

HERZFELD CARIBBEAN BASIN FUND INC

Form NSAR-B

August 30, 2013

PAGE 1  
000 B000000 06/30/2013  
000 C000000 0000880406  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE HERZFELD CARIBBEAN BASIN FUND INC.  
001 B000000 811-6445  
001 C000000 3052711900  
002 A000000 119 WASHINGTON AVE SUITE 504  
002 B000000 MIAMI  
002 C000000 FL  
002 D010000 33139  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 HERZFELD/CUBA  
008 B000001 A  
008 C000001 801-20866  
008 D010001 MIAMI  
008 D020001 FL  
008 D030001 33139  
011 A000001 THOMAS J. HERZFELD & CO., INC.  
011 B000001 8-25933  
011 C010001 MIAMI BEACH  
011 C020001 FL  
011 C030001 33139  
012 A000001 STATE STREET BANK AND TRUST COMPANY  
012 B000001 84-XXXX  
012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02111  
013 A000001 ROTHSTEIN KASS  
013 B010001 WALNUT CREEK  
013 B020001 CA  
PAGE 2  
013 B030001 94596  
014 A000001 THOMAS J. HERZFELD & CO., INC.  
014 B000001 8-25933  
015 A000001 STATE STREET BANK AND TRUST COMPANY  
015 B000001 C  
015 C010001 BOSTON

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B

015 C020001 MA  
015 C030001 02111  
015 E010001 X  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 CHARLES SCHWAB  
020 B000001 94-1737782  
020 C000001 1  
020 A000002 BLOOMBERG TRADEBOOK  
020 B000002 13-3880286  
020 C000002 3  
020 C000003 0  
020 C000004 0  
020 C000005 0  
020 C000006 0  
020 C000007 0  
020 C000008 0  
020 C000009 0  
020 C000010 0  
021 000000 4  
022 C000001 0  
022 D000001 0  
022 C000002 0  
022 D000002 0  
022 C000003 0  
022 D000003 0  
022 C000004 0  
022 D000004 0  
022 C000005 0  
022 D000005 0  
022 C000006 0  
022 D000006 0  
022 C000007 0  
022 D000007 0  
022 C000008 0  
022 D000008 0  
022 C000009 0  
022 D000009 0  
022 C000010 0  
022 D000010 0  
023 C000000 0  
023 D000000 0  
024 000000 N  
025 D000001 0  
PAGE 3  
025 D000002 0  
025 D000003 0  
025 D000004 0  
025 D000005 0  
025 D000006 0  
025 D000007 0  
025 D000008 0  
026 A000000 N  
026 B000000 Y  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 Y  
026 G010000 N  
026 G020000 N  
026 H000000 N

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B

|     |         |       |   |
|-----|---------|-------|---|
| 027 | 000000  | N     |   |
| 028 | A010000 |       | 0 |
| 028 | A020000 |       | 0 |
| 028 | A030000 |       | 0 |
| 028 | A040000 |       | 0 |
| 028 | B010000 |       | 0 |
| 028 | B020000 |       | 0 |
| 028 | B030000 |       | 0 |
| 028 | B040000 |       | 0 |
| 028 | C010000 |       | 0 |
| 028 | C020000 |       | 0 |
| 028 | C030000 |       | 0 |
| 028 | C040000 |       | 0 |
| 028 | D010000 |       | 0 |
| 028 | D020000 |       | 0 |
| 028 | D030000 |       | 0 |
| 028 | D040000 |       | 0 |
| 028 | E010000 |       | 0 |
| 028 | E020000 |       | 0 |
| 028 | E030000 |       | 0 |
| 028 | E040000 |       | 0 |
| 028 | F010000 |       | 0 |
| 028 | F020000 |       | 0 |
| 028 | F030000 |       | 0 |
| 028 | F040000 |       | 0 |
| 028 | G010000 |       | 0 |
| 028 | G020000 |       | 0 |
| 028 | G030000 |       | 0 |
| 028 | G040000 |       | 0 |
| 028 | H000000 |       | 0 |
| 030 | A000000 |       | 0 |
| 030 | B000000 | 0.00  |   |
| 030 | C000000 | 0.00  |   |
| 031 | A000000 |       | 0 |
| 031 | B000000 |       | 0 |
|     | PAGE    | 4     |   |
| 032 | 000000  |       | 0 |
| 033 | 000000  |       | 0 |
| 035 | 000000  |       | 0 |
| 036 | B000000 |       | 0 |
| 038 | 000000  |       | 0 |
| 042 | A000000 |       | 0 |
| 042 | B000000 |       | 0 |
| 042 | C000000 |       | 0 |
| 042 | D000000 |       | 0 |
| 042 | E000000 |       | 0 |
| 042 | F000000 |       | 0 |
| 042 | G000000 |       | 0 |
| 042 | H000000 |       | 0 |
| 043 | 000000  |       | 0 |
| 044 | 000000  |       | 0 |
| 045 | 000000  | Y     |   |
| 046 | 000000  | N     |   |
| 047 | 000000  | Y     |   |
| 048 | 000000  | 1.450 |   |
| 048 | A010000 |       | 0 |
| 048 | A020000 | 0.000 |   |
| 048 | B010000 |       | 0 |
| 048 | B020000 | 0.000 |   |
| 048 | C010000 |       | 0 |
| 048 | C020000 | 0.000 |   |
| 048 | D010000 |       | 0 |

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B

048 D020000 0.000  
048 E010000 0  
048 E020000 0.000  
048 F010000 0  
048 F020000 0.000  
048 G010000 0  
048 G020000 0.000  
048 H010000 0  
048 H020000 0.000  
048 I010000 0  
048 I020000 0.000  
048 J010000 0  
048 J020000 0.000  
048 K010000 0  
048 K020000 0.000  
049 000000 N  
050 000000 N  
051 000000 N  
052 000000 N  
053 A000000 N  
054 A000000 Y  
054 B000000 Y  
054 C000000 N  
054 D000000 N  
054 E000000 N  
PAGE 5  
054 F000000 N  
054 G000000 N  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 N  
055 A000000 N  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 N  
060 B000000 N  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B

|     |         |       |
|-----|---------|-------|
| 062 | R000000 | 0.0   |
| 063 | A000000 | 0     |
| 063 | B000000 | 0.0   |
| 066 | A000000 | Y     |
| 066 | B000000 | N     |
| 066 | C000000 | Y     |
| 066 | D000000 | N     |
| 066 | E000000 | N     |
| 066 | F000000 | N     |
| 066 | G000000 | N     |
| 067 | 000000  | N     |
| 068 | A000000 | N     |
| 068 | B000000 | N     |
| 069 | 000000  | N     |
| 070 | A010000 | Y     |
|     | PAGE    | 6     |
| 070 | A020000 | N     |
| 070 | B010000 | Y     |
| 070 | B020000 | N     |
| 070 | C010000 | N     |
| 070 | C020000 | N     |
| 070 | D010000 | Y     |
| 070 | D020000 | N     |
| 070 | E010000 | Y     |
| 070 | E020000 | N     |
| 070 | F010000 | Y     |
| 070 | F020000 | N     |
| 070 | G010000 | Y     |
| 070 | G020000 | N     |
| 070 | H010000 | Y     |
| 070 | H020000 | N     |
| 070 | I010000 | Y     |
| 070 | I020000 | N     |
| 070 | J010000 | Y     |
| 070 | J020000 | Y     |
| 070 | K010000 | Y     |
| 070 | K020000 | Y     |
| 070 | L010000 | Y     |
| 070 | L020000 | Y     |
| 070 | M010000 | Y     |
| 070 | M020000 | N     |
| 070 | N010000 | Y     |
| 070 | N020000 | N     |
| 070 | O010000 | Y     |
| 070 | O020000 | N     |
| 070 | P010000 | N     |
| 070 | P020000 | N     |
| 070 | Q010000 | N     |
| 070 | Q020000 | N     |
| 070 | R010000 | N     |
| 070 | R020000 | N     |
| 071 | A000000 | 11471 |
| 071 | B000000 | 11601 |
| 071 | C000000 | 30560 |
| 071 | D000000 | 37    |
| 072 | A000000 | 12    |
| 072 | B000000 | 0     |
| 072 | C000000 | 687   |
| 072 | D000000 | 0     |
| 072 | E000000 | 0     |
| 072 | F000000 | 472   |
| 072 | G000000 | 0     |

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B

072 H000000 27  
 072 I000000 24  
 072 J000000 84  
 072 K000000 4  
 072 L000000 8

PAGE 7

072 M000000 21  
 072 N000000 15  
 072 O000000 0  
 072 P000000 0  
 072 Q000000 0  
 072 R000000 51  
 072 S000000 39  
 072 T000000 0  
 072 U000000 0  
 072 V000000 8  
 072 W000000 58  
 072 X000000 811  
 072 Y000000 0  
 072 Z000000 -124  
 072AA000000 4553  
 072BB000000 0  
 072CC010000 1414  
 072CC020000 0  
 072DD010000 0  
 072DD020000 0  
 072EE000000 0  
 073 A010000 0.0000  
 073 A020000 0.0000  
 073 B000000 0.1960  
 073 C000000 0.0000  
 074 A000000 245  
 074 B000000 0  
 074 C000000 0  
 074 D000000 0  
 074 E000000 0  
 074 F000000 34265  
 074 G000000 0  
 074 H000000 0  
 074 I000000 0  
 074 J000000 0  
 074 K000000 0  
 074 L000000 57  
 074 M000000 19  
 074 N000000 34586  
 074 O000000 0  
 074 P000000 126  
 074 Q000000 0  
 074 R010000 0  
 074 R020000 0  
 074 R030000 0  
 074 R040000 15  
 074 S000000 0  
 074 T000000 34445  
 074 U010000 3713  
 074 U020000 0  
 074 V010000 9.28

PAGE 8

074 V020000 0.00  
 074 W000000 0.0000  
 074 X000000 3440  
 074 Y000000 0

Edgar Filing: HERZFELD CARIBBEAN BASIN FUND INC - Form NSAR-B

|           |                     |                         |
|-----------|---------------------|-------------------------|
| 075       | A000000             | 0                       |
| 075       | B000000             | 32439                   |
| 076       | 000000              | 8.51                    |
| 077       | A000000             | Y                       |
| 077       | B000000             | Y                       |
| 080       | A000000             | ICI MUTUAL INSURANCE CO |
| 080       | C000000             | 200                     |
| 081       | A000000             | N                       |
| 081       | B000000             | 0                       |
| 082       | A000000             | N                       |
| 082       | B000000             | 0                       |
| 083       | A000000             | N                       |
| 083       | B000000             | 0                       |
| 084       | A000000             | N                       |
| 084       | B000000             | 0                       |
| 085       | A000000             | Y                       |
| 085       | B000000             | N                       |
| 086       | A010000             | 0                       |
| 086       | A020000             | 0                       |
| 086       | B010000             | 0                       |
| 086       | B020000             | 0                       |
| 086       | C010000             | 0                       |
| 086       | C020000             | 0                       |
| 086       | D010000             | 0                       |
| 086       | D020000             | 0                       |
| 086       | E010000             | 0                       |
| 086       | E020000             | 0                       |
| 086       | F010000             | 0                       |
| 086       | F020000             | 0                       |
| 087       | A010000             | COMMON STOCK            |
| 087       | A020000             | 42804T105               |
| 087       | A030000             | CUBA                    |
| 088       | A000000             | N                       |
| 088       | B000000             | N                       |
| 088       | C000000             | N                       |
| 088       | D000000             | N                       |
| SIGNATURE | CECILIA GONDOR      |                         |
| TITLE     | SECRETARY/TREASURER |                         |