYAS | Management | | |
| 2. | RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED | Management | | |

PUBLIC ACCOUNTING FIRM FOR 2015

3. ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION Management

4. ADVISORY VOTE ON THE FREQUENCY OF THE ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION Management

5. APPROVAL OF THE GRACE EXECUTIVE ANNUAL INCENTIVE COMPENSATION PLAN, OR EAICP, INCLUDING THE MATERIAL TERMS OF THE PERFORMANCE MEASURES AVAILABLE UNDER THE EAICP Management

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|---------------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 20,900 | 0 | |

CHINA UNICOM (HONG KONG) LTD, HONG KONG

| | | | |
|----------------|---------------------------------------|--------------------|------------------------|
| Security | Y1519S111 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 08-May-2015 |
| ISIN | HK0000049939 | Agenda | 705897190 - Management |
| Record Date | 05-May-2015 | Holding Recon Date | 05-May-2015 |
| City / Country | HONG KONG / Hong Kong | Vote Deadline Date | 24-Apr-2015 |
| SEDOL(s) | 4101374 - 6263830 - B16PR82 - BP3RPN0 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE. | | Non-Voting | |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL | | Non-Voting | |

LINKS:-

<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0317/LTN20150317053.pdf>-AND-

<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0317/LTN20150317049.pdf>

| | | | |
|-------|---|------------|-----|
| 1 | TO RECEIVE AND CONSIDER THE FINANCIAL STATEMENTS AND THE REPORTS OF THE DIRECTORS AND OF THE INDEPENDENT AUDITOR FOR THE YEAR ENDED 31 DECEMBER 2014 | Management | For |
| 2 | TO DECLARE A FINAL DIVIDEND FOR THE YEAR ENDED 31 DECEMBER 2014: RMB0.20 PER SHARE | Management | For |
| 3ai | TO RE-ELECT MR. CHANG XIAOBING AS A DIRECTOR | Management | For |
| 3aii | TO RE-ELECT MR. ZHANG JUNAN AS A DIRECTOR | Management | For |
| 3aiii | TO RE-ELECT MR. CESAREO ALIERTA IZUEL AS A DIRECTOR | Management | For |
| 3aiv | TO RE-ELECT MR. CHUNG SHUI MING TIMPSON AS A DIRECTOR | Management | For |
| 3b | TO AUTHORISE THE BOARD OF DIRECTORS TO FIX THE REMUNERATION OF THE DIRECTORS FOR THE YEAR ENDING 31 DECEMBER 2015 | Management | For |
| 4 | TO RE-APPOINT AUDITOR, AND TO AUTHORISE THE BOARD OF DIRECTORS TO FIX THEIR REMUNERATION FOR THE YEAR ENDING 31 DECEMBER 2015 | Management | For |
| 5 | TO GRANT A GENERAL MANDATE TO THE DIRECTORS TO BUY BACK SHARES IN THE COMPANY NOT EXCEEDING 10% OF THE TOTAL NUMBER OF THE EXISTING SHARES IN THE COMPANY IN ISSUE | Management | For |
| 6 | TO GRANT A GENERAL MANDATE TO THE DIRECTORS TO ISSUE, ALLOT AND DEAL WITH ADDITIONAL SHARES IN THE COMPANY NOT EXCEEDING 20% OF THE TOTAL NUMBER OF THE EXISTING SHARES IN THE COMPANY IN ISSUE | Management | For |

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| | | | |
|---|---|------------|-----|
| 7 | TO EXTEND THE GENERAL MANDATE GRANTED TO THE DIRECTORS TO ISSUE, ALLOT AND DEAL WITH SHARES BY THE NUMBER OF SHARES BOUGHT BACK | Management | For |
| 8 | TO APPROVE THE ADOPTION OF THE NEW ARTICLES OF ASSOCIATION OF THE COMPANY | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|-------------------|--------------------------|-------------------|--------------------|--------------------|------------------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 604,000 0 | | 15-Apr-2006 | 15-May-2015 |
| ATLAS MARA LTD | | | | | | | |
| Security | G0697K106 | | | Meeting Type | | Annual General Meeting | |
| Ticker Symbol | | | | Meeting Date | | 12-May-2015 | |
| ISIN | VGG0697K1066 | | | Agenda | | 706042493 - Management | |
| Record Date | | | | Holding Recon Date | | 08-May-2015 | |
| City / Country | NEW YORK | Virgin Islands (British) | | Vote Deadline Date | | 04-May-2015 | |
| SEDOL(s) | BH2RCH8 - BJ36RS4 | | | Quick Code | | | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1 | THAT THE DIRECTORS' REPORT AND ACCOUNTS AND THE AUDITORS' REPORT FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2014 BE AND ARE RECEIVED | Management | For | For |
| 2 | THAT MR. ARNOLD EKPE TO BE RE-APPOINTED AS DIRECTOR OF THE COMPANY | Management | For | For |
| 3 | THAT MR. JOHN F. VITALO BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY | Management | For | For |
| 4 | THAT MS. RACHEL F. ROBBINS BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY | Management | For | For |

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- 5 THAT MR. TONYE COLE BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY Management For
- 6 THAT MS. OLUFUNKE OPEKE BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY Management For
- 7 THAT MR. AMADOU RAIMI BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY Management For
- 8 THAT MR. EDUARDO CHIVAMBO MONDLANE, JR. BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY Management For
- 9 THAT MR. ROBERT E. DIAMOND, JR. BE RE- APPOINTED AS A DIRECTOR OF THE COMPANY Management For
- 10 THAT MR. ASHISH J. THAKKAR BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY Management For

- 11 THAT, PURSUANT TO ARTICLE 3.11 OF THE ARTICLES, THE DIRECTORS BE AND ARE GIVEN THE POWER TO ISSUE OR SELL FROM TREASURY EQUITY SECURITIES OF ANY CLASS FOR CASH AS IF THE PROVISIONS OF ARTICLE 3.2 OF THE ARTICLES DO NOT APPLY TO THE ISSUE OR SALE FROM TREASURY Management For

CMMT 24 APR 2015: PLEASE NOTE THAT THIS IS A REVISION DUE TO CHANGE OF THE MEETING-TIME. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS-YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU. Non-Voting

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|----------------------|
| AL27 | AL27 | | STATE STREET BANK | 183,658 0 | | 18-Apr-2005-May-2015 |

CALPINE CORPORATION

| | | | |
|---------------|--------------|--------------|------------------------|
| Security | 131347304 | Meeting Type | Annual |
| Ticker Symbol | CPN | Meeting Date | 13-May-2015 |
| ISIN | US1313473043 | Agenda | 934151983 - Management |

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| | | | |
|----------------|-----------------|--------------------|-------------|
| Record Date | 16-Mar-2015 | Holding Recon Date | 16-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 12-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 FRANK CASSIDY | | For | For |
| | 2 JACK A. FUSCO | | For | For |
| | 3 JOHN B. (THAD) HILL III | | For | For |
| | 4 MICHAEL W. HOFMANN | | For | For |
| | 5 DAVID C. MERRITT | | For | For |
| | 6 W. BENJAMIN MORELAND | | For | For |
| | 7 ROBERT A. MOSBACHER, JR | | For | For |
| | 8 DENISE M. O'LEARY | | For | For |
| 2. | TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2015. | Management | For | For |
| 3. | TO APPROVE, ON AN ADVISORY BASIS, NAMED EXECUTIVE OFFICER COMPENSATION. | Management | For | For |
| 4. | TO AMEND AND RESTATE THE COMPANY'S BYLAWS TO IMPLEMENT MAJORITY VOTING IN UNCONTESTED DIRECTOR ELECTIONS. | Management | For | For |
| 5. | TO REAPPROVE THE MATERIAL TERMS OF THE PERFORMANCE GOALS UNDER THE CALPINE CORPORATION 2008 EQUITY INCENTIVE PLAN FOR PURPOSES OF SECTION 162(M) OF THE INTERNAL REVENUE CODE. | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|---------------|------------------|-----------|---------------|--------------------|----------------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | | 83,100 | 0 | 01-Apr-2005-Apr-2015 |

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STATE
STREET
BANK
&
TRUST
CO

AMERICAN INTERNATIONAL GROUP, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 026874784 | Meeting Type | Annual |
| Ticker Symbol | AIG | Meeting Date | 13-May-2015 |
| ISIN | US0268747849 | Agenda | 934157226 - Management |
| Record Date | 18-Mar-2015 | Holding Recon Date | 18-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 12-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A. | ELECTION OF DIRECTOR: W. DON CORNWELL | Management | For | For |
| 1B. | ELECTION OF DIRECTOR: PETER R. FISHER | Management | For | For |
| 1C. | ELECTION OF DIRECTOR: JOHN H. FITZPATRICK | Management | For | For |
| 1D. | ELECTION OF DIRECTOR: PETER D. HANCOCK | Management | For | For |
| 1E. | ELECTION OF DIRECTOR: WILLIAM G. JURGENSEN | Management | For | For |
| 1F. | ELECTION OF DIRECTOR: CHRISTOPHER S. LYNCH | Management | For | For |
| 1G. | ELECTION OF DIRECTOR: GEORGE L. MILES, JR. | Management | For | For |
| 1H. | ELECTION OF DIRECTOR: HENRY S. MILLER | Management | For | For |
| 1I. | ELECTION OF DIRECTOR: ROBERT S. MILLER | Management | For | For |
| 1J. | ELECTION OF DIRECTOR: SUZANNE NORA JOHNSON | Management | For | For |

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| | | | |
|-----|---|------------|-----|
| 1K. | ELECTION OF DIRECTOR: RONALD A. RITTENMEYER | Management | For |
| 1L. | ELECTION OF DIRECTOR: DOUGLAS M. STEENLAND | Management | For |
| 1M. | ELECTION OF DIRECTOR: THERESA M. STONE | Management | For |
| 2. | TO VOTE, ON A NON-BINDING ADVISORY BASIS, TO APPROVE EXECUTIVE COMPENSATION. | Management | For |
| 3. | TO ACT UPON A PROPOSAL TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS AIG'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015. | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|---------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 10,500 | 0 | 31-Mar-2015 |

SERVICE CORPORATION INTERNATIONAL

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 817565104 | Meeting Type | Annual |
| Ticker Symbol | SCI | Meeting Date | 13-May-2015 |
| ISIN | US8175651046 | Agenda | 934159725 - Management |
| Record Date | 16-Mar-2015 | Holding Recon Date | 16-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 12-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---------------------|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 ELLEN OCHOA | | For | For |
| | 2 R.L. WALTRIP | | For | For |
| | 3 ANTHONY L. COELHO | | For | For |

ELECTION OF DIRECTOR: KIMBERLY A. CASIANO

| | | | |
|-----|--|-------------|-------------|
| 1C. | ELECTION OF DIRECTOR: ANTHONY F. EARLEY, JR. | Management | For |
| 1D. | ELECTION OF DIRECTOR: MARK FIELDS | Management | For |
| 1E. | ELECTION OF DIRECTOR: EDSEL B. FORD II | Management | For |
| 1F. | ELECTION OF DIRECTOR: WILLIAM CLAY FORD, JR. | Management | For |
| 1G. | ELECTION OF DIRECTOR: JAMES P. HACKETT | Management | For |
| 1H. | ELECTION OF DIRECTOR: JAMES H. HANCE, JR. | Management | For |
| 1I. | ELECTION OF DIRECTOR: WILLIAM W. HELMAN IV | Management | For |
| 1J. | ELECTION OF DIRECTOR: JON M. HUNTSMAN, JR. | Management | For |
| 1K. | ELECTION OF DIRECTOR: WILLIAM E. KENNARD | Management | For |
| 1L. | ELECTION OF DIRECTOR: JOHN C. LECHLEITER | Management | For |
| 1M. | ELECTION OF DIRECTOR: ELLEN R. MARRAM | Management | For |
| 1N. | ELECTION OF DIRECTOR: GERALD L. SHAHEEN | Management | For |
| 1O. | ELECTION OF DIRECTOR: JOHN L. THORNTON | Management | For |
| 2. | RATIFICATION OF SELECTION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. | Management | For |
| 3. | SAY ON PAY - AN ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE NAMED EXECUTIVES. | Management | For |
| 4. | RELATING TO CONSIDERATION OF A RECAPITALIZATION PLAN TO PROVIDE THAT ALL OF THE COMPANY'S OUTSTANDING STOCK HAVE ONE VOTE PER SHARE. | Shareholder | Against For |
| 5. | | Shareholder | Against For |

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RELATING TO ALLOWING HOLDERS OF
20% OF OUTSTANDING COMMON
STOCK TO CALL SPECIAL MEETINGS
OF SHAREHOLDERS.

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 61,800 | 0 | 26-Mar-2015 | 26-Mar-2015 |

TWO HARBORS INVESTMENT CORP.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 90187B101 | Meeting Type | Annual |
| Ticker Symbol | TWO | Meeting Date | 14-May-2015 |
| ISIN | US90187B1017 | Agenda | 934154220 - Management |
| Record Date | 19-Mar-2015 | Holding Recon Date | 19-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 13-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.1 | ELECTION OF DIRECTOR: E. SPENCER ABRAHAM | Management | For | For |
| 1.2 | ELECTION OF DIRECTOR: JAMES J. BENDER | Management | For | For |
| 1.3 | ELECTION OF DIRECTOR: STEPHEN G. KASNET | Management | For | For |
| 1.4 | ELECTION OF DIRECTOR: WILLIAM ROTH | Management | For | For |
| 1.5 | ELECTION OF DIRECTOR: W. REID SANDERS | Management | For | For |
| 1.6 | ELECTION OF DIRECTOR: THOMAS E. SIERING | Management | For | For |
| 1.7 | ELECTION OF DIRECTOR: BRIAN C. TAYLOR | Management | For | For |
| 1.8 | | Management | For | For |

ELECTION OF DIRECTOR: HOPE B. WOODHOUSE

2. ADVISORY VOTE ON THE COMPENSATION OF OUR EXECUTIVE OFFICERS. Management For

3. APPROVAL OF THE TWO HARBORS SECOND RESTATED 2009 EQUITY INCENTIVE PLAN. Management For

4. RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP TO SERVE AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR OUR FISCAL YEAR ENDING DECEMBER 31, 2015. Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 61,700 | 0 | 27-Mar-2015 | 27-Mar-2015 |
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 200,000 | 0 | 27-Mar-2015 | 27-Mar-2015 |

ALLISON TRANSMISSION HOLDINGS, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 01973R101 | Meeting Type | Annual |
| Ticker Symbol | ALSN | Meeting Date | 14-May-2015 |
| ISIN | US01973R1014 | Agenda | 934155412 - Management |
| Record Date | 16-Mar-2015 | Holding Recon Date | 16-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 13-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |

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| | | | |
|---|---------------------|-----|-----|
| 1 | LAWRENCE E. DEWEY | For | For |
| 2 | THOMAS W. RABAUT | For | For |
| 3 | RICHARD V. REYNOLDS | For | For |

2. RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015. Management For

3. APPROVAL OF THE ALLISON TRANSMISSION HOLDINGS, INC. 2015 EQUITY INCENTIVE AWARD PLAN. Management For

4. APPROVAL OF THE ALLISON TRANSMISSION HOLDINGS, INC. 2016 INCENTIVE PLAN. Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|----------------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 1,000 | 0 | 16-Apr-2015-May-2015 |

CYPRESS SEMICONDUCTOR CORPORATION

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 232806109 | Meeting Type | Annual |
| Ticker Symbol | CY | Meeting Date | 15-May-2015 |
| ISIN | US2328061096 | Agenda | 934161580 - Management |
| Record Date | 23-Mar-2015 | Holding Recon Date | 23-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 14-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|-------------------|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| 1 | T.J. RODGERS | | For | For |
| 2 | W. STEVE ALBRECHT | | For | For |
| 3 | ERIC A. BENHAMOU | | For | For |

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| | | | |
|---|----------------------|-----|-----|
| 4 | WILBERT VAN DEN HOEK | For | For |
| 5 | JOHN H. KISPERT | For | For |
| 6 | H. RAYMOND BINGHAM | For | For |
| 7 | O.C. KWON | For | For |
| 8 | MICHAEL S. WISHART | For | For |

2 THE RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2015. Management For

3 ANNUAL ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS. Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 40,294 | 0 | 02-Apr-2018 | 18-May-2015 |
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 88,452 | 0 | 02-Apr-2018 | 18-May-2015 |

VERACYTE, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 92337F107 | Meeting Type | Annual |
| Ticker Symbol | VCYT | Meeting Date | 18-May-2015 |
| ISIN | US92337F1075 | Agenda | 934167594 - Management |
| Record Date | 24-Mar-2015 | Holding Recon Date | 24-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 15-May-2015 |
| SEDOL(s) | | Quick Code | |

| | | | | |
|------|----------|-------------|------|------------------------|
| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|

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| | | | | |
|----|---|------------|-----|-----|
| 1. | DIRECTOR | Management | | |
| | 1 JOHN L. BISHOP | | For | For |
| | 2 FRED E. COHEN | | For | For |
| 2 | TO APPROVE THE VERACYTE, INC. EMPLOYEE STOCK PURCHASE PLAN. | Management | For | For |
| 3 | TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015. | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 7,100 | 0 | 09-Apr-2015 | 19-May-2015 |

COMMUNITY HEALTH SYSTEMS, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 203668108 | Meeting Type | Annual |
| Ticker Symbol | CYH | Meeting Date | 19-May-2015 |
| ISIN | US2036681086 | Agenda | 934167075 - Management |
| Record Date | 20-Mar-2015 | Holding Recon Date | 20-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 18-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A) | ELECTION OF DIRECTOR: W. LARRY CASH | Management | For | For |
| 1B) | ELECTION OF DIRECTOR: JOHN A. CLERLCO | Management | For | For |
| 1C) | ELECTION OF DIRECTOR: JAMES S. ELY III | Management | For | For |
| 1D) | ELECTION OF DIRECTOR: JOHN A. FRY | Management | For | For |
| 1E) | ELECTION OF DIRECTOR: WILLIAM NORRIS JENNINGS, M.D. | Management | For | For |

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| | | | |
|-----|--|-------------|-----|
| 1F) | ELECTION OF DIRECTOR: JULIA B. NORTH | Management | For |
| 1G) | ELECTION OF DIRECTOR: WAYNE T. SMITH | Management | For |
| 1H) | ELECTION OF DIRECTOR: H. MITCHELL WATSON, JR. | Management | For |
| 2. | PROPOSAL TO APPROVE THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS. | Management | For |
| 3. | PROPOSAL TO RATIFY THE SELECTION OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015. | Management | For |
| 4. | STOCKHOLDER PROPOSAL REGARDING THE AMENDMENT OF THE COMPANY'S CLAWBACK POLICY. | Shareholder | For |
| 5. | STOCKHOLDER PROPOSAL REGARDING STOCKHOLDER PROXY ACCESS. | Shareholder | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|---------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 3,700 | 0 | 16-Apr-2015 |

JPMORGAN CHASE & CO.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 46625H100 | Meeting Type | Annual |
| Ticker Symbol | JPM | Meeting Date | 19-May-2015 |
| ISIN | US46625H1005 | Agenda | 934169916 - Management |
| Record Date | 20-Mar-2015 | Holding Recon Date | 20-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 18-May-2015 |
| SEDOL(s) | | Quick Code | |

| | | |
|------|----------|------|
| Item | Proposal | Vote |
|------|----------|------|

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| | | Proposed by | For/Against Management |
|-----|--|----------------|---------------------------|
| 1A. | ELECTION OF DIRECTOR: LINDA B. BAMMANN | Management | For |
| 1B. | ELECTION OF DIRECTOR: JAMES A. BELL | Management | For |
| 1C. | ELECTION OF DIRECTOR: CRANDALL C. BOWLES | Management | For |
| 1D. | ELECTION OF DIRECTOR: STEPHEN B. BURKE | Management | For |
| 1E. | ELECTION OF DIRECTOR: JAMES S. CROWN | Management | For |
| 1F. | ELECTION OF DIRECTOR: JAMES DIMON | Management | For |
| 1G. | ELECTION OF DIRECTOR: TIMOTHY P. FLYNN | Management | For |
| 1H. | ELECTION OF DIRECTOR: LABAN P. JACKSON, JR. | Management | For |
| 1I. | ELECTION OF DIRECTOR: MICHAEL A. NEAL | Management | For |
| 1J. | ELECTION OF DIRECTOR: LEE R. RAYMOND | Management | For |
| 1K. | ELECTION OF DIRECTOR: WILLIAM C. WELDON | Management | For |
| 2. | ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION | Management | For |
| 3. | RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM | Management | For |
| 4. | APPROVAL OF AMENDMENT TO LONG-TERM INCENTIVE PLAN | Management | For |
| 5. | INDEPENDENT BOARD CHAIRMAN - REQUIRE AN INDEPENDENT CHAIR | Shareholders | Against For |
| 6. | LOBBYING - REPORT ON POLICIES, PROCEDURES AND EXPENDITURES | Shareholders | Against For |
| 7. | SPECIAL SHAREOWNER MEETINGS - REDUCE OWNERSHIP THRESHOLD FROM 20% TO 10% | Shareholders | Against For |
| 8. | HOW VOTES ARE COUNTED - COUNT VOTES USING ONLY FOR AND AGAINST | Shareholders | Against For |

9. ACCELERATED VESTING PROVISIONS -
REPORT NAMES OF SENIOR
EXECUTIVES AND VALUE OF EQUITY
AWARDS THAT WOULD VEST IF THEY
RESIGN TO ENTER GOVERNMENT
SERVICE

Shareholder Against For

10. CLAWBACK DISCLOSURE POLICY -
DISCLOSE WHETHER THE FIRM
RECOUPED ANY INCENTIVE
COMPENSATION FROM SENIOR
EXECUTIVES

Shareholder Against For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 11,500 | 0 | 09-Apr-2015 | 16-May-2015 |

HEALTHWAYS, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 422245100 | Meeting Type | Annual |
| Ticker Symbol | HWAY | Meeting Date | 19-May-2015 |
| ISIN | US4222451001 | Agenda | 934170337 - Management |
| Record Date | 25-Mar-2015 | Holding Recon Date | 25-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 18-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A. | ELECTION OF DIRECTOR: MARY JANE ENGLAND, M.D. | Management | For | For |
| 1B. | ELECTION OF DIRECTOR: ROBERT J. GRECZYN, JR. | Management | For | For |
| 1C. | ELECTION OF DIRECTOR: BRADLEY S. KARRO | Management | For | For |
| 1D. | ELECTION OF DIRECTOR: PAUL H. KECKLEY, PH.D. | Management | For | For |
| 1E. | | Management | For | For |

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| City / SEDOL(s) | Country | United States | Vote Deadline Date | 18-May-2015 |
|--------------------|---|------------------|-----------------------|---------------------------|
| | | | Quick Code | |
| Item | Proposal | Proposed by | Vote | For/Against Management |
| 1A. | ELECTION OF DIRECTOR: RONALD H. FARMER | Management | For | For |
| 1B. | ELECTION OF DIRECTOR: COLLEEN A. GOGGINS | Management | For | For |
| 1C. | ELECTION OF DIRECTOR: ROBERT A. INGRAM | Management | For | For |
| 1D. | ELECTION OF DIRECTOR: ANDERS O. LONNER | Management | For | For |
| 1E. | ELECTION OF DIRECTOR: THEO MELAS- KYRIAZI | Management | For | For |
| 1F. | ELECTION OF DIRECTOR: J. MICHAEL PEARSON | Management | For | For |
| 1G. | ELECTION OF DIRECTOR: ROBERT N. POWER | Management | For | For |
| 1H. | ELECTION OF DIRECTOR: NORMA A. PROVENCIO | Management | For | For |
| 1I. | ELECTION OF DIRECTOR: HOWARD B. SCHILLER | Management | For | For |
| 1J. | ELECTION OF DIRECTOR: KATHARINE B. STEVENSON | Management | For | For |
| 1K. | ELECTION OF DIRECTOR: JEFFREY W. UBBEN | Management | For | For |
| 2. | THE APPROVAL, IN AN ADVISORY RESOLUTION, OF THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE COMPENSATION DISCUSSION AND ANALYSIS SECTION, EXECUTIVE COMPENSATION TABLES AND ACCOMPANYING NARRATIVE DISCUSSIONS CONTAINED IN THE MANAGEMENT PROXY CIRCULAR AND PROXY STATEMENT. | Management | For | For |
| 3. | TO APPOINT PRICEWATERHOUSECOOPERS LLP AS THE AUDITORS FOR THE COMPANY TO | Management | For | For |

HOLD OFFICE UNTIL THE CLOSE OF THE 2016 ANNUAL MEETING OF SHAREHOLDERS AND TO AUTHORIZE THE COMPANY'S BOARD OF DIRECTORS TO FIX THE AUDITORS' REMUNERATION.

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 5,400 | 0 | 10-Apr-2015 | 10-May-2015 |

LUMBER LIQUIDATORS HOLDINGS, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 55003T107 | Meeting Type | Annual |
| Ticker Symbol | LL | Meeting Date | 21-May-2015 |
| ISIN | US55003T1079 | Agenda | 934171606 - Management |
| Record Date | 26-Mar-2015 | Holding Recon Date | 26-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 20-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 DOUGLAS T. MOORE | | For | For |
| | 2 NANCY M. TAYLOR | | For | For |
| | 3 JIMMIE L. WADE | | For | For |
| 2. | PROPOSAL TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015. | Management | For | For |
| 3. | PROPOSAL TO PROVIDE ADVISORY (NON- BINDING) APPROVAL OF NAMED EXECUTIVE OFFICER COMPENSATION. | Management | For | For |

Account Name

Custodian

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| Account Number | | Internal Account | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|---------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | 13,400 | 0 | 11-Apr-2015 | 11-May-2015 |
| | | STATE STREET BANK & TRUST CO | | | | |
| 997AL60 | GLV MS PLEDGE | 997AL60 | 1,000 | 0 | 11-Apr-2015 | 11-May-2015 |
| | | STATE STREET BANK & TRUST CO | | | | |

VINDA INTERNATIONAL HOLDINGS LTD

| | | | |
|----------------|----------------------------|--------------------|------------------------|
| Security | G9361V108 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 26-May-2015 |
| ISIN | KYG9361V1086 | Agenda | 705871994 - Management |
| Record Date | 19-May-2015 | Holding Recon Date | 19-May-2015 |
| City / Country | HONG KONG / Cayman Islands | Vote Deadline Date | 12-May-2015 |
| SEDOL(s) | B1Z7648 - B235FQ6 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING | | Non-Voting | |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0305/LTN20150305009.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0305/LTN20150305011.PDF | | Non-Voting | |
| 1 | TO RECEIVE AND ADOPT THE AUDITED FINANCIAL STATEMENTS AND THE REPORTS OF THE DIRECTORS AND THE | Management | For | For |

AUDITORS OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2014

| | | | |
|---------|--|------------|-----|
| 2 | TO DECLARE A FINAL DIVIDEND FOR THE YEAR ENDED 31 DECEMBER 2014 | Management | For |
| 3.a.i | TO RE-ELECT MR. LI CHAO WANG AS AN EXECUTIVE DIRECTOR | Management | For |
| 3.a.ii | TO RE-ELECT MR. JOHANN CHRISTOPH MICHALSKI AS A NON-EXECUTIVE DIRECTOR | Management | For |
| 3.a.iii | TO RE-ELECT MR. ULF OLOF LENNART SODERSTROM AS A NON-EXECUTIVE DIRECTOR | Management | For |
| 3.a.iv | TO RE-ELECT MR. KAM ROBERT AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR | Management | For |
| 3.a.v | TO RE-ELECT MR. WONG KWAI HUEN, ALBERT AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR | Management | For |
| 3.b | TO AUTHORISE THE BOARD OF DIRECTORS TO FIX THE REMUNERATION OF THE DIRECTORS | Management | For |
| 4 | TO RE-APPOINT PRICEWATERHOUSECOOPERS AS AUDITOR OF THE COMPANY AND TO AUTHORISE THE BOARD OF DIRECTORS TO FIX THEIR REMUNERATION | Management | For |
| 5 | TO GIVE A GENERAL MANDATE TO THE DIRECTORS TO ISSUE SHARES UP TO 20% | Management | For |
| 6 | TO GIVE A GENERAL MANDATE TO THE DIRECTORS TO REPURCHASE SHARES UP TO 10% | Management | For |
| 7 | TO AUTHORISE THE DIRECTORS TO ISSUE AND ALLOT THE SHARES REPURCHASED BY THE COMPANY | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|----------------------|
| AL27 | AL27 | | STATE STREET BANK | 227,498 | 0 | 06-Mar-2015-May-2015 |

ILLUMINA, INC.

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| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 452327109 | Meeting Type | Annual |
| Ticker Symbol | ILMN | Meeting Date | 27-May-2015 |
| ISIN | US4523271090 | Agenda | 934174602 - Management |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 26-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A. | ELECTION OF DIRECTOR: A. BLAINE BOWMAN | Management | For | For |
| 1B. | ELECTION OF DIRECTOR: KARIN EASTHAM, CPA | Management | For | For |
| 1C. | ELECTION OF DIRECTOR: JAY T. FLATLEY | Management | For | For |
| 1D. | ELECTION OF DIRECTOR: JEFFREY T. HUBER | Management | For | For |
| 1E. | ELECTION OF DIRECTOR: WILLIAM H. RASTETTER, PH.D. | Management | For | For |
| 2. | TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING JANUARY 3, 2016 | Management | For | For |
| 3. | TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT | Management | For | For |
| 4. | TO APPROVE THE ILLUMINA, INC. 2015 STOCK AND INCENTIVE PLAN | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|---------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 1,700 | 0 | 14-Apr-2015 |

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| | | | | | | | |
|---------|---------------|---------|---|-------|---|-------------|-------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 8,000 | 0 | 14-Apr-2015 | 18-May-2015 |
|---------|---------------|---------|---|-------|---|-------------|-------------|

ALKERMES PLC

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | G01767105 | Meeting Type | Annual |
| Ticker Symbol | ALKS | Meeting Date | 27-May-2015 |
| ISIN | IE00B56GVS15 | Agenda | 934182673 - Management |
| Record Date | 17-Mar-2015 | Holding Recon Date | 17-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 22-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.1 | ELECTION OF DIRECTOR: FLOYD E. BLOOM | Management | For | For |
| 1.2 | ELECTION OF DIRECTOR: NANCY J. WYSENSKI | Management | For | For |
| 2. | TO HOLD A NON-BINDING, ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS. | Management | For | For |
| 3. | TO AUTHORIZE HOLDING THE 2016 ANNUAL GENERAL MEETING OF SHAREHOLDERS OF THE COMPANY AT A LOCATION OUTSIDE OF IRELAND. | Management | For | For |
| 4. | TO APPOINT PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT ACCOUNTING FIRM OF THE COMPANY AND TO AUTHORIZE THE AUDIT AND RISK COMMITTEE OF THE BOARD OF DIRECTORS TO SET THE ACCOUNTING FIRM'S REMUNERATION. | Management | For | For |

| | | | | | | |
|----------------|--------------|------------------|-----------|---------------|--------------------|---------------------|
| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
| 997AL27 | GLV | 997AL27 | | 5,800 | 0 | 15-Apr-2015 |

STATE
STREET
BANK
&
TRUST
CO

DYNAVAX TECHNOLOGIES CORPORATION

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 268158201 | Meeting Type | Annual |
| Ticker Symbol | DVAX | Meeting Date | 27-May-2015 |
| ISIN | US2681582019 | Agenda | 934204594 - Management |
| Record Date | 07-Apr-2015 | Holding Recon Date | 07-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 26-May-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 ARNOLD L. ORONSKY, PH.D | | For | For |
| | 2 FRANCIS R. CANO, PH.D. | | For | For |
| | 3 PEGGY V. PHILLIPS | | For | For |
| 2. | TO AMEND AND RESTATE THE DYNAVAX TECHNOLOGIES CORPORATION 2011 EQUITY INCENTIVE PLAN TO, AMONG OTHER THINGS, INCREASE THE AGGREGATE NUMBER OF SHARES OF COMMON STOCK AUTHORIZED FOR ISSUANCE UNDER THE PLAN BY 2,250,000, AND TO APPROVE THE AWARD LIMITS AND OTHER TERMS APPLICABLE TO AWARDS INTENDED TO QUALIFY AS "PERFORMANCE- BASED COMPENSATION" FOR PURPOSES OF SECTION 162(M) OF THE INTERNAL REVENUE CODE. | Management | For | For |
| 3. | TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR ITS FISCAL | Management | For | For |

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YEAR ENDING DECEMBER 31, 2015.

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 11,900 | 0 | 25-Apr-2015 | 28-May-2015 |

CHINA MOBILE LIMITED, HONG KONG

| | | | |
|----------------|---|--------------------|------------------------|
| Security | Y14965100 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 28-May-2015 |
| ISIN | HK0941009539 | Agenda | 705987886 - Management |
| Record Date | 21-May-2015 | Holding Recon Date | 21-May-2015 |
| City / Country | HONG KONG / Hong Kong | Vote Deadline Date | 14-May-2015 |
| SEDOL(s) | 5563575 - 6073556 - B01DDV9 - B162JB0 - BP3RPD0 - BRTM834 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE. | | Non-Voting | |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0408/LTN201504081007.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0408/LTN20150408997.pdf | | Non-Voting | |
| 1 | TO RECEIVE AND CONSIDER THE AUDITED FINANCIAL STATEMENTS AND THE REPORTS OF THE DIRECTORS AND AUDITORS OF THE COMPANY AND ITS SUBSIDIARIES FOR THE YEAR ENDED 31 DECEMBER 2014 | Management | For | For |
| 2 | TO DECLARE A FINAL DIVIDEND FOR | Management | For | For |

THE YEAR ENDED 31 DECEMBER 2014

| | | | |
|------|--|------------|-----|
| 3 | TO RE-ELECT MR. XUE TAOHAI AS EXECUTIVE DIRECTOR OF THE COMPANY | Management | For |
| 4.i | TO RE-ELECT THE FOLLOWING PERSON AS INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY: MR. FRANK WONG KWONG SHING | Management | For |
| 4.ii | TO RE-ELECT THE FOLLOWING PERSON AS INDEPENDENT NON-EXECUTIVE DIRECTOR OF THE COMPANY: DR. MOSES CHENG MO CHI | Management | For |
| 5 | TO RE-APPOINT PRICEWATERHOUSECOOPERS AND PRICEWATERHOUSECOOPERS ZHONG TIAN LLP AS THE AUDITORS OF THE GROUP FOR HONG KONG FINANCIAL REPORTING AND U.S. FINANCIAL REPORTING PURPOSES, RESPECTIVELY, AND TO AUTHORIZE THE DIRECTORS OF THE COMPANY TO FIX THEIR REMUNERATION | Management | For |
| 6 | TO GIVE A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO REPURCHASE SHARES IN THE COMPANY NOT EXCEEDING 10% OF THE NUMBER OF ISSUED SHARES IN ACCORDANCE WITH ORDINARY RESOLUTION NUMBER 6 AS SET OUT IN THE AGM NOTICE | Management | For |
| 7 | TO GIVE A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO ISSUE, ALLOT AND DEAL WITH ADDITIONAL SHARES IN THE COMPANY NOT EXCEEDING 20% OF THE NUMBER OF ISSUED SHARES IN ACCORDANCE WITH ORDINARY RESOLUTION NUMBER 7 AS SET OUT IN THE AGM NOTICE | Management | For |
| 8 | TO EXTEND THE GENERAL MANDATE GRANTED TO THE DIRECTORS OF THE COMPANY TO ISSUE, ALLOT AND DEAL WITH SHARES BY THE NUMBER OF SHARES REPURCHASED IN ACCORDANCE WITH ORDINARY RESOLUTION NUMBER 8 AS SET OUT IN | Management | For |

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THE AGM NOTICE

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|--|----------------------------|------------------|-------------------|--------------------|--------------------|------------------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 45,000 | 0 | 10-Apr-2015 | 15-May-2015 |
| CT ENVIRONMENTAL GROUP LTD, GRAND CAYMAN | | | | | | | |
| Security | G25885107 | | | Meeting Type | | Annual General Meeting | |
| Ticker Symbol | | | | Meeting Date | | 28-May-2015 | |
| ISIN | KYG258851073 | | | Agenda | | 706075909 - Management | |
| Record Date | 22-May-2015 | | | Holding Recon Date | | 22-May-2015 | |
| City / Country | HONG KONG / Cayman Islands | | | Vote Deadline Date | | 21-May-2015 | |
| SEDOL(s) | B4KQ8P6 - BWBY0S2 | | | Quick Code | | | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0424/LTN201504241113.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0424/LTN201504241154.pdf | | Non-Voting | |
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR-ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING | | Non-Voting | |
| 1 | TO RECEIVE AND CONSIDER THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY AND THE DIRECTORS' REPORT AND THE INDEPENDENT AUDITOR'S REPORT FOR THE YEAR ENDED 31 DECEMBER 2014 | Management | For | For |
| 2 | TO DECLARE A FINAL DIVIDEND OF HKD 0.03 PER ORDINARY SHARE AND A SPECIAL DIVIDEND OF HKD 0.025 PER ORDINARY SHARE FOR THE YEAR | Management | For | For |

ENDED 31 DECEMBER 2014 TO THE SHAREHOLDERS OF THE COMPANY

| | | | |
|-----|--|------------|-----|
| 3.a | TO RE-ELECT MR. XU JU WEN AS AN EXECUTIVE DIRECTOR | Management | For |
| 3.b | TO RE-ELECT MR. XU ZI TAO AS AN EXECUTIVE DIRECTOR | Management | For |
| 3.c | TO RE-ELECT MR. LIU YUNG CHAU AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR | Management | For |
| 3.d | TO RE-ELECT MR. DU HEQUN AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR | Management | For |
| 3.e | TO AUTHORIZE THE BOARD TO FIX THE REMUNERATION OF THE DIRECTORS | Management | For |
| 4 | TO RE-APPOINT KPMG AS AUDITOR AND TO AUTHORIZE THE BOARD TO FIX ITS REMUNERATION | Management | For |
| 5.a | TO GRANT A GENERAL MANDATE TO THE BOARD TO REPURCHASE, THE COMPANY'S SHARE AS SET OUT IN RESOLUTION NO. 5A OF THE NOTICE | Management | For |
| 5.b | TO GRANT A GENERAL MANDATE TO THE BOARD TO ALLOT, ISSUE AND DEAL WITH THE COMPANY'S ADDITIONAL SHARES AS SET OUT IN RESOLUTION NO. 5B OF THE NOTICE | Management | For |
| 5.c | TO EXTEND THE GENERAL MANDATE TO THE BOARD TO ALLOT, ISSUE AND DEAL WITH SUCH NUMBER OF ADDITIONAL SHARES AS MAY BE REPURCHASED BY THE COMPANY AS SET OUT IN RESOLUTION NO. 5C OF THE NOTICE | Management | For |
| 6 | TO AMEND THE EXISTING ARTICLES OF ASSOCIATION OF THE COMPANY AS SET OUT IN RESOLUTION NO. 6 OF THE NOTICE | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 248,000 | 0 | 08-May-2015 | 26 May-2015 |

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LIFEPOINT HOSPITALS, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 53219L109 | Meeting Type | Annual |
| Ticker Symbol | LPNT | Meeting Date | 02-Jun-2015 |
| ISIN | US53219L1098 | Agenda | 934196850 - Management |
| Record Date | 10-Apr-2015 | Holding Recon Date | 10-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 01-Jun-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 MARGUERITE W. KONDRACKE | | For | For |
| | 2 JOHN E. MAUPIN, JR. | | For | For |
| | 3 MARILYN B. TAVENNER | | For | For |
| 2. | RATIFICATION OF THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015 | Management | For | For |
| 3. | ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS AS PRESENTED IN THE PROXY STATEMENT | Management | For | For |
| 4. | APPROVAL OF AN AMENDMENT TO THE COMPANY'S 2013 LONG-TERM INCENTIVE PLAN | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|----------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 12,600 | 0 | 23-Apr-2003-Jun-2015 |
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK | 600 | 0 | 23-Apr-2003-Jun-2015 |

&
TRUST
CO

WESTERN REFINING, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 959319104 | Meeting Type | Annual |
| Ticker Symbol | WNR | Meeting Date | 02-Jun-2015 |
| ISIN | US9593191045 | Agenda | 934197193 - Management |
| Record Date | 14-Apr-2015 | Holding Recon Date | 14-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 01-Jun-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|------------------------|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 CARIN MARCY BARTH | | For | For |
| | 2 SIGMUND L. CORNELIUS | | For | For |
| | 3 PAUL L. FOSTER | | For | For |
| | 4 L. FREDERICK FRANCIS | | For | For |
| | 5 ROBERT J. HASSLER | | For | For |
| | 6 BRIAN J. HOGAN | | For | For |
| | 7 SCOTT D. WEAVER | | For | For |

| | | | | |
|----|---|------------|-----|-----|
| 2. | RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT AUDITORS FOR FISCAL YEAR 2015. | Management | For | For |
|----|---|------------|-----|-----|

| | | | | |
|----|---|------------|-----|-----|
| 3. | APPROVAL OF THE AMENDED AND RESTATED 2010 INCENTIVE PLAN OF WESTERN REFINING, INC. FOR PURPOSES OF SECTION 162(M) OF THE INTERNAL REVENUE CODE. | Management | For | For |
|----|---|------------|-----|-----|

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|---------------------|---------------|--------------------|----------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & | 11,200 | 0 | 23-Apr-2005-Jun-2015 |

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| | | | | | | |
|---------|---------------|---------|--|-------|---|----------------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | TRUST CO STATE STREET BANK & TRUST CO | 5,000 | 0 | 23-Apr-2003-Jun-2015 |
|---------|---------------|---------|--|-------|---|----------------------|

ALLIANZGI

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 01883A107 | Meeting Type | Annual |
| Ticker Symbol | NFJ | Meeting Date | 02-Jun-2015 |
| ISIN | US01883A1079 | Agenda | 934216943 - Opposition |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |
| City / Country | / United States | Vote Deadline Date | 01-Jun-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|-------------------------|-------------|------|------------------------|
| 1. | DIRECTOR | | | |
| | | Management | | |
| | 1 HANS W. KERTESS* | | For | For |
| | 2 WILLIAM B. OGDEN, IV* | | For | For |
| | 3 F. FORD DRUMMOND@ | | For | For |
| | 4 DAVEY S. SCOON@ | | For | For |
| | 5 JAMES S. MACLEOD* | | For | For |
| | 6 JULIAN SLUYTERS* | | For | For |
| | 7 SUSAN M. KING# | | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|---|---------------|--------------------|----------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 69,400 | 0 | 06-May-2005 Jun-2015 |

LIBERTY INTERACTIVE CORPORATION

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| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 53071M880 | Meeting Type | Annual |
| Ticker Symbol | LVNTA | Meeting Date | 02-Jun-2015 |
| ISIN | US53071M8800 | Agenda | 934216967 - Management |
| Record Date | 07-Apr-2015 | Holding Recon Date | 07-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 01-Jun-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 MICHAEL A. GEORGE | | For | For |
| | 2 GREGORY B. MAFFEI | | For | For |
| | 3 M. LAVOY ROBISON | | For | For |
| 2. | A PROPOSAL TO APPROVE THE ADOPTION OF THE AMENDMENT AND RESTATEMENT OF OUR RESTATED CERTIFICATE OF INCORPORATION (I) TO CHANGE THE NAME OF THE "INTERACTIVE GROUP" TO THE "QVC GROUP," (II) TO CHANGE THE NAME OF THE "LIBERTY INTERACTIVE COMMON STOCK" TO THE "QVC GROUP COMMON STOCK," (III) TO RECLASSIFY EACH ... (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL) | Management | For | For |
| 3. | A PROPOSAL TO APPROVE THE ADOPTION OF THE AMENDMENT OF OUR CERTIFICATE OF INCORPORATION TO INCREASE (I) THE TOTAL NUMBER OF SHARES OF OUR CAPITAL STOCK WHICH OUR COMPANY WILL HAVE THE AUTHORITY TO ISSUE, (II) THE NUMBER OF SHARES OF OUR CAPITAL STOCK DESIGNATED AS "COMMON STOCK," AND (III) THE NUMBER OF ... (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL) | Management | For | For |
| 4. | A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE | Management | For | For |

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FISCAL YEAR ENDING DECEMBER 31,
2015.

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 14,400 | 0 | 06-May-2015 | 05-Jun-2015 |

SAMSONITE INTERNATIONAL S.A, LUXEMBOURG

| | | | |
|----------------|-----------------------------|--------------------|------------------------|
| Security | L80308106 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 04-Jun-2015 |
| ISIN | LU0633102719 | Agenda | 706079402 - Management |
| Record Date | 01-Jun-2015 | Holding Recon Date | 01-Jun-2015 |
| City / Country | HONG KONG / Luxembourg | Vote Deadline Date | 25-May-2015 |
| SEDOL(s) | B4KW2N0 - B4Q1532 - B7T3RP2 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------------|------------------------|
| CMMT | PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED THE SAME AS A "TAKE NO ACTION" VOTE. | | Non-Voting | |
| 1 | TO RECEIVE AND ADOPT THE AUDITED STATUTORY ACCOUNTS AND AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY AND THE REPORTS OF THE DIRECTORS OF THE COMPANY (THE "DIRECTORS") AND AUDITORS FOR THE YEAR ENDED DECEMBER 31, 2014 | Management | For | For |
| 2 | TO APPROVE THE ALLOCATION OF THE RESULTS OF THE COMPANY FOR THE YEAR ENDED DECEMBER 31, 2014 | Management | For | For |
| 3 | TO DECLARE A CASH DISTRIBUTION TO THE SHAREHOLDERS OF THE COMPANY IN AN AMOUNT OF EIGHTY-EIGHT MILLION UNITED | Management | For | For |

STATES DOLLARS (USD 88,000,000.00)
OUT OF THE COMPANY'S
DISTRIBUTABLE AD HOC RESERVE

| | | | |
|----|--|------------|-----|
| 4A | TO RE-ELECT MR. RAMESH DUNGARMAL TAINWALA AS EXECUTIVE DIRECTOR FOR A PERIOD OF THREE YEARS | Management | For |
| 4B | TO RE-ELECT MR. MIGUEL KAI KWUN KO AS INDEPENDENT NONEXECUTIVE DIRECTOR FOR A PERIOD OF THREE YEARS | Management | For |
| 4C | TO RE-ELECT KEITH HAMILL AS INDEPENDENT NON-EXECUTIVE DIRECTOR FOR A PERIOD OF THREE YEARS | Management | For |
| 5 | TO RENEW THE MANDATE GRANTED TO KPMG LUXEMBOURG (FORMERLY KPMG LUXEMBOURG S.A R.L.) TO ACT AS APPROVED STATUTORY AUDITOR (REVISEUR D'ENTREPRISES AGREE) OF THE COMPANY FOR THE YEAR ENDING DECEMBER 31, 2015 | Management | For |
| 6 | TO RE-APPOINT KPMG LLP AS THE EXTERNAL AUDITOR OF THE COMPANY TO HOLD OFFICE FROM THE CONCLUSION OF THE ANNUAL GENERAL MEETING UNTIL THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY | Management | For |
| 7 | TO GIVE A GENERAL MANDATE TO THE DIRECTORS TO ISSUE ADDITIONAL SHARES OF THE COMPANY NOT EXCEEDING 10 PER CENT. OF THE TOTAL NUMBER OF ISSUED SHARES OF THE COMPANY AS AT THE DATE OF THIS RESOLUTION (IN ACCORDANCE WITH THE TERMS AND CONDITIONS DESCRIBED IN THE ANNUAL GENERAL MEETING CIRCULAR) | Management | For |
| 8 | TO GIVE A GENERAL MANDATE TO THE DIRECTORS TO REPURCHASE SHARES OF THE COMPANY NOT EXCEEDING 10 PER CENT. OF THE TOTAL NUMBER OF ISSUED SHARES OF THE COMPANY AS AT THE DATE OF | Management | For |

THIS RESOLUTION (IN ACCORDANCE WITH THE TERMS AND CONDITIONS DESCRIBED IN THE ANNUAL GENERAL MEETING CIRCULAR)

- 9 TO APPROVE THE DISCHARGE GRANTED TO THE DIRECTORS AND THE APPROVED STATUTORY AUDITOR (REVISEUR D'ENTREPRISES AGREE) OF THE COMPANY FOR THE EXERCISE OF THEIR RESPECTIVE MANDATES DURING THE YEAR ENDED DECEMBER 31, 2014 Management ~~For~~ For
- 10 TO APPROVE THE REMUNERATION TO BE GRANTED TO CERTAIN DIRECTORS OF THE COMPANY Management ~~For~~ For
- 11 TO APPROVE THE REMUNERATION TO BE GRANTED TO KPMG LUXEMBOURG AS THE APPROVED STATUTORY AUDITOR (REVISEUR D'ENTREPRISES AGREE) OF THE COMPANY Management ~~For~~ For

CMMT 28 APR 2015: PLEASE NOTE THAT THE COMPANY NOTICE ARE AVAILABLE BY CLICKING ON-THE URL LINKS:
<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0424/LTN20-1504241488.pdf> AND
<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0424/L-TN201504241506.pdf> Non-Voting

CMMT 30 APR 2015: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF ADDITIONAL- URL LINK AND CHANGE IN MEETING TIME AND LOCATION. IF YOU HAVE ALREADY SENT IN-YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL-INSTRUCTIONS. THANK YOU. Non-Voting

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|----------------------|
| AL27 | AL27 | | STATE STREET BANK | 210,900 0 | | 28-Apr-2008 Jun-2015 |

LADDER CAPITAL CORP

| | | | |
|---------------|-----------|--------------|-------------|
| Security | 505743104 | Meeting Type | Annual |
| Ticker Symbol | LADR | Meeting Date | 04-Jun-2015 |

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| | | | |
|----------------|-----------------|--------------------|------------------------|
| ISIN | US5057431042 | Agenda | 934194262 - Management |
| Record Date | 08-Apr-2015 | Holding Recon Date | 08-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 03-Jun-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A. | RE-ELECTION OF THE MEMBER OF THE BOARD OF DIRECTORS: HOWARD PARK | Management | For | For |
| 1B. | RE-ELECTION OF THE MEMBER OF THE BOARD OF DIRECTORS: DOUGLAS DURST | Management | For | For |
| 2. | TO APPROVE AN AMENDMENT TO THE SECOND AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO AMEND THE PAR VALUE OF THE CLASS B SHARES FROM NO PAR VALUE PER SHARE TO \$0.001 PER SHARE. | Management | For | For |
| 3. | TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015. | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|----------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 10,100 | 0 | 21-Apr-2005-Jun-2015 |

ACCELERON PHARMA INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 00434H108 | Meeting Type | Annual |
| Ticker Symbol | XLRN | Meeting Date | 04-Jun-2015 |
| ISIN | US00434H1086 | Agenda | 934194870 - Management |
| Record Date | 13-Apr-2015 | Holding Recon Date | 13-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 03-Jun-2015 |

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| SEDOL(s) | Quick Code | | | |
|----------|---|----------------------|------|------------------------|
| Item | Proposal | Proposed by | Vote | For/Against Management |
| 1. | DIRECTOR | Management | | |
| | 1 | TERRENCE C. KEARNEY | | |
| | 2 | JOHN L. KNOPF, PH.D. | | |
| | 3 | TERRANCE G. MCGUIRE | | |
| 2. | TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF HOLDING A VOTE ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS. | Management | | |
| 3. | TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015. | Management | | |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-----------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 10,500 | 0 | | |

SALESFORCE.COM, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 79466L302 | Meeting Type | Annual |
| Ticker Symbol | CRM | Meeting Date | 04-Jun-2015 |
| ISIN | US79466L3024 | Agenda | 934194957 - Management |
| Record Date | 09-Apr-2015 | Holding Recon Date | 09-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 03-Jun-2015 |

| SEDOL(s) | Quick Code | | | |
|----------|------------|-------------|------|------------------------|
| Item | Proposal | Proposed by | Vote | For/Against Management |

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| | | | |
|-----|---|------------|-----|
| 1A. | ELECTION OF DIRECTOR: MARC R. BENIOFF | Management | For |
| 1B. | ELECTION OF DIRECTOR: KEITH G. BLOCK | Management | For |
| 1C. | ELECTION OF DIRECTOR: CRAIG A. CONWAY | Management | For |
| 1D. | ELECTION OF DIRECTOR: ALAN G. HASSENFELD | Management | For |
| 1E. | ELECTION OF DIRECTOR: COLIN L. POWELL | Management | For |
| 1F. | ELECTION OF DIRECTOR: SANFORD R. ROBERTSON | Management | For |
| 1G. | ELECTION OF DIRECTOR: JOHN V. ROOS | Management | For |
| 1H. | ELECTION OF DIRECTOR: LAWRENCE J. TOMLINSON | Management | For |
| 1I. | ELECTION OF DIRECTOR: ROBIN L. WASHINGTON | Management | For |
| 1J. | ELECTION OF DIRECTOR: MAYNARD G. WEBB | Management | For |
| 1K. | ELECTION OF DIRECTOR: SUSAN D. WOJCICKI | Management | For |
| 2. | APPROVAL OF AN AMENDMENT TO INCREASE THE SHARES AVAILABLE FOR GRANT UNDER THE COMPANY'S 2013 EQUITY INCENTIVE PLAN | Management | For |
| 3. | APPROVAL OF AN AMENDMENT TO INCREASE THE SHARES AVAILABLE FOR PURCHASE UNDER THE COMPANY'S EMPLOYEE STOCK PURCHASE PLAN | Management | For |
| 4. | RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS | Management | For |
| 5. | ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|----------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK | 6,600 | 0 | 18-Apr-2005-Jun-2015 |

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|---------|---------------|---------|---|--------|---|----------------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | & TRUST CO STATE STREET BANK & TRUST CO | 30,000 | 0 | 18-Apr-2005-Jun-2015 |
|---------|---------------|---------|---|--------|---|----------------------|

TEKLA CAPITAL MANAGEMENT LLC

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 879105104 | Meeting Type | Annual |
| Ticker Symbol | THQ | Meeting Date | 04-Jun-2015 |
| ISIN | US8791051043 | Agenda | 934210989 - Management |
| Record Date | 24-Apr-2015 | Holding Recon Date | 24-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 03-Jun-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 OLEG M. POHOTSKY | | For | For |
| | 2 WILLIAM S. REARDON, CPA | | For | For |
| 2. | THE RATIFICATION OR REJECTION OF THE SELECTION OF DELOITTE & TOUCHE LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS OF THE FUND FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2015 | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|----------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 50,636 | 0 | 30-Apr-2005-Jun-2015 |

PENNYMAC MORTGAGE INVESTMENT TRUST

| | | | |
|----------|-----------|--------------|--------|
| Security | 70931T103 | Meeting Type | Annual |
|----------|-----------|--------------|--------|

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| | | | |
|----------------|-----------------|--------------------|------------------------|
| Ticker Symbol | PMT | Meeting Date | 05-Jun-2015 |
| ISIN | US70931T1034 | Agenda | 934194060 - Management |
| Record Date | 06-Apr-2015 | Holding Recon Date | 06-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 04-Jun-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 STANFORD L. KURLAND | | For | For |
| | 2 DAVID A. SPECTOR | | For | For |
| | 3 RANDALL D. HADLEY | | For | For |
| | 4 CLAY A. HALVORSEN | | For | For |
| 2. | TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015. | Management | For | For |
| 3. | TO APPROVE, BY NON-BINDING VOTE, OUR EXECUTIVE COMPENSATION. | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|----------------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 25,000 0 | | 18-Apr-2008-Jun-2015 |

ACTAVIS PLC

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | G0083B108 | Meeting Type | Annual |
| Ticker Symbol | ACT | Meeting Date | 05-Jun-2015 |
| ISIN | IE00BD1NQJ95 | Agenda | 934199286 - Management |
| Record Date | 10-Apr-2015 | Holding Recon Date | 10-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 04-Jun-2015 |

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| SEDOL(s) | | Quick Code | | |
|----------|---|-------------|------|------------------------|
| Item | Proposal | Proposed by | Vote | For/Against Management |
| 1A. | ELECTION OF DIRECTOR: PAUL M. BISARO | Management | For | For |
| 1B. | ELECTION OF DIRECTOR: NESLI BASGOZ, M.D. | Management | For | For |
| 1C. | ELECTION OF DIRECTOR: JAMES H. BLOEM | Management | For | For |
| 1D. | ELECTION OF DIRECTOR: CHRISTOPHER W. BODINE | Management | For | For |
| 1E. | ELECTION OF DIRECTOR: CHRISTOPHER J. COUGHLIN | Management | For | For |
| 1F. | ELECTION OF DIRECTOR: MICHAEL R. GALLAGHER | Management | For | For |
| 1G. | ELECTION OF DIRECTOR: CATHERINE M. KLEMA | Management | For | For |
| 1H. | ELECTION OF DIRECTOR: PETER J. MCDONNELL, M.D. | Management | For | For |
| 1I. | ELECTION OF DIRECTOR: PATRICK J. O'SULLIVAN | Management | For | For |
| 1J. | ELECTION OF DIRECTOR: BRENTON L. SAUNDERS | Management | For | For |
| 1K. | ELECTION OF DIRECTOR: RONALD R. TAYLOR | Management | For | For |
| 1L. | ELECTION OF DIRECTOR: FRED G. WEISS | Management | For | For |
| 2. | TO APPROVE, IN A NON-BINDING VOTE, NAMED EXECUTIVE OFFICER COMPENSATION. | Management | For | For |
| 3. | TO RATIFY, IN A NON-BINDING VOTE, THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015 AND TO AUTHORIZE, IN A BINDING VOTE, THE BOARD OF DIRECTORS, ACTING THROUGH THE AUDIT AND | Management | For | For |

COMPLIANCE COMMITTEE, TO DETERMINE PRICEWATERHOUSECOOPERS LLP'S REMUNERATION.

4. TO PASS A SPECIAL RESOLUTION TO APPROVE, SUBJECT TO THE APPROVAL OF THE REGISTRAR OF COMPANIES IN IRELAND, THE CHANGE IN NAME OF THE COMPANY FROM ACTAVIS PLC TO ALLERGAN PLC. Management For
5. TO APPROVE THE AMENDED AND RESTATED 2013 INCENTIVE AWARD PLAN OF ACTAVIS PLC. Management For
6. TO VOTE ON A SHAREHOLDER PROPOSAL REQUESTING THE COMPANY TO ISSUE A SUSTAINABILITY REPORT. Shareholder Against For
7. TO VOTE ON A SHAREHOLDER PROPOSAL REQUESTING THE COMPANY TO ADOPT SUCH SHAREHOLDER'S POLICY REGARDING EXECUTIVE STOCK RETENTION. Shareholder Against For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 900 | 0 | 25-Apr-2008 | 08-Jun-2015 |
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 6,000 | 0 | 25-Apr-2008 | 08-Jun-2015 |

PUMA BIOTECHNOLOGY, INC.

| | | | |
|----------------|--------------|--------------------|------------------------|
| Security | 74587V107 | Meeting Type | Annual |
| Ticker Symbol | PBYI | Meeting Date | 09-Jun-2015 |
| ISIN | US74587V1070 | Agenda | 934201194 - Management |
| Record Date | 17-Apr-2015 | Holding Recon Date | 17-Apr-2015 |
| City / Country | / | | 08-Jun-2015 |

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|----------|---------------|--------------------|
| | United States | Vote Deadline Date |
| SEDOL(s) | | Quick Code |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 ALAN H. AUERBACH | | For | For |
| | 2 THOMAS R. MALLEY | | For | For |
| | 3 JAY M. MOYES | | For | For |
| | 4 TROY E. WILSON | | For | For |
| 2. | ADVISORY (NON-BINDING) VOTE TO APPROVE THE COMPENSATION OF PUMA BIOTECHNOLOGY, INC.'S NAMED EXECUTIVE OFFICERS. | Management | For | For |
| 3. | RATIFICATION OF THE SELECTION OF PKF CERTIFIED PUBLIC ACCOUNTANTS, A PROFESSIONAL CORPORATION, AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF PUMA BIOTECHNOLOGY, INC. FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015. | Management | For | For |
| 4. | APPROVAL OF AN AMENDMENT TO THE PUMA BIOTECHNOLOGY, INC. 2011 INCENTIVE AWARD PLAN. | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|----------------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 4,000 | 0 | 01-May-2015 Jun-2015 |

SEAWORLD ENTERTAINMENT, INC.

| | | | |
|---------------|--------------|--------------------|------------------------|
| Security | 81282V100 | Meeting Type | Annual |
| Ticker Symbol | SEAS | Meeting Date | 10-Jun-2015 |
| ISIN | US81282V1008 | Agenda | 934202982 - Management |
| Record Date | 15-Apr-2015 | Holding Recon Date | 15-Apr-2015 |

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|----------|---------|---|---------------|--------------------|-------------|
| City / | Country | / | United States | Vote Deadline Date | 09-Jun-2015 |
| SEDOL(s) | | | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 JIM ATCHISON | | For | For |
| | 2 WILLIAM GRAY | | For | For |
| | 3 THOMAS E. MOLONEY | | For | For |
| 2. | RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2015. | Management | For | For |
| 3. | APPROVAL, IN A NON-BINDING ADVISORY VOTE, OF THE COMPENSATION PAID TO THE NAMED EXECUTIVE OFFICERS. | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|---------------|------------------|------------------------------|---------------|--------------------|----------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 18,600 | 0 | 29-Apr-2015-Jun-2015 |
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET BANK & TRUST CO | 27,500 | 0 | 29-Apr-2015-Jun-2015 |

BEIJING ENTERPRISES HOLDINGS LTD

| | | | | |
|---------------|--------------|-----------------------|------------------------|-------------|
| Security | Y07702122 | Meeting Type | Annual General Meeting | |
| Ticker Symbol | | Meeting Date | 11-Jun-2015 | |
| ISIN | HK0392044647 | Agenda | 706150593 - Management | |
| Record Date | 04-Jun-2015 | Holding Recon Date | 04-Jun-2015 | |
| City / | Country | HONG KONG / Hong Kong | Vote Deadline Date | 28-May-2015 |

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SEDOL(s) 5404447 - 6081690 - B2Q3X07 - BP3RP30 Quick Code

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE. | | Non-Voting | |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/sehk/2015/0511/LTN20150511197.pdf -AND- http://www.hkexnews.hk/listedco/listconews/sehk/2015/0511/LTN20150511193.pdf | | Non-Voting | |
| 1 | TO RECEIVE THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS AND REPORTS OF THE DIRECTORS AND OF THE AUDITORS FOR THE YEAR ENDED 31 DECEMBER 2014 | Management | For | For |
| 2 | TO DECLARE A FINAL DIVIDEND | Management | For | For |
| 3.1 | TO RE-ELECT MR. WANG DONG AS DIRECTOR | Management | For | For |
| 3.2 | TO RE-ELECT MR. JIANG XINHAO AS DIRECTOR | Management | For | For |
| 3.3 | TO RE-ELECT MR. WU JIESI AS DIRECTOR | Management | For | For |
| 3.4 | TO RE-ELECT MR. LAM HOI HAM AS DIRECTOR | Management | For | For |
| 3.5 | TO RE-ELECT MR. SZE CHI CHING AS DIRECTOR | Management | For | For |
| 3.6 | TO RE-ELECT MR. SHI HANMIN AS DIRECTOR | Management | For | For |
| 3.7 | TO AUTHORISE THE BOARD OF DIRECTORS TO FIX DIRECTORS' REMUNERATION | Management | For | For |
| 4 | TO RE-APPOINT MESSRS. ERNST & YOUNG AS AUDITORS AND TO AUTHORISE THE BOARD OF | Management | For | For |

DIRECTORS TO FIX THEIR
REMUNERATION

5 TO GIVE A GENERAL MANDATE TO THE DIRECTORS TO BUY BACK SHARES NOT EXCEEDING 10% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY IN ISSUE ON THE DATE OF THIS RESOLUTION Management For

6 TO GIVE A GENERAL MANDATE TO THE DIRECTORS TO ISSUE, ALLOT AND DEAL WITH ADDITIONAL SHARES NOT EXCEEDING 20% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY IN ISSUE ON THE DATE OF THIS RESOLUTION Management For

7 TO EXTEND THE GENERAL MANDATE GRANTED TO THE DIRECTORS TO ISSUE SHARES IN THE CAPITAL OF THE COMPANY BY THE NUMBER OF SHARES BOUGHT BACK Management For

8 TO ADOPT THE NEW ARTICLES OF ASSOCIATION IN SUBSTITUTION FOR AND TO THE EXCLUSION OF THE EXISTING MEMORANDUM AND CURRENT ARTICLES OF ASSOCIATION OF THE COMPANY AND TO ABANDON THE OBJECT CLAUSE CONTAINED IN THE EXISTING MEMORANDUM OF ASSOCIATION OF THE COMPANY Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | STATE STREET BANK | | 79,000 0 | 19-May-2015 | 19-May-2015 | Jun-2015 |

FACEBOOK INC.

| | | | | |
|----------------|-----------------|--|--------------------|------------------------|
| Security | 30303M102 | | Meeting Type | Annual |
| Ticker Symbol | FB | | Meeting Date | 11-Jun-2015 |
| ISIN | US30303M1027 | | Agenda | 934204378 - Management |
| Record Date | 13-Apr-2015 | | Holding Recon Date | 13-Apr-2015 |
| City / Country | / United States | | Vote Deadline Date | 10-Jun-2015 |
| SEDOL(s) | | | Quick Code | |

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| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|---------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 MARC L. ANDREESSEN | | For | For |
| | 2 ERSKINE B. BOWLES | | For | For |
| | 3 S.D. DESMOND-HELLMANN | | For | For |
| | 4 REED HASTINGS | | For | For |
| | 5 JAN KOUM | | For | For |
| | 6 SHERYL K. SANDBERG | | For | For |
| | 7 PETER A. THIEL | | For | For |
| | 8 MARK ZUCKERBERG | | For | For |
| 2. | TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS FACEBOOK, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015 | Management | For | For |
| 3. | TO RE-APPROVE THE INTERNAL REVENUE CODE OF 1986, AS AMENDED, SECTION 162(M) LIMITS OF OUR 2012 EQUITY INCENTIVE PLAN TO PRESERVE OUR ABILITY TO RECEIVE CORPORATE INCOME TAX DEDUCTIONS THAT MAY BECOME AVAILABLE PURSUANT TO SECTION 162(M) | Management | For | For |
| 4. | A STOCKHOLDER PROPOSAL REGARDING CHANGE IN STOCKHOLDER VOTING | Shareholder | Against | For |
| 5. | A STOCKHOLDER PROPOSAL REGARDING AN ANNUAL SUSTAINABILITY REPORT | Shareholder | Against | For |
| 6. | A STOCKHOLDER PROPOSAL REGARDING A HUMAN RIGHTS RISK ASSESSMENT | Shareholder | Against | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|---------------|------------------|--------------|---------------|--------------------|-------------|----------------|
| 997AL60 | GLV MS PLEDGE | 997AL60 | STATE STREET | 16,300 | 0 | 28-Apr-2015 | Jun-2015 |

BANK
&
TRUST
CO

INTREXON CORPORATION

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 46122T102 | Meeting Type | Annual |
| Ticker Symbol | XON | Meeting Date | 11-Jun-2015 |
| ISIN | US46122T1025 | Agenda | 934210903 - Management |
| Record Date | 16-Apr-2015 | Holding Recon Date | 16-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 10-Jun-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A. | ELECTION OF DIRECTOR: RANDAL J. KIRK | Management | | |
| 1B. | ELECTION OF DIRECTOR: CESAR L. ALVAREZ | Management | | |
| 1C. | ELECTION OF DIRECTOR: STEVEN FRANK | Management | | |
| 1D. | ELECTION OF DIRECTOR: LARRY D. HORNER | Management | | |
| 1E. | ELECTION OF DIRECTOR: JEFFREY B. KINDLER | Management | | |
| 1F. | ELECTION OF DIRECTOR: DEAN J. MITCHELL | Management | | |
| 1G. | ELECTION OF DIRECTOR: ROBERT B. SHAPIRO | Management | | |
| 1H. | ELECTION OF DIRECTOR: JAMES S. TURLEY | Management | | |
| 2. | TO APPROVE A NON-BINDING ADVISORY RESOLUTION APPROVING THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS | Management | | |
| 3. | TO PROVIDE A NON-BINDING ADVISORY VOTE AS TO THE FREQUENCY (EVERY ONE, TWO OR | Management | | |

THREE YEARS) OF THE NON- BINDING
SHAREHOLDER VOTE TO APPROVE
THE COMPENSATION OF OUR NAMED
EXECUTIVE OFFICERS

4. TO RATIFY THE APPOINTMENT BY THE
AUDIT COMMITTEE OF ... (DUE TO
SPACE LIMITS, SEE PROXY STATEMENT
FOR FULL PROPOSAL) Management

5. TO APPROVE THE AMENDMENT TO
THE AMENDED AND RESTATED
INTREXON CORPORATION 2013
OMNIBUS INCENTIVE PLAN, WHICH
PROVIDES FOR THE ISSUANCE OF AN
ADDITIONAL THREE MILLION SHARES
OF COMMON STOCK UNDER THE PLAN. Management

6. TO APPROVE THE INTREXON
CORPORATION ANNUAL EXECUTIVE
INCENTIVE PLAN Management

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|---------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 8,300 | 0 | |

CHENIERE ENERGY, INC.

| | | | |
|----------------|-----------------|--------------------|------------------------|
| Security | 16411R208 | Meeting Type | Annual |
| Ticker Symbol | LNG | Meeting Date | 11-Jun-2015 |
| ISIN | US16411R2085 | Agenda | 934212248 - Management |
| Record Date | 13-Apr-2015 | Holding Recon Date | 13-Apr-2015 |
| City / Country | / United States | Vote Deadline Date | 10-Jun-2015 |
| SEDOL(s) | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|------------------------------------|-------------|------|------------------------|
| 1.1 | ELECTION OF DIRECTOR: CHARIF SOUKI | Management | For | For |
| 1.2 | | Management | For | For |

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ELECTION OF DIRECTOR: VICKY A. BAILEY

- | | | | |
|-----|---|-------------|-------------|
| 1.3 | ELECTION OF DIRECTOR: G. ANDREA BOTTA | Management | For |
| 1.4 | ELECTION OF DIRECTOR: NUNO BRANDOLINI | Management | For |
| 1.5 | ELECTION OF DIRECTOR: DAVID I. FOLEY | Management | For |
| 1.6 | ELECTION OF DIRECTOR: DAVID B. KILPATRICK | Management | For |
| 1.7 | ELECTION OF DIRECTOR: DONALD F. ROBILLARD, JR | Management | For |
| 1.8 | ELECTION OF DIRECTOR: NEAL A. SHEAR | Management | For |
| 1.9 | ELECTION OF DIRECTOR: HEATHER R. ZICHAL | Management | For |
| 2. | APPROVE, ON AN ADVISORY AND NON-BINDING BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS FOR FISCAL YEAR 2014 AS DISCLOSED IN THE PROXY STATEMENT. | Management | For |
| 3. | RATIFICATION OF THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015. | Management | For |
| 4. | SHAREHOLDER PROPOSAL RECOMMENDING THAT THE BOARD ADOPT A PROXY ACCESS BYLAW. | Shareholder | Against For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 12,100 0 | | 01-May-2015 | 15-Jun-2015 |

BOC HONG KONG (HOLDINGS) LTD, HONG KONG

| | | | |
|---------------|-----------|--------------|------------------------|
| Security | Y0920U103 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 16-Jun-2015 |

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|----------------|---|--------------------|------------------------|
| ISIN | HK2388011192 | Agenda | 705999348 - Management |
| Record Date | 10-Jun-2015 | Holding Recon Date | 10-Jun-2015 |
| City / Country | HONG KONG / Hong Kong | Vote Deadline Date | 02-Jun-2015 |
| SEDOL(s) | 6536112 - B01XWZ6 - B06MVT5 - B16MTV0 - BP3RP41 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED T-HE SAME AS A "TAKE NO ACTION" VOTE. | | Non-Voting | |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING O-N THE URL LINKS: http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0410/LTN-20150410571.pdf AND http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0410/LTN20150410561.pdf | | Non-Voting | |
| 1 | TO RECEIVE AND CONSIDER THE AUDITED STATEMENT OF ACCOUNTS AND THE REPORTS OF DIRECTORS AND OF THE AUDITOR OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2014 | Management | For | For |
| 2 | TO DECLARE A FINAL DIVIDEND OF HKD 0.575 PER SHARE FOR THE YEAR ENDED 31 DECEMBER 2014 | Management | For | For |
| 3.A | TO RE-ELECT MR. CHEN SIQING AS A DIRECTOR OF THE COMPANY | Management | For | For |
| 3.B | TO RE-ELECT MR. KOH BENG SENG AS A DIRECTOR OF THE COMPANY | Management | For | For |
| 3.C | TO RE-ELECT MR. TUNG SAVIO WAI-HOK AS A DIRECTOR OF THE COMPANY | Management | For | For |
| 3.D | TO RE-ELECT MDM. CHENG EVA AS A DIRECTOR OF THE COMPANY | Management | For | For |
| 3.E | TO RE-ELECT MR. LI JIUZHONG AS A DIRECTOR OF THE COMPANY | Management | For | For |

| | | | |
|---|---|------------|-----|
| 4 | <p>TO RE-APPOINT ERNST & YOUNG AS AUDITOR OF THE COMPANY AND AUTHORISE THE BOARD OF DIRECTORS OR A DULY AUTHORISED COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE AUDITOR</p> | Management | For |
| 5 | <p>TO GRANT A GENERAL MANDATE TO THE BOARD OF DIRECTORS TO ALLOT, ISSUE AND DEAL WITH ADDITIONAL SHARES IN THE COMPANY, NOT EXCEEDING 20% OR, IN THE CASE OF ISSUE OF SHARES SOLELY FOR CASH AND UNRELATED TO ANY ASSET ACQUISITION, NOT EXCEEDING 5% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY IN ISSUE AS AT THE DATE OF PASSING THIS RESOLUTION</p> | Management | For |
| 6 | <p>TO GRANT A GENERAL MANDATE TO THE BOARD OF DIRECTORS TO BUY BACK SHARES IN THE COMPANY, NOT EXCEEDING 10% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY IN ISSUE AS AT THE DATE OF PASSING THIS RESOLUTION</p> | Management | For |
| 7 | <p>CONDITIONAL ON THE PASSING OF RESOLUTIONS 5 AND 6, TO EXTEND THE GENERAL MANDATE GRANTED BY RESOLUTION 5 BY ADDING THERETO OF THE TOTAL NUMBER OF SHARES OF THE COMPANY BOUGHT BACK UNDER THE GENERAL MANDATE GRANTED PURSUANT TO RESOLUTION 6</p> | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 145,000 0 | | 11-Apr-2015 | 1-Jun-2015 |

INTRA-CELLULAR THERAPIES INC

| | | | |
|---------------|--------------|--------------------|------------------------|
| Security | 46116X101 | Meeting Type | Annual |
| Ticker Symbol | ITCI | Meeting Date | 16-Jun-2015 |
| ISIN | US46116X1019 | Agenda | 934212717 - Management |
| Record Date | 24-Apr-2015 | Holding Recon Date | 24-Apr-2015 |

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|----------|---------|---|---------------|--------------------|-------------|
| City / | Country | / | United States | Vote Deadline Date | 15-Jun-2015 |
| SEDOL(s) | | | | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---------------------------|-------------|------|------------------------|
| 1. | DIRECTOR | Management | | |
| | 1 CHRISTOPHER ALAFI, PH.D | | For | For |
| | 2 JOEL S. MARCUS | | For | For |

| | | | | |
|----|---|------------|-----|-----|
| 2. | TO APPROVE AMENDMENTS TO THE INTRA- CELLULAR THERAPIES, INC. 2013 EQUITY INCENTIVE PLAN (THE "PLAN") TO INCREASE THE NUMBER OF SHARES OF OUR COMMON STOCK RESERVED FOR ISSUANCE UNDER THE PLAN AND TO INCREASE THE MAXIMUM NUMBER OF SHARES AVAILABLE FOR ISSUANCE OF OPTIONS, STOCK APPRECIATION RIGHTS AND OTHER SIMILAR ... (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL) | Management | For | For |
|----|---|------------|-----|-----|

| | | | | |
|----|--|------------|-----|-----|
| 3. | PROPOSAL TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015. | Management | For | For |
|----|--|------------|-----|-----|

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|------------------------------|---------------|--------------------|----------------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 19,700 | 0 | 08-May-2015 Jun-2015 |

SINOPHARM GROUP CO LTD

| | | | |
|---------------|--------------|---------------|------------------------|
| Security | Y8008N107 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 18-Jun-2015 |
| ISIN | CNE100000FN7 | Agenda | 706106641 - Management |
| Record Date | 18-May-2015 | Holding Recon | 18-May-2015 |

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| | | | | |
|----------|---------|---------------------------------------|--------------------|-------------|
| City / | Country | SHANGHA I / China | Date | |
| | | | Vote Deadline Date | 09-Jun-2015 |
| SEDOL(s) | | B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE | | Non-Voting | |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0430/LTN20150430506.pdf -AND- http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0430/LTN20150430566.pdf | | Non-Voting | |
| 1 | TO CONSIDER AND APPROVE THE REPORT OF THE BOARD OF DIRECTORS OF THE COMPANY (THE "BOARD") FOR THE YEAR ENDED 31 DECEMBER 2014 | Management | For | For |
| 2 | TO CONSIDER AND APPROVE THE REPORT OF THE SUPERVISORY COMMITTEE OF THE COMPANY (THE "SUPERVISORY COMMITTEE") FOR THE YEAR ENDED 31 DECEMBER 2014 | Management | For | For |
| 3 | TO CONSIDER AND APPROVE THE AUDITED FINANCIAL STATEMENTS OF THE COMPANY AND ITS SUBSIDIARIES FOR THE YEAR ENDED 31 DECEMBER 2014 AND THE AUDITORS' REPORT | Management | For | For |
| 4 | TO CONSIDER AND APPROVE THE PROFIT DISTRIBUTION PLAN AND PAYMENT OF THE FINAL DIVIDEND FOR THE YEAR ENDED 31 DECEMBER 2014 | Management | For | For |
| 5 | TO CONSIDER AND APPROVE THE RE-APPOINTMENT OF PRICEWATERHOUSECOOPERS ZHONG TIAN LLP AS THE DOMESTIC AUDITOR OF THE COMPANY TO HOLD OFFICE | Management | For | For |

| | | |
|---|------------------------------------|------------|
| <p>UNTIL CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING, AND TO RATIFY AND CONFIRM ITS REMUNERATION DETERMINED BY THE AUDIT COMMITTEE OF THE BOARD</p> | | |
| <p>TO CONSIDER AND APPROVE THE RE-APPOINTMENT OF PRICEWATERHOUSECOOPERS CERTIFIED PUBLIC ACCOUNTANTS, HONG KONG AS THE INTERNATIONAL AUDITOR OF THE COMPANY TO HOLD OFFICE UNTIL CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING, AND TO RATIFY AND CONFIRM ITS REMUNERATION DETERMINED BY THE AUDIT COMMITTEE OF THE BOARD</p> | <p>6 Management For</p> | <p>For</p> |
| <p>TO CONSIDER AND AUTHORIZE THE BOARD TO DETERMINE THE REMUNERATION OF THE DIRECTORS OF THE COMPANY (THE "DIRECTORS") FOR THE YEAR ENDING 31 DECEMBER 2015</p> | <p>7 Management For</p> | <p>For</p> |
| <p>TO CONSIDER AND AUTHORIZE THE SUPERVISORY COMMITTEE TO DETERMINE THE REMUNERATION OF THE SUPERVISORS OF THE COMPANY (THE "SUPERVISORS") FOR THE YEAR ENDING 31 DECEMBER 2015</p> | <p>8 Management For</p> | <p>For</p> |
| <p>TO CONSIDER AND APPROVE THE DELEGATION OF THE POWER TO THE BOARD TO APPROVE THE GUARANTEES IN FAVOUR OF THIRD PARTIES WITH AN AGGREGATE TOTAL VALUE OF NOT MORE THAN 30% OF THE LATEST AUDITED TOTAL ASSETS OF THE COMPANY OVER A PERIOD OF 12 MONTHS; AND IF THE ABOVE DELEGATION IS NOT CONSISTENT WITH, COLLIDES WITH OR CONFLICTS WITH THE REQUIREMENTS UNDER THE RULES GOVERNING THE LISTING OF SECURITIES (THE "HONG KONG LISTING RULES") ON THE STOCK EXCHANGE OF HONG KONG LIMITED (THE "HONG KONG STOCK EXCHANGE") OR OTHER REQUIREMENTS OF THE HONG KONG STOCK EXCHANGE, THE</p> | <p>9 Management For</p> | <p>For</p> |

REQUIREMENTS UNDER THE HONG KONG LISTING RULES OR OTHER REQUIREMENTS OF THE HONG KONG STOCK EXCHANGE SHOULD BE FOLLOWED

10 TO CONSIDER AND APPROVE THE AMENDMENT TO THE RULES OF PROCEDURE OF THE SUPERVISORY COMMITTEE Management For

11 TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE APPOINTMENT OF MR. TAO WUPING AS AN INDEPENDENT SUPERVISOR, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OR ANY EXECUTIVE DIRECTOR OF THE COMPANY TO ENTER INTO THE SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS WITH HIM Management For

12 TO CONSIDER AND APPROVE THE AMENDMENTS TO THE ARTICLES OF ASSOCIATION OF THE COMPANY (THE "ARTICLES OF ASSOCIATION") Management For

13 TO CONSIDER AND APPROVE TO GRANT A GENERAL MANDATE TO THE BOARD TO EXERCISE THE POWER OF THE COMPANY TO ALLOT, ISSUE AND/OR DEAL WITH DOMESTIC SHARES AND/OR H SHARES. (DETAILS OF THIS RESOLUTION WERE CONTAINED IN THE NOTICE OF THE AGM DATED 30 APRIL 2015 (THE "NOTICE") Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | STATE STREET BANK | | 200,800 0 | | 01-May-2015 | Jun-2015 |

HOYA CORPORATION

| | | | |
|---------------|--------------|--------------------|------------------------|
| Security | J22848105 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 19-Jun-2015 |
| ISIN | JP3837800006 | Agenda | 706205247 - Management |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |

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| | | | | |
|----------|-----------------------------|---------------|--------------------|-------------|
| City / | Country | TOKYO / Japan | Vote Deadline Date | 03-Jun-2015 |
| SEDOL(s) | 5689374 - 6441506 - B02GXJ5 | | Quick Code | 77410 |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|---------|------------------------|
| | Please reference meeting materials. Please note Mr. Uchinaga, Mr. Urano and Mr. Takasu, the candidates for Directors listed in Proposal No.5 proposed by sha-reholders are also listed as the candidates for Directors #2,#3 and #4 respectively in Proposal No.1 proposed by the Company. If any indication regarding #8-,#9 and #10 was made in the column in Proposal No.5, such indication will be treated as invalid. | | | |
| | | Non-Voting | | |
| 1.1 | Appoint a Director Koeda, Itaru | Management | For | For |
| 1.2 | Appoint a Director Uchinaga, Yukako | Management | For | For |
| 1.3 | Appoint a Director Urano, Mitsudo | Management | For | For |
| 1.4 | Appoint a Director Takasu, Takeo | Management | For | For |
| 1.5 | Appoint a Director Kaihori, Shuzo | Management | For | For |
| 1.6 | Appoint a Director Suzuki, Hiroshi | Management | For | For |
| 2 | Amend Articles to: Expand Business Lines | Management | For | For |
| 3 | Amend Articles to: Adopt Reduction of Liability System for Non-Executive Directors | Management | For | For |
| 4.1 | Shareholder Proposal: Dismiss a Director Suzuki, Hiroshi | Shareholder | Against | For |
| 4.2 | Shareholder Proposal: Dismiss a Director Kodama, Yukiharu | Shareholder | Against | For |
| 4.3 | Shareholder Proposal: Dismiss a Director Koeda, Itaru | Shareholder | Against | For |
| 4.4 | Shareholder Proposal: Dismiss a Director Aso, Yutaka | Shareholder | Against | For |
| 4.5 | Shareholder Proposal: Dismiss a Director Urano, Mitsudo | Shareholder | Against | For |
| 4.6 | Shareholder Proposal: Dismiss a Director Uchinaga, Yukako | Shareholder | Against | For |
| 5 | Shareholder Proposal: Elect a Director Takayama, Taizo | Shareholder | Against | For |

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|----|---|-------------|---------|-----|
| 6 | Shareholder Proposal: Amend Articles of Incorporation (Individual Disclosure of Executive Compensation) | Shareholder | Against | For |
| 7 | Shareholder Proposal: Amend Articles of Incorporation (Separation of Roles of Chairperson of the Board of Directors and President & CEO) | Shareholder | Against | For |
| 8 | Shareholder Proposal: Amend Articles of Incorporation (Disclosure of Information regarding the Decision-making policy on compensation for Directors and Executive Officers) | Shareholder | Against | For |
| 9 | Shareholder Proposal: Amend Articles of Incorporation (Directors Mandatory Retirement at 70 Years of Age) | Shareholder | Against | For |
| 10 | Shareholder Proposal: Amend Articles of Incorporation (Appointment of Directors aged 40 or younger) | Shareholder | Against | For |
| 11 | Shareholder Proposal: Amend Articles of Incorporation (Provision relating to the Structure allowing Shareholders to Recommend Candidates for Directors to the Nomination Committee and Equal Treatment) | Shareholder | Against | For |
| 12 | Shareholder Proposal: Amend Articles of Incorporation (Provision relating to Communication between Shareholders and Directors and Relevant Handling) | Shareholder | Against | For |
| 13 | Shareholder Proposal: Amend Articles of Incorporation (Disclosure of Relationship with the Employee Stock Ownership Association of HOYA CORPORATION) | Shareholder | Against | For |
| 14 | Shareholder Proposal: Not to Reappoint the Accounting Auditor | Shareholder | Against | For |
| 15 | Shareholder Proposal: Amend Articles of Incorporation (Establishment of a Special Committee relating to Handling of Shareholder Proposal Rights) | Shareholder | Against | For |
| 16 | Shareholder Proposal: Amend Articles of Incorporation (Establishment of a Special Committee relating to the Relationship between the Company and Mr. Katsutoshi Kaneda) | Shareholder | Against | For |
| 17 | Shareholder Proposal: Amend Articles of Incorporation (Establishment of a Special | Shareholder | Against | For |

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Committee relating to Requests to Tape Rewrite Co., Ltd.)

| | | | | |
|----|--|-------------|---------|-----|
| 18 | Shareholder Proposal: Amend Articles of Incorporation (Establishment of a Special Committee relating to Discontinuation of Inorganic EL research) | Shareholder | Against | For |
| 19 | Shareholder Proposal: Amend Articles of Incorporation (Establishment of a Special Committee relating to the Suspension of Rational Creation of New Businesses over the past 25 years) | Shareholder | Against | For |
| 20 | Shareholder Proposal: Amend Articles of Incorporation (Establishment of a Special Committee relating to the Business Relationship with Kenko Tokina Co., Ltd.) | Shareholder | Against | For |
| 21 | Shareholder Proposal: Amend Articles of Incorporation (Establishment of a Special Committee relating to Appropriateness of Hereditary succession of the Corporate manager and the effect on Shareholder value) | Shareholder | Against | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 15,000 | 0 | 29-May-2015 | 15-Jun-2015 |

OMRON CORPORATION

| | | | |
|----------------|---|--------------------|------------------------|
| Security | J61374120 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 23-Jun-2015 |
| ISIN | JP3197800000 | Agenda | 706205095 - Management |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |
| City / Country | KYOTO / Japan | Vote Deadline Date | 05-Jun-2015 |
| SEDOL(s) | 5835735 - 6659428 - B02K7H3 - B1CDDC6 - B5PZ640 | Quick Code | 66450 |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|-------------------------------------|-------------|------|------------------------|
| 1 | Please reference meeting materials. | Non-Voting | | |
| | Approve Appropriation of Surplus | Management | For | For |

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| | | | |
|-----|--|------------|-----|
| 2.1 | Appoint a Director Tateishi, Fumio | Management | For |
| 2.2 | Appoint a Director Yamada, Yoshihito | Management | For |
| 2.3 | Appoint a Director Suzuki, Yoshinori | Management | For |
| 2.4 | Appoint a Director Sakumiya, Akio | Management | For |
| 2.5 | Appoint a Director Nitto, Koji | Management | For |
| 2.6 | Appoint a Director Toyama, Kazuhiko | Management | For |
| 2.7 | Appoint a Director Kobayashi, Eizo | Management | For |
| 2.8 | Appoint a Director Nishikawa, Kuniko | Management | For |
| 3.1 | Appoint a Corporate Auditor Kondo, Kiichiro | Management | For |
| 3.2 | Appoint a Corporate Auditor Kawashima, Tokio | Management | For |
| 4 | Appoint a Substitute Corporate Auditor Watanabe, Toru | Management | For |
| 5 | Approve Payment of Performance-based Compensation to Directors | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | STATE STREET BANK | | 12,900 | 0 | 29-May-2015 | 25-Jun-2015 |

PETROCHINA CO LTD, BEIJING

| | | | |
|----------------|---|--------------------|------------------------|
| Security | Y6883Q104 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 23-Jun-2015 |
| ISIN | CNE1000003W8 | Agenda | 706236052 - Management |
| Record Date | 24-Apr-2015 | Holding Recon Date | 24-Apr-2015 |
| City / Country | BEIJING / China | Vote Deadline Date | 12-Jun-2015 |
| SEDOL(s) | 5939507 - 6226576 - B01DNL9 - B17H0R7 - BP3RWW8 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 449512 DUE TO ADDITION OF-RESOLUTION. ALL VOTES RECEIVED ON THE | | Non-Voting | |

PREVIOUS MEETING WILL BE
DISREGARDED AND-YOU WILL NEED
TO REINSTRUCT ON THIS MEETING
NOTICE. THANK YOU

PLEASE NOTE THAT THE COMPANY
NOTICE AND PROXY FORM ARE
AVAILABLE BY CLICKING O-N THE
URL LINKS:

| | | | |
|------|---|------------|-----|
| CMMT | <p>http://www.hkexnews.hk/listedco/listconews/sehk/2015/0408/LTN-20150408914.pdf AND http://www.hkexnews.hk/listedco/listconews/sehk/2015/0408/-LTN201504081030.pdf AND http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0-603/LTN20150603529.pdf AND http://www.hkexnews.hk/listedco/listconews/SEHK/201-5/0603/LTN20150603577.pdf</p> | Non-Voting | |
| 1 | <p>TO CONSIDER AND APPROVE THE REPORT OF THE BOARD OF DIRECTORS OF THE COMPANY FOR THE YEAR 2014</p> | Management | For |
| 2 | <p>TO CONSIDER AND APPROVE THE REPORT OF THE SUPERVISORY COMMITTEE OF THE COMPANY FOR THE YEAR 2014</p> | Management | For |
| 3 | <p>TO CONSIDER AND APPROVE THE AUDITED FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR 2014</p> | Management | For |
| 4 | <p>TO CONSIDER AND APPROVE THE DECLARATION AND PAYMENT OF THE FINAL DIVIDEND FOR THE YEAR ENDED 31 DECEMBER 2014 IN THE AMOUNT AND IN THE MANNER RECOMMENDED BY THE BOARD OF DIRECTORS</p> | Management | For |
| 5 | <p>TO CONSIDER AND APPROVE THE AUTHORISATION OF THE BOARD OF DIRECTORS TO DETERMINE THE DISTRIBUTION OF INTERIM DIVIDENDS FOR THE YEAR 2015</p> | Management | For |
| 6 | <p>TO CONSIDER AND APPROVE THE APPOINTMENT OF KPMG HUAZHEN AND KPMG, AS THE DOMESTIC AND INTERNATIONAL AUDITORS OF THE COMPANY, RESPECTIVELY, FOR THE YEAR 2015 AND TO AUTHORISE THE BOARD OF DIRECTORS TO DETERMINE THEIR REMUNERATION</p> | Management | For |

- 7.I TO CONSIDER AND APPROVE THE ELECTION OF MR ZHAO ZHENGZHANG AS DIRECTOR OF THE COMPANY Management For
- 7.II TO CONSIDER AND APPROVE THE ELECTION OF MR WANG YILIN AS DIRECTOR OF THE COMPANY Management For
- 8 TO CONSIDER AND APPROVE, BY WAY OF SPECIAL RESOLUTION, TO GRANT A GENERAL MANDATE TO THE BOARD OF DIRECTORS TO SEPARATELY OR CONCURRENTLY ISSUE, ALLOT AND DEAL WITH ADDITIONAL DOMESTIC SHARES AND OVERSEAS LISTED FOREIGN SHARES IN THE COMPANY NOT EXCEEDING 20% OF EACH OF ITS EXISTING DOMESTIC SHARES AND OVERSEAS LISTED FOREIGN SHARES OF THE COMPANY IN ISSUE Management For
- 9 TO CONSIDER AND APPROVE, BY WAY OF SPECIAL RESOLUTION, TO UNCONDITIONALLY GRANT A GENERAL MANDATE TO DETERMINE AND HANDLE THE ISSUE OF DEBT FINANCING INSTRUMENTS OF THE COMPANY WITH THE OUTSTANDING BALANCE AMOUNT OF UP TO RMB150 BILLION, UPON SUCH TERMS AND CONDITIONS TO BE DETERMINED BY THE BOARD OF DIRECTORS Management For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|-----------------------------|---------------|------------------|-------------------|--------------------|--------------------|------------------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 804,000 | 0 | 04-Jun-2015 | 15-Jun-2015 |
| DAIWA SECURITIES GROUP INC. | | | | | | | |
| Security | J11718111 | | | Meeting Type | | Annual General Meeting | |
| Ticker Symbol | | | | Meeting Date | | 25-Jun-2015 | |
| ISIN | JP3502200003 | | | Agenda | | 706205401 - Management | |
| Record Date | 31-Mar-2015 | | | Holding Recon Date | | 31-Mar-2015 | |
| City / Country | TOKYO / Japan | | | Vote Deadline Date | | 09-Jun-2015 | |
| SEDOL(s) | | | | Quick Code | | 86010 | |

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0250797 - 4253145 - 4263467 -
 4276830 - 4743088 - 6251448 -
 B021NV2 - B0K3NN2 - BJ05267

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| | Please reference meeting materials. | Non-Voting | | |
| 1.1 | Appoint a Director Suzuki, Shigeharu | Management | For | For |
| 1.2 | Appoint a Director Hibino, Takashi | Management | For | For |
| 1.3 | Appoint a Director Iwamoto, Nobuyuki | Management | For | For |
| 1.4 | Appoint a Director Kusaki, Yoriyuki | Management | For | For |
| 1.5 | Appoint a Director Nakamura, Hiroshi | Management | For | For |
| 1.6 | Appoint a Director Tashiro, Keiko | Management | For | For |
| 1.7 | Appoint a Director Shirataki, Masaru | Management | For | For |
| 1.8 | Appoint a Director Yasuda, Ryuji | Management | For | For |
| 1.9 | Appoint a Director Matsubara, Nobuko | Management | For | For |
| 1.10 | Appoint a Director Tadaki, Keiichi | Management | For | For |
| 1.11 | Appoint a Director Onodera, Tadashi | Management | For | For |
| 1.12 | Appoint a Director Ogasawara, Michiaki | Management | For | For |
| 2 | Approve Issuance of Share Acquisition Rights as Stock Options | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 115,000 0 | | 29-May-2015 | 25-Jun-2015 |

IHI CORPORATION

| | | | |
|----------------|---|--------------------|------------------------|
| Security | J2398N105 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 25-Jun-2015 |
| ISIN | JP3134800006 | Agenda | 706227003 - Management |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |
| City / Country | TOKYO / Japan | Vote Deadline Date | 17-Jun-2015 |
| SEDOL(s) | 5754313 - 6466985 - B170KB0 - B3BHQH9 - BHZL4P9 | Quick Code | 70130 |

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| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| | Please reference meeting materials. | Non-Voting | | |
| 1 | Approve Appropriation of Surplus | Management | For | For |
| 2.1 | Appoint a Director Kama, Kazuaki | Management | For | For |
| 2.2 | Appoint a Director Saito, Tamotsu | Management | For | For |
| 2.3 | Appoint a Director Degawa, Sadao | Management | For | For |
| 2.4 | Appoint a Director Sekido, Toshinori | Management | For | For |
| 2.5 | Appoint a Director Terai, Ichiro | Management | For | For |
| 2.6 | Appoint a Director Sakamoto, Joji | Management | For | For |
| 2.7 | Appoint a Director Yoshida, Eiichi | Management | For | For |
| 2.8 | Appoint a Director Mitsuoka, Tsugio | Management | For | For |
| 2.9 | Appoint a Director Otani, Hiroyuki | Management | For | For |
| 2.10 | Appoint a Director Abe, Akinori | Management | For | For |
| 2.11 | Appoint a Director Hamaguchi, Tomokazu | Management | For | For |
| 2.12 | Appoint a Director Okamura, Tadashi | Management | For | For |
| 2.13 | Appoint a Director Asakura, Hiroshi | Management | For | For |
| 2.14 | Appoint a Director Domoto, Naoya | Management | For | For |
| 2.15 | Appoint a Director Fujiwara, Taketsugu | Management | For | For |
| 3 | Appoint a Corporate Auditor Hashimoto, Takayuki | Management | For | For |
| 4 | Amend the Compensation to be received by Directors | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 112,000 0 | | 03-Jun-2015 | 24-Jun-2015 |

MITSUBISHI UFJ FINANCIAL GROUP, INC.

| | | | |
|---------------|--------------|---------------|------------------------|
| Security | J44497105 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 25-Jun-2015 |
| ISIN | JP3902900004 | Agenda | 706250583 - Management |
| Record Date | 31-Mar-2015 | Holding Recon | 31-Mar-2015 |

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| | | | | |
|----------|---------|---|--------------------|-------------|
| City / | Country | TOKYO / Japan | Date | |
| | | | Vote Deadline Date | 09-Jun-2015 |
| SEDOL(s) | | 3198902 - 6335171 - B02JD72 - B0P9948 - BHZL5M3 | Quick Code | 83060 |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|--------------|------------|------------------------|
| | Please reference meeting materials. | | Non-Voting | |
| 1 | Approve Appropriation of Surplus | Management | For | For |
| 2 | Amend Articles to: Transition to a Company with Three Committees, Eliminate the Articles Related to Class 5 and Class 11 Preferred Shares, Revise Convenors and Chairpersons of a Shareholders Meeting and Board of Directors Meeting, Adopt Reduction of Liability System for Non-Executive Directors, Revise Directors with Title | Management | For | For |
| 3.1 | Appoint a Director Sono, Kiyoshi | Management | For | For |
| 3.2 | Appoint a Director Wakabayashi, Tatsuo | Management | For | For |
| 3.3 | Appoint a Director Nagaoka, Takashi | Management | For | For |
| 3.4 | Appoint a Director Hirano, Nobuyuki | Management | For | For |
| 3.5 | Appoint a Director Oyamada, Takashi | Management | For | For |
| 3.6 | Appoint a Director Kuroda, Tadashi | Management | For | For |
| 3.7 | Appoint a Director Tokunari, Muneaki | Management | For | For |
| 3.8 | Appoint a Director Yasuda, Masamichi | Management | For | For |
| 3.9 | Appoint a Director Mikumo, Takashi | Management | For | For |
| 3.10 | Appoint a Director Shimamoto, Takehiko | Management | For | For |
| 3.11 | Appoint a Director Kawamoto, Yuko | Management | For | For |
| 3.12 | Appoint a Director Matsuyama, Haruka | Management | For | For |
| 3.13 | Appoint a Director Okamoto, Kunie | Management | For | For |
| 3.14 | Appoint a Director Okuda, Tsutomu | Management | For | For |
| 3.15 | Appoint a Director Kawakami, Hiroshi | Management | For | For |
| 3.16 | Appoint a Director Sato, Yukihiro | Management | For | For |
| 3.17 | Appoint a Director Yamate, Akira | Management | For | For |
| 4 | | Shareholders | Against | For |

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Shareholder Proposal: Amend Articles of Incorporation (Ban on Gender Discrimination)

Shareholder Proposal: Amend Articles of Incorporation (Setting Maximum Limit for Stock Name Transfer fees on Margin Trading at Securities Subsidiaries)

5 Shareholder Against For

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 57,700 | 0 | 08-Jun-2015 | 24-Jun-2015 |

TOSHIBA CORPORATION

| | | | |
|----------------|---|--------------------|------------------------|
| Security | J89752117 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 25-Jun-2015 |
| ISIN | JP3592200004 | Agenda | 706254719 - Management |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |
| City / Country | TOKYO / Japan | Vote Deadline Date | 09-Jun-2015 |
| SEDOL(s) | 0897439 - 2897589 - 4899914 - 4900065 - 5485657 - 6897217 - BOCR525 - B1H51J0 | Quick Code | 65020 |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| | Please reference meeting materials. | | Non-Voting | |
| 1.1 | Appoint a Director Muromachi, Masashi | Management | For | For |
| 1.2 | Appoint a Director Sasaki, Norio | Management | For | For |
| 1.3 | Appoint a Director Tanaka, Hisao | Management | For | For |
| 1.4 | Appoint a Director Shimomitsu, Hidejiro | Management | For | For |
| 1.5 | Appoint a Director Fukakushi, Masahiko | Management | For | For |
| 1.6 | Appoint a Director Kobayashi, Kiyoshi | Management | For | For |
| 1.7 | Appoint a Director Masaki, Toshio | Management | For | For |
| 1.8 | Appoint a Director Nishida, Naoto | Management | For | For |
| 1.9 | Appoint a Director Maeda, Keizo | Management | For | For |
| 1.10 | Appoint a Director Ushio, Fumiaki | Management | For | For |

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| | | | |
|------|--|-------------|-----|
| 1.11 | Appoint a Director Kubo, Makoto | Management | For |
| 1.12 | Appoint a Director Shimaoka, Seiya | Management | For |
| 1.13 | Appoint a Director Itami, Hiroyuki | Management | For |
| 1.14 | Appoint a Director Shimanouchi, Ken | Management | For |
| 1.15 | Appoint a Director Saito, Kiyomi | Management | For |
| 1.16 | Appoint a Director Tanino, Sakutaro | Management | For |
| 2 | Shareholder Proposal: Amend Articles of Incorporation (Exercise of Voting Rights at General Meetings of Shareholders) | Shareholder | For |
| 3 | Shareholder Proposal: Amend Articles of Incorporation (Effective Use of Assets) | Shareholder | For |
| 4 | Shareholder Proposal: Amend Articles of Incorporation (Purchase of Own Shares) | Shareholder | For |
| 5 | Shareholder Proposal: Amend Articles of Incorporation (Individual Disclosure of Information on Directors and Executive Officers) | Shareholder | For |
| 6 | Shareholder Proposal: Amend Articles of Incorporation (Reconsideration of Nuclear Power Business) | Shareholder | For |
| 7 | Shareholder Proposal: Amend Articles of Incorporation (Disclosure of Information concerning Employees who Entered the Company from a Ministry or Agency of Government or Other Public Organizations) | Shareholder | For |
| 8 | Shareholder Proposal: Amend Articles of Incorporation (Conditions of Employment for Temporary Employees) | Shareholder | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | STATE STREET BANK | | 112,000 0 | | 10-Jun-2015 | 24-Jun-2015 |

NIPPON TELEGRAPH AND TELEPHONE CORPORATION

| | | | |
|---------------|--------------|--------------------|------------------------|
| Security | J59396101 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 26-Jun-2015 |
| ISIN | JP3735400008 | Agenda | 706216593 - Management |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |

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City / Country TOKYO / Japan Vote Deadline Date 10-Jun-2015

SEDOL(s) 0641186 - 5168602 - 6641373 - B1570S0 - BJ04L23 Quick Code 94320

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| | Please reference meeting materials. | | Non-Voting | |
| 1 | Approve Appropriation of Surplus | Management | For | For |
| 2 | Amend Articles to: Adopt Reduction of Liability System for Non-Executive Directors and Corporate Auditors, Adopt the Company to make distributions of surplus to foreign shareholders and other shareholders who were restricted from being entered or registered on the Company's register of shareholders | Management | For | For |
| 3 | Appoint a Director Hiroi, Takashi | Management | For | For |
| 4.1 | Appoint a Corporate Auditor Kosaka, Kiyoshi | Management | For | For |
| 4.2 | Appoint a Corporate Auditor Ide, Akiko | Management | For | For |
| 4.3 | Appoint a Corporate Auditor Tomonaga, Michiko | Management | For | For |
| 4.4 | Appoint a Corporate Auditor Ochiai, Seiichi | Management | For | For |
| 4.5 | Appoint a Corporate Auditor Iida, Takashi | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|---------------------|
| AL27 | AL27 | STATE STREET BANK | | 9,500 | 0 | 01-Jun-2015 |

FUJIFILM HOLDINGS CORPORATION

| | | | |
|----------------|---|--------------------|------------------------|
| Security | J14208102 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 26-Jun-2015 |
| ISIN | JP3814000000 | Agenda | 706226772 - Management |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |
| City / Country | TOKYO / Japan | Vote Deadline Date | 10-Jun-2015 |
| SEDOL(s) | 5477591 - 6356525 - B0222J6 - B0ZGTR2 - BHZL2M2 | Quick Code | 49010 |

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| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| | Please reference meeting materials. | Non-Voting | | |
| 1 | Approve Appropriation of Surplus | Management | For | For |
| 2 | Amend Articles to: Adopt Reduction of Liability System for Non-Executive Directors and Corporate Auditors | Management | For | For |
| 3.1 | Appoint a Director Komori, Shigetaka | Management | For | For |
| 3.2 | Appoint a Director Nakajima, Shigehiro | Management | For | For |
| 3.3 | Appoint a Director Tamai, Koichi | Management | For | For |
| 3.4 | Appoint a Director Toda, Yuzo | Management | For | For |
| 3.5 | Appoint a Director Takahashi, Toru | Management | For | For |
| 3.6 | Appoint a Director Ishikawa, Takatoshi | Management | For | For |
| 3.7 | Appoint a Director Sukeno, Kenji | Management | For | For |
| 3.8 | Appoint a Director Asami, Masahiro | Management | For | For |
| 3.9 | Appoint a Director Yamamoto, Tadahito | Management | For | For |
| 3.10 | Appoint a Director Kitayama, Teisuke | Management | For | For |
| 3.11 | Appoint a Director Inoue, Hiroshi | Management | For | For |
| 3.12 | Appoint a Director Miyazaki, Go | Management | For | For |
| 4 | Appoint a Corporate Auditor Kobayakawa, Hisayoshi | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | STATE STREET BANK | | 16,400 | 0 | 03-Jun-2015 | 25-Jun-2015 |

FANUC CORPORATION

| | | | |
|----------------|--------------------|--------------------|------------------------|
| Security | J13440102 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 26-Jun-2015 |
| ISIN | JP3802400006 | Agenda | 706237612 - Management |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |
| City / Country | YAMANAS HI / Japan | Vote Deadline Date | 18-Jun-2015 |

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SEDOL(s) 5477557 - 6356934 - B022218 - B16TB93 - BHZL4G0 Quick Code 69540

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| | Please reference meeting materials. | Non-Voting | | |
| 1 | Approve Appropriation of Surplus | Management | For | For |
| 2.1 | Appoint a Director Inaba, Yoshiharu | Management | For | For |
| 2.2 | Appoint a Director Yamaguchi, Kenji | Management | For | For |
| 2.3 | Appoint a Director Uchida, Hiroyuki | Management | For | For |
| 2.4 | Appoint a Director Gonda, Yoshihiro | Management | For | For |
| 2.5 | Appoint a Director Inaba, Kiyonori | Management | For | For |
| 2.6 | Appoint a Director Matsubara, Shunsuke | Management | For | For |
| 2.7 | Appoint a Director Noda, Hiroshi | Management | For | For |
| 2.8 | Appoint a Director Kohari, Katsuo | Management | For | For |
| 2.9 | Appoint a Director Okada, Toshiya | Management | For | For |
| 2.10 | Appoint a Director Richard E. Schneider | Management | For | For |
| 2.11 | Appoint a Director Olaf C. Gehrels | Management | For | For |
| 2.12 | Appoint a Director Ono, Masato | Management | For | For |
| 2.13 | Appoint a Director Tsukuda, Kazuo | Management | For | For |
| 2.14 | Appoint a Director Imai, Yasuo | Management | For | For |
| 3.1 | Appoint a Corporate Auditor Kimura, Shunsuke | Management | For | For |
| 3.2 | Appoint a Corporate Auditor Shimizu, Naoki | Management | For | For |
| 3.3 | Appoint a Corporate Auditor Nakagawa, Takeo | Management | For | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|-------------------|-----------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | STATE STREET BANK | | 10,700 | 0 | 05-Jun-2015 | 25-Jun-2015 |

MITSUBISHI HEAVY INDUSTRIES,LTD.

| | | | |
|---------------|-----------|--------------|------------------------|
| Security | J44002129 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 26-Jun-2015 |

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| | | | |
|----------------|---|--------------------|------------------------|
| ISIN | JP3900000005 | Agenda | 706237686 - Management |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |
| City / Country | TOKYO / Japan | Vote Deadline Date | 10-Jun-2015 |
| SEDOL(s) | 5478000 - 6597067 - B01DM01 - B175XK5 - BHZL4M6 | Quick Code | 70110 |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------------|------------------------|
| | Please reference meeting materials. | | Non-Voting | |
| 1 | Approve Appropriation of Surplus | Management | For | For |
| 2 | Amend Articles to: Transition to a Company with Supervisory Committee, Revise Directors with Title, Reduce the Board of Directors Size to 20, Revise Convenors and Chairpersons of a Board of Directors Meeting, Adopt Reduction of Liability System for Non-Executive Directors | Management | For | For |
| 3.1 | Appoint a Director except as Supervisory Committee Members Omiya, Hideaki | Management | For | For |
| 3.2 | Appoint a Director except as Supervisory Committee Members Miyanaga, Shunichi | Management | For | For |
| 3.3 | Appoint a Director except as Supervisory Committee Members Maekawa, Atsushi | Management | For | For |
| 3.4 | Appoint a Director except as Supervisory Committee Members Kujirai, Yoichi | Management | For | For |
| 3.5 | Appoint a Director except as Supervisory Committee Members Mizutani, Hisakazu | Management | For | For |
| 3.6 | Appoint a Director except as Supervisory Committee Members Kimura, Kazuaki | Management | For | For |
| 3.7 | Appoint a Director except as Supervisory Committee Members Koguchi, Masanori | Management | For | For |
| 3.8 | Appoint a Director except as Supervisory Committee Members Kojima, Yorihiro | Management | For | For |
| 3.9 | Appoint a Director except as Supervisory Committee Members Shinohara, Naoyuki | Management | For | For |
| 4.1 | Appoint a Director as Supervisory Committee Members Isu, Eiji | Management | For | For |

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| | | | |
|-----|--|------------|-----|
| 4.2 | Appoint a Director as Supervisory Committee Members Nojima, Tatsuhiko | Management | For |
| 4.3 | Appoint a Director as Supervisory Committee Members Kuroyanagi, Nobuo | Management | For |
| 4.4 | Appoint a Director as Supervisory Committee Members Christina Ahmadjian | Management | For |
| 4.5 | Appoint a Director as Supervisory Committee Members Ito, Shinichiro | Management | For |
| 5 | Amend the Compensation to be received by Directors except as Supervisory Committee Members | Management | For |
| 6 | Amend the Compensation to be received by Directors as Supervisory Committee Members | Management | For |
| 7 | Approve Details of Stock Compensation to be received by Directors | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 182,000 0 | | 05-Jun-2015 | 25-Jun-2015 |

SUMITOMO MITSUI FINANCIAL GROUP, INC.

| | | | |
|----------------|---|--------------------|------------------------|
| Security | J7771X109 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 26-Jun-2015 |
| ISIN | JP3890350006 | Agenda | 706237763 - Management |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |
| City / Country | TOKYO / Japan | Vote Deadline Date | 10-Jun-2015 |
| SEDOL(s) | 3250095 - 6563024 - B02LM26 - B0R2R41 - B17MVZ7 - BHZL5Q7 | Quick Code | 83160 |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------------|------------------------|
| | Please reference meeting materials. | | Non-Voting | |
| 1 | Approve Appropriation of Surplus | Management | For | For |
| 2 | Amend Articles to: Revise Directors with Title, Approve Minor Revisions, Adopt Reduction of Liability System for | Management | For | For |

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Non-Executive Directors and Corporate Auditors

| | | | |
|------|--|------------|-----|
| 3.1 | Appoint a Director Oku, Masayuki | Management | For |
| 3.2 | Appoint a Director Kunibe, Takeshi | Management | For |
| 3.3 | Appoint a Director Ito, Yujiro | Management | For |
| 3.4 | Appoint a Director Ogino, Kozo | Management | For |
| 3.5 | Appoint a Director Teramoto, Toshiyuki | Management | For |
| 3.6 | Appoint a Director Tanizaki, Katsunori | Management | For |
| 3.7 | Appoint a Director Nomura, Kuniaki | Management | For |
| 3.8 | Appoint a Director Arthur M. Mitchell | Management | For |
| 3.9 | Appoint a Director Kono, Masaharu | Management | For |
| 3.10 | Appoint a Director Sakurai, Eriko | Management | For |
| 4 | Appoint a Corporate Auditor Mikami, Toru | Management | For |
| 5 | Amend the Compensation to be received by Directors | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 38,600 | 0 | 05-Jun-2015 | 25-Jun-2015 |

LEOPALACE21 CORPORATION

| | | | |
|----------------|---|--------------------|------------------------|
| Security | J38781100 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 26-Jun-2015 |
| ISIN | JP3167500002 | Agenda | 706237876 - Management |
| Record Date | 31-Mar-2015 | Holding Recon Date | 31-Mar-2015 |
| City / Country | TOKYO / Japan | Vote Deadline Date | 18-Jun-2015 |
| SEDOL(s) | 2761026 - 5881482 - 6598424 - B1CDCY1 - B3BHY31 | Quick Code | 88480 |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|---|-------------|------------|------------------------|
| | Please reference meeting materials. | | Non-Voting | |
| 1 | Approve Reduction of Capital Reserve and Appropriation of Surplus | Management | For | For |

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| | | | |
|-----|---|------------|-----|
| 2.1 | Appoint a Director Miyama, Eisei | Management | For |
| 2.2 | Appoint a Director Miyama, Tadahiro | Management | For |
| 2.3 | Appoint a Director Sekiya, Yuzuru | Management | For |
| 2.4 | Appoint a Director Tajiri, Kazuto | Management | For |
| 2.5 | Appoint a Director Miike, Yoshikazu | Management | For |
| 2.6 | Appoint a Director Harada, Hiroyuki | Management | For |
| 2.7 | Appoint a Director Takeda, Hiroshi | Management | For |
| 2.8 | Appoint a Director Taya, Tetsuji | Management | For |
| 2.9 | Appoint a Director Sasao, Yoshiko | Management | For |
| 3 | Appoint a Corporate Auditor Yuhara, Takao | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|---------------------|
| AL27 | AL27 | | STATE STREET BANK | 136,300 0 | | 05-Jun-2015 |

CHINA CINDA ASSET MANAGEMENT CO LTD

| | | | |
|----------------|---------------------------------------|--------------------|------------------------|
| Security | Y1R34V103 | Meeting Type | Annual General Meeting |
| Ticker Symbol | | Meeting Date | 29-Jun-2015 |
| ISIN | CNE100001QS1 | Agenda | 706271347 - Management |
| Record Date | 29-May-2015 | Holding Recon Date | 29-May-2015 |
| City / Country | BEIJING / China | Vote Deadline Date | 18-Jun-2015 |
| SEDOL(s) | BGY6SV2 - BH5MC70 - BP3RYM2 - BQLXPR1 | Quick Code | |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|--|-------------|------------|------------------------|
| CMMT | PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID: 485365 DUE TO RECEIPT OF-ADDITIONAL RESOLUTION. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU. | | Non-Voting | |
| CMMT | | | Non-Voting | |

PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING ON THE URL LINKS:

<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0612/LTN-20150612041.pdf> AND
<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0612/LTN20150612039.pdf> AND
<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/05-14/LTN20150514019.pdf>

| | | | |
|---|---|------------|-----|
| 1 | TO CONSIDER AND APPROVE THE WORK REPORT OF THE BOARD FOR 2014 | Management | For |
| 2 | TO CONSIDER AND APPROVE THE WORK REPORT OF THE BOARD OF SUPERVISORS FOR 2014 | Management | For |
| 3 | TO CONSIDER AND APPROVE THE FINAL FINANCIAL ACCOUNT PLAN FOR 2014 | Management | For |
| 4 | TO CONSIDER AND APPROVE THE PROFIT DISTRIBUTION PLAN FOR 2014 | Management | For |
| 5 | TO CONSIDER AND APPROVE THE FIXED ASSETS INVESTMENT BUDGET FOR 2015 | Management | For |
| 6 | TO CONSIDER AND APPROVE THE APPOINTMENT OF ACCOUNTING FIRM FOR 2015 | Management | For |
| 7 | TO CONSIDER AND APPROVE THE GRANTING OF GENERAL MANDATE TO ISSUE ADDITIONAL H SHARES TO THE BOARD | Management | For |

| Account Number | Account Name | Internal Account | Custodian | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|------------------|-------------------|---------------|--------------------|-------------|----------------|
| AL27 | AL27 | | STATE STREET BANK | 1,187,000 | | 13-Jun-2015 | 24-Jun-2015 |

SIGNATURE

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Clough Global Allocation
Fund

By: /s/ Edmund J. Burke
Edmund J. Burke
President

Date: August 25, 2015