

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

TOMPKINS FINANCIAL CORP
 Form 13F-HR
 April 12, 2013

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|----------------------------|----------------|-----------|-------------------|----------------------------|-----------------|---------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVTMT DSCRETN |
| AXIS CAPITAL HLDGS LTD | | G0692U109 | 42 | 1020 SH | | DEFINED |
| ACCENTURE PLC | | G1151C101 | 1064 | 14006 SH | | SOLE |
| ACCENTURE PLC | | G1151C101 | 49 | 645 SH | | DEFINED |
| COVIDIEN PLC | | G2554F113 | 287 | 4225 SH | | SOLE |
| EATON CORP PLC | | G29183103 | 25 | 400 SH | | SOLE |
| NABORS INDUSTRIES LTD | | G6359F103 | 4 | 230 SH | | SOLE |
| REGS SEADRILL LIMITED | | G7945E105 | 60 | 1600 SH | | SOLE |
| GARMIN LTD | | H2906T109 | 80 | 2415 SH | | SOLE |
| NOBLE CORPORATION | | H5833N103 | 6 | 170 SH | | SOLE |
| TRANSOCEAN LTD | | H8817H100 | 54 | 1047 SH | | SOLE |
| STRATASYS LTD | | M85548101 | 30 | 405 SH | | DEFINED |
| AFLAC INC | COM | 001055102 | 188 | 3620 SH | | SOLE |
| AT&T INC | COM | 00206R102 | 2178 | 59388 SH | | SOLE |
| AT&T INC | COM | 00206R102 | 135 | 3675 SH | | DEFINED |
| ABAXIS INC | | 002567105 | 30 | 630 SH | | DEFINED |
| ABBOTT LABORATORIES | COM | 002824100 | 1126 | 31890 SH | | SOLE |
| ABBOTT LABORATORIES | COM | 002824100 | 16 | 450 SH | | DEFINED |
| ABBVIE INC | | 00287Y109 | 1048 | 25698 SH | | SOLE |
| ABBVIE INC | | 00287Y109 | 40 | 978 SH | | DEFINED |
| ABERDEEN CHILE FD INC | | 00301W105 | 0 | 16 SH | | SOLE |
| ABERDEEN AUSTRALIA | EQUITY FUND | 003011103 | 34 | 3000 SH | | SOLE |
| ACADIA RLTY TR | | 004239109 | 26 | 939 SH | | SOLE |
| ACTIVISION BLIZZARD INC | | 00507V109 | 1 | 49 SH | | SOLE |
| THE ADVISORY BOARD | | 00762W107 | 24 | 450 SH | | DEFINED |
| ADVANCED MICRO DEVICES INC | COM | 007903107 | 3 | 1000 SH | | SOLE |
| AGNICO EAGLE MINES LTD | COM NEW ADR | 008474108 | 21 | 500 SH | | SOLE |
| AIR PRODS & CHEMS INC | COM | 009158106 | 12 | 135 SH | | SOLE |
| ALCOA INC | COM | 013817101 | 53 | 6252 SH | | SOLE |
| ALCATEL-LUCENT ADR | | 013904305 | 0 | 125 SH | | SOLE |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|------------------------------|----------------|-----------|-------------------|----------------------------|-----------------|---------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVTMT DSCRETN |
| ALLERGAN INC | COM | 018490102 | 66 | 590 SH | | DEFINED |
| ALLIANCE DATA SYSTEMS | | 018581108 | 47 | 290 SH | | DEFINED |
| ALLSCRIPTS HEALTHCARE SOLU | | 01988P108 | 7 | 526 SH | | SOLE |
| ALLSTATE CORP | COM | 020002101 | 2 | 44 SH | | SOLE |
| ALLSTATE CORP | COM | 020002101 | 57 | 1160 SH | | DEFINED |
| ALTRIA GROUP INC | COM | 02209S103 | 1268 | 36871 SH | | SOLE |
| ALTRIA GROUP INC | COM | 02209S103 | 76 | 2205 SH | | DEFINED |
| AMAZON COM INC | COM | 023135106 | 20 | 75 SH | | SOLE |
| AMAZON COM INC | COM | 023135106 | 81 | 303 SH | | DEFINED |
| AMERICAN CAPITAL AGENCY CORP | | 02503X105 | 48 | 1480 SH | | DEFINED |
| AMERICAN EXPRESS CO | COM | 025816109 | 1510 | 22386 SH | | SOLE |
| AMERICAN EXPRESS CO | COM | 025816109 | 3 | 45 SH | | DEFINED |

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

| | | | | | |
|----------------------------|---------------|-----------|------|----------|---------|
| AMERISOURCEBERGEN CORP | | 03073E105 | 56 | 1080 SH | DEFINED |
| AMERIPRISE FINL INC COM | | 03076C106 | 240 | 3255 SH | SOLE |
| AMGEN INC | COM | 031162100 | 1758 | 17151 SH | SOLE |
| AMGEN INC | COM | 031162100 | 54 | 530 SH | DEFINED |
| ANADARKO PETE CORP | COM | 032511107 | 94 | 1080 SH | SOLE |
| ANADARKO PETE CORP | COM | 032511107 | 6 | 65 SH | DEFINED |
| ANALOG DEVICES INC | COM | 032654105 | 23 | 495 SH | SOLE |
| ANHEUSER-BUSCH INBEV SA/NV | SPONSORED ADR | 03524A108 | 1235 | 12402 SH | SOLE |
| ANHEUSER-BUSCH INBEV SA/NV | SPONSORED ADR | 03524A108 | 7 | 75 SH | DEFINED |
| ANNALY MTG MGMT INC | | 035710409 | 77 | 4820 SH | SOLE |
| ANSYS INC | | 03662Q105 | 33 | 405 SH | DEFINED |
| APACHE CORP | | 037411105 | 1168 | 15139 SH | SOLE |
| APACHE CORP | | 037411105 | 4 | 55 SH | DEFINED |
| APPLE INC COM | COM | 037833100 | 2708 | 6119 SH | SOLE |
| APPLE INC COM | COM | 037833100 | 227 | 513 SH | DEFINED |
| APPLIED MATLS INC | COM | 038222105 | 20 | 1500 SH | SOLE |
| ARCHER DANIELS MIDLAND CO | COM | 039483102 | 1493 | 44270 SH | SOLE |
| ARCHER DANIELS MIDLAND CO | COM | 039483102 | 25 | 728 SH | DEFINED |
| ARGAN INC | | 04010E109 | 9 | 600 SH | SOLE |
| ASTRAZENECA PLC | | 046353108 | 572 | 11441 SH | SOLE |
| ASTRAZENECA PLC | | 046353108 | 18 | 361 SH | DEFINED |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|-----------------------------|----------------|-----------|-------------------|----------------------------|-----------------|----------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DSCRETN |
| ATHENAHEALTH INC | | 04685W103 | 35 | 365 SH | DEFINED | |
| ATLAS RESOURCE PARTNERS | | 04941A101 | 12 | 500 SH | SOLE | |
| AUTOMATIC DATA PROCESSING | | 053015103 | 1678 | 25807 SH | SOLE | |
| AUTOMATIC DATA PROCESSING | | 053015103 | 87 | 1335 SH | DEFINED | |
| AUTOZONE INC | | 053332102 | 1134 | 2859 SH | SOLE | |
| AUTOZONE INC | | 053332102 | 6 | 15 SH | DEFINED | |
| BCE INC COM NEW | | 05534B760 | 70 | 1500 SH | SOLE | |
| BP AMOCO PLC | SPONSORED ADR | 055622104 | 16 | 377 SH | SOLE | |
| BAKER HUGHES INC | COM | 057224107 | 8 | 164 SH | SOLE | |
| BALL CORP | COM | 058498106 | 45 | 940 SH | DEFINED | |
| BANCROFT CONV FD INC | COM | 059695106 | 26 | 1500 SH | SOLE | |
| BANK OF AMERICA CORPORATION | COM | 060505104 | 20 | 1656 SH | SOLE | |
| BARRICK GOLD CORP | COM ADR | 067901108 | 12 | 400 SH | SOLE | |
| BAXTER INTL INC | COM | 071813109 | 1456 | 20054 SH | SOLE | |
| BAXTER INTL INC | COM | 071813109 | 4 | 50 SH | DEFINED | |
| BED BATH & BEYOND INC | COM | 075896100 | 87 | 1350 SH | SOLE | |
| BEMIS INC | COM | 081437105 | 45 | 1120 SH | DEFINED | |
| BERKSHIRE HATHAWAY INC DEL | CL A | 084670108 | 156 | 1 SH | SOLE | |
| BERKSHIRE HATHAWAY INC DEL | CL B NEW | 084670702 | 1391 | 13352 SH | SOLE | |
| BERKSHIRE HATHAWAY INC DEL | CL B NEW | 084670702 | 5 | 50 SH | DEFINED | |
| BEST BUY INC | COM | 086516101 | 10 | 450 SH | SOLE | |
| BHP BILLITON LIMITED | | 088606108 | 263 | 3840 SH | SOLE | |
| BHP BILLITON LIMITED | | 088606108 | 59 | 864 SH | DEFINED | |
| BIOREFERENCE LABS INC | | 09057G602 | 25 | 967 SH | DEFINED | |
| BIOGEN IDEC INC | | 09062X103 | 18 | 95 SH | SOLE | |
| BLACKROCK, INC | | 09247X101 | 503 | 1960 SH | SOLE | |
| BLACKROCK MUNIVEST FD INC | | 09253R105 | 11 | 1000 SH | SOLE | |
| BLACKROCK MUN TARGET TERM | | 09257P105 | 23 | 1000 SH | SOLE | |
| BOARDWALKE PIPELINE LP | | 096627104 | 18 | 600 SH | SOLE | |
| BOEING CO | COM | 097023105 | 77 | 900 SH | SOLE | |
| BORG WARNER INC | | 099724106 | 8 | 100 SH | SOLE | |
| BORG WARNER INC | | 099724106 | 39 | 510 SH | DEFINED | |

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

BRINKER INTL INC COM 109641100 49 1300 SH DEFINED

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|-----------------------------|----------------|-----------|-------------------|----------------------------|-----------------|----------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DSCRETN |
| BRISTOL MYERS SQUIBB CO | COM | 110122108 | 425 | 10317 SH | | SOLE |
| BRISTOL MYERS SQUIBB CO | COM | 110122108 | 27 | 650 SH | | DEFINED |
| BROWN FORMAN CORP | CL B | 115637209 | 54 | 750 SH | | SOLE |
| BRUNSWICK CORP | | 117043109 | 7 | 200 SH | | SOLE |
| CBRE GROUP INC CL A | | 12504L109 | 22 | 890 SH | | DEFINED |
| CF INDS HLDGS INC | COM | 125269100 | 969 | 5090 SH | | SOLE |
| CF INDS HLDGS INC | COM | 125269100 | 5 | 25 SH | | DEFINED |
| CME GROUP INC COM | | 12572Q105 | 437 | 7110 SH | | SOLE |
| CSX CORP | COM | 126408103 | 7 | 300 SH | | SOLE |
| CVS/CAREMARK CORP | COM | 126650100 | 141 | 2560 SH | | SOLE |
| CVS/CAREMARK CORP | COM | 126650100 | 49 | 900 SH | | DEFINED |
| CA INC | | 12673P105 | 443 | 17593 SH | | SOLE |
| CA INC | | 12673P105 | 14 | 549 SH | | DEFINED |
| CABOT MICROELECTRONICS CORP | | 12709P103 | 17 | 490 SH | | DEFINED |
| CALGON CARBON CORP | | 129603106 | 20 | 1100 SH | | SOLE |
| CALUMET SPECIALTY PROD LP | | 131476103 | 19 | 500 SH | | SOLE |
| CAPITAL ONE FINANCIAL CORP | | 14040H105 | 643 | 11707 SH | | SOLE |
| CAPITAL ONE FINANCIAL CORP | | 14040H105 | 2 | 30 SH | | DEFINED |
| CARDINAL HEALTH INC | COM | 14149Y108 | 1062 | 25530 SH | | SOLE |
| CARDINAL HEALTH INC | COM | 14149Y108 | 48 | 1145 SH | | DEFINED |
| CAREFUSION CORP | | 14170T101 | 12 | 337 SH | | SOLE |
| CAREFUSION CORP | | 14170T101 | 45 | 1280 SH | | DEFINED |
| CARNIVAL CORP | | 143658300 | 3 | 100 SH | | SOLE |
| CATERPILLAR INC DEL | COM | 149123101 | 240 | 2760 SH | | SOLE |
| CATERPILLAR INC DEL | COM | 149123101 | 30 | 350 SH | | DEFINED |
| CELGENE CORP | | 151020104 | 1307 | 11281 SH | | SOLE |
| CELGENE CORP | | 151020104 | 31 | 265 SH | | DEFINED |
| CELSION CORP | | 15117N305 | 1 | 726 SH | | SOLE |
| CENTERPOINT ENERGY INC | | 15189T107 | 718 | 29995 SH | | SOLE |
| CENTERPOINT ENERGY INC | | 15189T107 | 23 | 943 SH | | DEFINED |
| DEPHEID | | 15670R107 | 40 | 1050 SH | | DEFINED |
| CHEMED CORP INC | | 16359R103 | 28 | 355 SH | | DEFINED |
| CHEVRON CORPORATION NEW | | 166764100 | 3961 | 33340 SH | | SOLE |
| CHEVRON CORPORATION NEW | | 166764100 | 52 | 441 SH | | DEFINED |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|------------------------------|----------------|-----------|-------------------|----------------------------|-----------------|----------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DSCRETN |
| CHUBB CORP | COM | 171232101 | 288 | 3285 SH | | SOLE |
| CISCO SYS INC | COM | 17275R102 | 1573 | 75309 SH | | SOLE |
| CISCO SYS INC | COM | 17275R102 | 63 | 3030 SH | | DEFINED |
| CITIGROUP INC COM NEW | | 172967424 | 8 | 181 SH | | SOLE |
| CITRIX SYSTEMS INC | | 177376100 | 49 | 675 SH | | DEFINED |
| CLAYMORE EXCHANGE TRADED FD | | 18383Q853 | 22 | 934 SH | | SOLE |
| CLIFFS NATURAL RESOURCES INC | | 18683K101 | 6 | 300 SH | | SOLE |

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

| | | | | | |
|-----------------------------|---------------|-----------|------|----------|---------|
| CLOROX CO DEL | COM | 189054109 | 22 | 250 SH | SOLE |
| CLOROX CO DEL | COM | 189054109 | 7 | 75 SH | DEFINED |
| COACH INC | COM | 189754104 | 14 | 285 SH | SOLE |
| COCA COLA CO | COM | 191216100 | 461 | 11405 SH | SOLE |
| COCA COLA CO | COM | 191216100 | 6 | 150 SH | DEFINED |
| COCA COLA ENTERPRISES NEW | | 19122T109 | 43 | 1160 SH | DEFINED |
| COGNIZANT TECHNOLOGY SOL | | 192446102 | 1104 | 14413 SH | SOLE |
| COGNIZANT TECHNOLOGY SOL | | 192446102 | 10 | 125 SH | DEFINED |
| COMCAST CORP NEW CL A | | 20030N101 | 106 | 2521 SH | SOLE |
| COMPANHIA PARANAENSE ENERGY | | 20441B407 | 15 | 1000 SH | SOLE |
| CONAGRA FOODS INC | COM | 205887102 | 21 | 600 SH | SOLE |
| CONCUR TECHNOLOGIES | | 206708109 | 19 | 280 SH | DEFINED |
| CONOCOPHILLIPS | | 20825C104 | 1838 | 30592 SH | SOLE |
| CONOCOPHILLIPS | | 20825C104 | 19 | 322 SH | DEFINED |
| CONSOLIDATED EDISON INC | | 209115104 | 244 | 4000 SH | SOLE |
| CONSTELLATION BRANDS INC | | 21036P108 | 12 | 250 SH | SOLE |
| CORNING INC | COM | 219350105 | 83 | 6258 SH | SOLE |
| COSTCO WHSL CORP NEW | COM | 22160K105 | 32 | 300 SH | SOLE |
| COSTCO WHSL CORP NEW | COM | 22160K105 | 106 | 1000 SH | DEFINED |
| CREDIT SUISSE GROUP | SPONSORED ADR | 225401108 | 132 | 5050 SH | SOLE |
| CREE INC | COM | 225447101 | 43 | 790 SH | DEFINED |
| CRESTWOOD MIDSTREAM PART | | 226372100 | 17 | 700 SH | SOLE |
| CUBIST PHARMACEUTICALS INC | | 229678107 | 9 | 200 SH | SOLE |
| CUMMINS INC | COM | 231021106 | 23 | 195 SH | SOLE |
| CURRENCY SHS AUSTRALIAN ETF | | 23129U101 | 24 | 226 SH | SOLE |
| DANAHER CORP DEL | COM | 235851102 | 1233 | 19837 SH | SOLE |
| DANAHER CORP DEL | COM | 235851102 | 6 | 100 SH | DEFINED |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|--------------------------------|----------------|-----------|-------------------|----------------------------|-----------------|----------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DSCRETN |
| DARDEN RESTAURANTS INC | COM | 237194105 | 692 | 13395 SH | SOLE | |
| DARDEN RESTAURANTS INC | COM | 237194105 | 22 | 423 SH | DEFINED | |
| DARLING INTL INC | | 237266101 | 25 | 1400 SH | SOLE | |
| DAVITA INC | | 23918K108 | 45 | 380 SH | DEFINED | |
| DEALERTRACK HOLDINGS | | 242309102 | 17 | 570 SH | DEFINED | |
| DEERE & CO | COM | 244199105 | 9 | 100 SH | SOLE | |
| DELTA AIRLINES INC DEL | | 247361702 | 63 | 3800 SH | DEFINED | |
| DELUXE CORP | COM | 248019101 | 55 | 1340 SH | DEFINED | |
| DENTSPLY INTL INC NEW | COM | 249030107 | 34 | 800 SH | SOLE | |
| DIGI INTERNATIONAL INC | | 253798102 | 9 | 980 SH | DEFINED | |
| WALT DISNEY | COM DISNEY | 254687106 | 2030 | 35752 SH | SOLE | |
| WALT DISNEY | COM DISNEY | 254687106 | 9 | 150 SH | DEFINED | |
| DISCOVERY COMM INC CLASS A | | 25470F104 | 0 | 3 SH | SOLE | |
| DISCOVERY COMMUNICATIONS INC C | | 25470F302 | 0 | 3 SH | SOLE | |
| DISCOVER FINANCIAL SERVICES | | 254709108 | 52 | 1160 SH | DEFINED | |
| DIRECTV COM | | 25490A309 | 998 | 17637 SH | SOLE | |
| DIRECTV COM | | 25490A309 | 2 | 40 SH | DEFINED | |
| DOLBY LABORATORIES | | 25659T107 | 34 | 1000 SH | SOLE | |
| DOMINION RES INC VA NEW | COM | 25746U109 | 273 | 4688 SH | SOLE | |
| DOVER CORP | COM | 260003108 | 15 | 200 SH | SOLE | |
| DOVER CORP | COM | 260003108 | 35 | 480 SH | DEFINED | |
| DOW CHEMICAL CO | | 260543103 | 140 | 4409 SH | SOLE | |
| DRESSER RAND GROUP INC | | 261608103 | 12 | 200 SH | SOLE | |
| DUPONT E I DENEMOURS & CO | | 263534109 | 950 | 19327 SH | SOLE | |
| DUPONT E I DENEMOURS & CO | | 263534109 | 7 | 140 SH | DEFINED | |

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

| | | | | | |
|---------------------------|-----|-----------|-----|----------|---------|
| DUKE ENERGY CORP NEW COM | NEW | 26441C204 | 744 | 10243 SH | SOLE |
| DUNKIN BRANDS INC | | 265504100 | 29 | 797 SH | DEFINED |
| EMERGING GBL BRAZIL - ETF | | 268461829 | 8 | 400 SH | SOLE |
| E M C CORP MASS | COM | 268648102 | 349 | 14620 SH | SOLE |
| E M C CORP MASS | COM | 268648102 | 38 | 1575 SH | DEFINED |
| ECOLAB INC | COM | 278865100 | 113 | 1415 SH | DEFINED |
| EDISON INTL | COM | 281020107 | 110 | 2194 SH | SOLE |
| ELLSWORTH FUND LTD COM | | 289074106 | 19 | 2500 SH | SOLE |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|-----------------------------|----------------|-----------|-------------------|----------------------------|-----------------|---------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DCRETN |
| EMERSON ELEC CO | COM | 291011104 | 1414 | 25307 SH | SOLE | |
| EMERSON ELEC CO | COM | 291011104 | 23 | 420 SH | DEFINED | |
| ENERGY TRANSFER PARTNERS LP | | 29273R109 | 64 | 1268 SH | SOLE | |
| ENTERGY CORP NEW | COM | 29364G103 | 21 | 330 SH | SOLE | |
| ENTERPRISE PRODUCTS | PARTNERS LP | 293792107 | 72 | 1200 SH | SOLE | |
| ENZO BIOCHEM INC | | 294100102 | 3 | 1050 SH | SOLE | |
| EXELON CORP | COM | 30161N101 | 1724 | 50019 SH | SOLE | |
| EXELON CORP | COM | 30161N101 | 42 | 1222 SH | DEFINED | |
| EXELIS INC | | 30162A108 | 14 | 1300 SH | SOLE | |
| EXXON MOBIL CORP | COM | 30231G102 | 6553 | 72723 SH | SOLE | |
| EXXON MOBIL CORP | COM | 30231G102 | 433 | 4810 SH | DEFINED | |
| FACEBOOK | | 30303M102 | 26 | 1017 SH | SOLE | |
| FACEBOOK | | 30303M102 | 44 | 1726 SH | DEFINED | |
| FARO TECHNOLOGIES INC | | 311642102 | 16 | 380 SH | DEFINED | |
| FEDEX CORP | | 31428X106 | 81 | 826 SH | SOLE | |
| FEDEX CORP | | 31428X106 | 41 | 419 SH | DEFINED | |
| FIFTH THIRD BANCORP | COM | 316773100 | 52 | 3160 SH | DEFINED | |
| FIFTH ST FIN CORP | | 31678A103 | 11 | 1000 SH | SOLE | |
| FIRST FINL BANCORP OHIO | | 320209109 | 98 | 6079 SH | SOLE | |
| FIRST NIAGARA FINL INC | | 33582V108 | 15 | 1748 SH | SOLE | |
| FIRST TR MLP & ENERGY | | 33739B104 | 21 | 1000 SH | SOLE | |
| FISERV INC | COM | 337738108 | 36 | 410 SH | DEFINED | |
| FIRSTENERGY CORP | COM | 337932107 | 7 | 156 SH | SOLE | |
| FLUOR CORP NEW | COM | 343412102 | 22 | 330 SH | SOLE | |
| FOOT LOCKER INC | | 344849104 | 41 | 1200 SH | DEFINED | |
| FORD MOTOR CO | | 345370860 | 296 | 22519 SH | SOLE | |
| FRONTIER COMMUNICATIONS CO | | 35906A108 | 8 | 1903 SH | SOLE | |
| GATX CORP | | 361448103 | 55 | 1060 SH | DEFINED | |
| GDL FUND | | 361570104 | 1 | 56 SH | SOLE | |
| GABELLI DIVIDEND & INC | | 36242H104 | 0 | 25 SH | SOLE | |
| GANNETT INC | COM | 364730101 | 2 | 100 SH | SOLE | |
| GENERAL DYNAMICS CORP | COM | 369550108 | 525 | 7448 SH | SOLE | |
| GENERAL DYNAMICS CORP | COM | 369550108 | 13 | 183 SH | DEFINED | |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|---------------------|----------------|-----------|-------------------|----------------------------|-----------------|---------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DCRETN |
| GENERAL ELECTRIC CO | COM | 369604103 | 4053 | 175405 SH | SOLE | |

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

| | | | | | |
|---------------------------|-------|-----------|------|----------|---------|
| GENERAL ELECTRIC CO | COM | 369604103 | 96 | 4134 SH | DEFINED |
| GENERAL MLS INC | COM | 370334104 | 327 | 6641 SH | SOLE |
| GENTEX CORP | | 371901109 | 28 | 1375 SH | DEFINED |
| GENUINE PARTS CO | COM | 372460105 | 129 | 1650 SH | SOLE |
| GILEAD SCIENCES INC | COM | 375558103 | 128 | 2625 SH | SOLE |
| GLAXO HOLDINGS PLC | | | | | |
| SPONSORED ADR | | 37733W105 | 47 | 1000 SH | SOLE |
| GOOGLE INC | | 38259P508 | 540 | 680 SH | SOLE |
| GOOGLE INC | | 38259P508 | 96 | 121 SH | DEFINED |
| GRAINGER W W INC | COM | 384802104 | 381 | 1695 SH | SOLE |
| GRAINGER W W INC | COM | 384802104 | 39 | 175 SH | DEFINED |
| GRANITE CONSTRUCTION | | 387328107 | 13 | 400 SH | SOLE |
| GREAT PLAINS ENERGY INC | COM | 391164100 | 46 | 2000 SH | SOLE |
| GREEN MTN COFFEE ROASTERS | | 393122106 | 52 | 920 SH | DEFINED |
| GREENWAY MED TECHNOLOGYS | | 39679B103 | 18 | 1145 SH | DEFINED |
| HCP INC | | 40414L109 | 62 | 1243 SH | SOLE |
| HALLIBURTON CO | COM | 406216101 | 242 | 5985 SH | SOLE |
| HARLEY DAVIDSON INC | COM | 412822108 | 12 | 219 SH | SOLE |
| HARRIS CORP | | 413875105 | 462 | 9976 SH | SOLE |
| HARRIS CORP | | 413875105 | 12 | 268 SH | DEFINED |
| HARTFORD FINL SVCS | | | | | |
| GROUP INC | COM | 416515104 | 27 | 1028 SH | SOLE |
| HELMERICH & PAYNE INC | COM | 423452101 | 9 | 155 SH | SOLE |
| HERCULES OFFSHORE INC | | 427093109 | 33 | 4500 SH | SOLE |
| HERSHEY CO COM | | 427866108 | 13 | 150 SH | SOLE |
| HEWLETT PACKARD CO | COM | 428236103 | 13 | 550 SH | SOLE |
| HEWLETT PACKARD CO | COM | 428236103 | 5 | 200 SH | DEFINED |
| HOME DEPOT INC | COM | 437076102 | 1560 | 22350 SH | SOLE |
| HOME DEPOT INC | COM | 437076102 | 69 | 987 SH | DEFINED |
| HOME PPTYS INC | | 437306103 | 55 | 868 SH | DEFINED |
| HONEYWELL INTL INC | COM | 438516106 | 414 | 5500 SH | SOLE |
| HORMEL FOODS | | 440452100 | 25 | 600 SH | DEFINED |
| HOSPITALITY PROPERTIES | TRUST | 44106M102 | 113 | 4130 SH | SOLE |
| HUBBELL INC | CL B | 443510201 | 40 | 416 SH | DEFINED |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|-----------------------------|----------------|-----------|-------------------|----------------------------|-----------------|----------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DSCRETN |
| HUDSON VALLEY HOLDING | CORP | 444172100 | 9 | 605 SH | SOLE | |
| HUMANA INC | COM | 444859102 | 70 | 1010 SH | SOLE | |
| HUNTINGTON INGALLS INDS INC | | 446413106 | 9 | 166 SH | SOLE | |
| IPC HOSPITALIST CO | | 44984A105 | 22 | 485 SH | DEFINED | |
| ITT CORP NEW COM | | 450911201 | 18 | 650 SH | SOLE | |
| IDACORP INC | COM | 451107106 | 29 | 600 SH | SOLE | |
| IHS INC A | | 451734107 | 35 | 330 SH | DEFINED | |
| ILLINOIS TOOL WKS INC | COM | 452308109 | 44 | 715 SH | SOLE | |
| ILLINOIS TOOL WKS INC | COM | 452308109 | 73 | 1200 SH | DEFINED | |
| IMMUNOGEN INC | | 45253H101 | 54 | 3385 SH | SOLE | |
| INGREDION INC | | 457187102 | 11 | 150 SH | SOLE | |
| INGREDION INC | | 457187102 | 46 | 640 SH | DEFINED | |
| INNERWORKINGS INC | | 45773Y105 | 31 | 2015 SH | DEFINED | |
| INTEL CORP | COM | 458140100 | 2094 | 95951 SH | SOLE | |
| INTEL CORP | COM | 458140100 | 96 | 4419 SH | DEFINED | |
| INTEGRYS ENERGY GROUP INC | COM | 45822P105 | 2 | 41 SH | SOLE | |
| INTERCONTINENTALEXCHANGE | | 45865V100 | 104 | 635 SH | SOLE | |
| INTERNATIONAL BUSINESS MACH | COM | 459200101 | 6258 | 29340 SH | SOLE | |

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

| | | | | | |
|----------------------------------|-----------------|-----------|------|----------|---------|
| INTERNATIONAL BUSINESS MACH COM | | 459200101 | 225 | 1055 SH | DEFINED |
| INTERNATIONAL FLAVORS& FRAGRA | COM | 459506101 | 23 | 300 SH | SOLE |
| INTERNATIONAL FLAVORS& FRAGRA | COM | 459506101 | 7 | 90 SH | DEFINED |
| INTUITIVE SURGICAL INC | COM NEW | 46120E602 | 19 | 38 SH | DEFINED |
| INTUIT | COM | 461202103 | 49 | 745 SH | DEFINED |
| ISHARES SILVER TRUST - ETF | | 46428Q109 | 60 | 2200 SH | SOLE |
| ISHARES S & P GLOBAL | COMMM INDEX ETF | 46428R107 | 68 | 2081 SH | SOLE |
| ISHARES MSCI BRAZIL CAPPED | INDEX FND ETF | 464286400 | 28 | 520 SH | SOLE |
| ISHARES MSCI CANADA - ETF | | 464286509 | 2253 | 79062 SH | SOLE |
| ISHARES MSCI CANADA - ETF | | 464286509 | 17 | 604 SH | DEFINED |
| ISHARES PAC INDX ETF | | 464286665 | 48 | 975 SH | SOLE |
| ISHAS MSCI SINGAPORE INX ETF | | 464286673 | 13 | 900 SH | SOLE |
| ISHARES BARCLAYS TIPS BOND | FUND -ETF | 464287176 | 539 | 4442 SH | SOLE |
| ISHARES TR FTSE XNHUA IDX - ETF | | 464287184 | 4 | 100 SH | SOLE |
| ISHARES S&P 500 INDX FD-ETF | | 464287200 | 75 | 475 SH | SOLE |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | | |
|---------------------------------|---------------------|-----------|-------------------|----------------------------|------------|--------------|-------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN | PUT/ CALL | INVTMT DSCRETN |
| ISHARES BARCLAYS AGGREGATE | BOND FUND - ETF | 464287226 | 20617 | 186195 SH | | | SOLE |
| ISHARES BARCLAYS AGGREGATE | BOND FUND - ETF | 464287226 | 172 | 1551 SH | | | DEFINED |
| ISHARES MSCI EMERG MKTS-ETF | | 464287234 | 1620 | 37880 SH | | | SOLE |
| ISHS S & P 500 GRW INX-ETF | | 464287309 | 4941 | 59985 SH | | | SOLE |
| ISHS S & P 500 GRW INX-ETF | | 464287309 | 15 | 186 SH | | | DEFINED |
| ISHARES TR MSCI EAFE IDX ETFADR | | 464287465 | 2964 | 50263 SH | | | SOLE |
| ISHS TR S&P MIDCAP 400 - ETF | | 464287507 | 11421 | 99256 SH | | | SOLE |
| ISHS TR S&P MIDCAP 400 - ETF | | 464287507 | 117 | 1016 SH | | | DEFINED |
| ISHARES TR RUSSELL 2000 | VALUE INDEX - ETF | 464287630 | 583 | 6955 SH | | | SOLE |
| ISHARES RUSSELL 2000 | GRWTH INDX FD - ETF | 464287648 | 3563 | 33100 SH | | | SOLE |
| ISHARES RUSSELL 2000 | GRWTH INDX FD - ETF | 464287648 | 65 | 600 SH | | | DEFINED |
| ISHARES TR SMALLCAP 600 ETF | | 464287804 | 4124 | 47370 SH | | | SOLE |
| ISHARES TR SMALLCAP 600 ETF | | 464287804 | 17 | 193 SH | | | DEFINED |
| ISHARES TR S&P | SMLCP VALU - ETF | 464287879 | 8097 | 90044 SH | | | SOLE |
| ISHARES TR S&P | SMLCP VALU - ETF | 464287879 | 32 | 352 SH | | | DEFINED |
| ISHARES S & P GLOBAL | | 464288372 | 80 | 2150 SH | | | SOLE |
| ISHARES TRUST HI YLD - ETF | | 464288513 | 990 | 10490 SH | | | SOLE |
| ISHS BARCLAYS MBS BDFD ETF | | 464288588 | 62 | 575 SH | | | SOLE |
| ISHS BARCLAYS 1-3 CREDIT-ETF | | 464288646 | 374 | 3540 SH | | | SOLE |
| ISHARES PERU - ETF | | 464289842 | 9 | 200 SH | | | SOLE |
| ISHARES TR USA MIN VOL ETF | | 46429B697 | 5098 | 155682 SH | | | SOLE |
| ISHARES TR USA MIN VOL ETF | | 46429B697 | 16 | 480 SH | | | DEFINED |
| J P MORGAN CHASE & CO | | 46625H100 | 2653 | 55914 SH | | | SOLE |
| J P MORGAN CHASE & CO | | 46625H100 | 48 | 1005 SH | | | DEFINED |
| JOHNSON & JOHNSON | COM | 478160104 | 3539 | 43407 SH | | | SOLE |
| JOHNSON & JOHNSON | COM | 478160104 | 242 | 2963 SH | | | DEFINED |
| JOHNSON CTLS INC | COM | 478366107 | 299 | 8520 SH | | | SOLE |
| JOHNSON CTLS INC | COM | 478366107 | 31 | 875 SH | | | DEFINED |
| KLA-TENCOR CORP | | 482480100 | 48 | 910 SH | | | DEFINED |
| KELLOGG CO | COM | 487836108 | 450 | 6992 SH | | | SOLE |
| KEYCORP NEW | COM | 493267108 | 2 | 242 SH | | | SOLE |
| KIMBERLY CLARK CORP | COM | 494368103 | 1425 | 14543 SH | | | SOLE |
| KIMBERLY CLARK CORP | COM | 494368103 | 94 | 962 SH | | | DEFINED |

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|---|----------------|-----------|-------------------|----------------------------|-----------------|----------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DSCRETN |
| KINDER MORGAN ENERGY PARTNER LTD PARTNER | | 494550106 | 10 | 115 SH | | SOLE |
| KOHL'S CORP | COM | 500255104 | 62 | 1340 SH | | SOLE |
| KONINKLIJKE PHILIPS ELECTRS NV SP ADR | | 500472303 | 33 | 1101 SH | | SOLE |
| KONINKLIJKE PHILIPS ELECTRS NV SP ADR | | 500472303 | 21 | 700 SH | | DEFINED |
| KRAFT FOODS GRP INC | | 50076Q106 | 867 | 16821 SH | | SOLE |
| KRAFT FOODS GRP INC | | 50076Q106 | 25 | 482 SH | | DEFINED |
| KROGER CO | COM | 501044101 | 54 | 1640 SH | | DEFINED |
| KRONOS WORLDWIDE INC | | 50105F105 | 23 | 1500 SH | | SOLE |
| LKQ CORP | | 501889208 | 46 | 2125 SH | | DEFINED |
| L S I CORPORATION | | 502161102 | 51 | 7500 SH | | SOLE |
| LEAPFROG ENTERPRISES INC | | 52186N106 | 1 | 100 SH | | SOLE |
| LIBERTY GLOBAL INC | | 530555101 | 0 | 3 SH | | SOLE |
| LIBERTY GLOBAL INC | COM SER A | 530555309 | 0 | 3 SH | | SOLE |
| LIBERTY INTERACTIVE CORP | COMM SER A | 53071M104 | 0 | 19 SH | | SOLE |
| LILLY ELI & CO | COM | 532457108 | 722 | 12723 SH | | SOLE |
| LILLY ELI & CO | COM | 532457108 | 21 | 369 SH | | DEFINED |
| LINDSAY CORP | | 535555106 | 18 | 200 SH | | SOLE |
| LINEAR TECHNOLOGY CORP | COM | 535678106 | 26 | 670 SH | | DEFINED |
| LINN ENERGY | | 536020100 | 27 | 702 SH | | DEFINED |
| LOCKHEED MARTIN CORP | COM | 539830109 | 292 | 3028 SH | | SOLE |
| LOCKHEED MARTIN CORP | COM | 539830109 | 15 | 160 SH | | DEFINED |
| LOWES COS INC | COM | 548661107 | 1401 | 36963 SH | | SOLE |
| LOWES COS INC | COM | 548661107 | 5 | 125 SH | | DEFINED |
| MDU RES GROUP INC | COM | 552690109 | 12 | 500 SH | | SOLE |
| MDU RES GROUP INC | COM | 552690109 | 20 | 790 SH | | DEFINED |
| MACYS INC COM | | 55616P104 | 40 | 960 SH | | DEFINED |
| MAGELLAN MIDSTREAM LP | | 559080106 | 43 | 800 SH | | SOLE |
| MANACHP SEMICONDUCTOR | | 55933J203 | 43 | 2500 SH | | DEFINED |
| MANULIFE FINL CORP COM | | 56501R106 | 19 | 1288 SH | | SOLE |
| MARATHON OIL CORP | | 565849106 | 7 | 200 SH | | SOLE |
| MARATHON PETE CORP | | 56585A102 | 913 | 10188 SH | | SOLE |
| MARATHON PETE CORP | | 56585A102 | 3 | 30 SH | | DEFINED |
| MARKET VECOTRS COAL ETF | | 57060U837 | 42 | 1838 SH | | SOLE |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|------------------------------|----------------|-----------|-------------------|----------------------------|-----------------|----------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DSCRETN |
| MARRIOTT VACATIONS WORLDWIDE | | 57164Y107 | 4 | 100 SH | | SOLE |
| MARRIOTT INTL INC NEW CLA | | 571903202 | 43 | 1009 SH | | SOLE |
| MARRIOTT INTL INC NEW CLA | | 571903202 | 60 | 1418 SH | | DEFINED |
| MASTERCARD INC | | 57636Q104 | 24 | 45 SH | | SOLE |
| MATTEL INC | COM | 577081102 | 106 | 2425 SH | | SOLE |
| MATTEL INC | COM | 577081102 | 47 | 1080 SH | | DEFINED |
| MAXIM INTEGRATED PRODS INC | COM | 57772K101 | 440 | 13472 SH | | SOLE |
| MAXIM INTEGRATED PRODS INC | COM | 57772K101 | 9 | 289 SH | | DEFINED |
| MAXWELL TECHNOLOGIES INC | | 577767106 | 4 | 780 SH | | DEFINED |
| MAXUMUS INC | | 577933104 | 46 | 580 SH | | DEFINED |

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

| | | | | | |
|-----------------------------|-----|-----------|------|----------|---------|
| MCDONALDS CORP | COM | 580135101 | 1640 | 16452 SH | SOLE |
| MCDONALDS CORP | COM | 580135101 | 127 | 1275 SH | DEFINED |
| MCGRAW HILL COS INC | COM | 580645109 | 35 | 665 SH | DEFINED |
| MCKESSON CORPORATION | COM | 58155Q103 | 740 | 6857 SH | SOLE |
| MCKESSON CORPORATION | COM | 58155Q103 | 2 | 20 SH | DEFINED |
| MEDTRONIC INC | COM | 585055106 | 2 | 50 SH | SOLE |
| MENTOR GRAPHICS CORP | | 587200106 | 11 | 600 SH | SOLE |
| MERCK & CO INC NEW COM | | 58933Y105 | 783 | 17723 SH | SOLE |
| MERCK & CO INC NEW COM | | 58933Y105 | 21 | 471 SH | DEFINED |
| METLIFE INC | COM | 59156R108 | 1405 | 36959 SH | SOLE |
| METLIFE INC | COM | 59156R108 | 6 | 150 SH | DEFINED |
| METROPCS COMMUNICATIONS | | 591708102 | 715 | 65578 SH | SOLE |
| METROPCS COMMUNICATIONS | | 591708102 | 2 | 175 SH | DEFINED |
| MEXICO EQUITY & INCOME FUND | | 592834105 | 3 | 172 SH | SOLE |
| MEXICO FD INC | COM | 592835102 | 13 | 367 SH | SOLE |
| MICROSOFT CORP | COM | 594918104 | 2510 | 87773 SH | SOLE |
| MICROSOFT CORP | COM | 594918104 | 94 | 3279 SH | DEFINED |
| MICROVISION INC DEL COM NEW | | 594960304 | 0 | 125 SH | SOLE |
| MICROCHIP TECHNOLOGY INC | COM | 595017104 | 626 | 17012 SH | SOLE |
| MICROCHIP TECHNOLOGY INC | COM | 595017104 | 47 | 1275 SH | DEFINED |
| MOBIL MINI INC | | 60740F105 | 36 | 1230 SH | DEFINED |
| MONDELEZ INTERNATIONAL INC | | 609207105 | 296 | 9661 SH | SOLE |
| MONDELEZ INTERNATIONAL INC | | 609207105 | 72 | 2350 SH | DEFINED |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|-----------------------------|----------------|-----------|-------------------|----------------------------|-----------------|----------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DSCRETN |
| MONSANTO CO (NEW) | | 61166W101 | 129 | 1225 SH | | SOLE |
| MONSTER BEVERAGE CORP COM | | 611740101 | 28 | 580 SH | | SOLE |
| MORGAN STANLEY | DISCOVER & CO | 617446448 | 12 | 536 SH | | SOLE |
| MURPHY OIL CORP | COM | 626717102 | 102 | 1605 SH | | SOLE |
| NBT BANCORP INC | COM | 628778102 | 2213 | 99975 SH | | SOLE |
| NBT BANCORP INC | COM | 628778102 | 193 | 8711 SH | | DEFINED |
| NATIONAL INSTRUMENTS CORP | | 636518102 | 38 | 1165 SH | | DEFINED |
| NATIONAL OILWELL VARCO INC | | 637071101 | 1163 | 16446 SH | | SOLE |
| NATIONAL OILWELL VARCO INC | | 637071101 | 6 | 82 SH | | DEFINED |
| NEOGEN CORP | | 640491106 | 36 | 735 SH | | DEFINED |
| NEWMONT MIN CO (HOLD CO) | COM | 651639106 | 21 | 500 SH | | SOLE |
| NEXTERA ENERGY INC COM | | 65339F101 | 270 | 3475 SH | | SOLE |
| NIKE INC | CL B | 654106103 | 1637 | 27737 SH | | SOLE |
| NIKE INC | CL B | 654106103 | 38 | 640 SH | | DEFINED |
| NORFOLK SOUTHERN CORP | COM | 655844108 | 77 | 1000 SH | | SOLE |
| NORTHROP GRUMMAN CORP COM | | 666807102 | 70 | 996 SH | | SOLE |
| NOVARTIS AG SPNSRD ADR | | 66987V109 | 85 | 1195 SH | | SOLE |
| NOVO NORDISK A/S ADR | | 670100205 | 65 | 400 SH | | SOLE |
| NOVO NORDISK A/S ADR | | 670100205 | 29 | 182 SH | | DEFINED |
| NUCOR CORP | COM | 670346105 | 159 | 3444 SH | | SOLE |
| NUCOR CORP | COM | 670346105 | 3 | 65 SH | | DEFINED |
| NUVEEN NY PERFORMANCE PLUS | MUNI INC | 67062R104 | 76 | 4600 | | SOLE |
| NVIDIA CORP | | 67066G104 | 697 | 54302 SH | | SOLE |
| NVIDIA CORP | | 67066G104 | 2 | 150 SH | | DEFINED |
| NUVEEN NY MUNI | | 67066X107 | 8 | 526 | | SOLE |
| NUVEEN INTER DURATION | | 670671106 | 14 | 1000 SH | | SOLE |
| NUVEEN ENERGY MLP TOTAL RET | | 67074U103 | 1 | 45 SH | | SOLE |
| NUVEEN REAL ASSET INCOME | | 67074Y105 | 20 | 1000 SH | | SOLE |
| OCCIDENTAL PETE CORP DEL | COM | 674599105 | 24 | 300 SH | | SOLE |

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

| | | | | | |
|-------------|-----|-----------|------|----------|---------|
| ORACLE CORP | COM | 68389X105 | 1550 | 47975 SH | SOLE |
| ORACLE CORP | COM | 68389X105 | 118 | 3662 SH | DEFINED |
| PG&E CORP | COM | 69331C108 | 270 | 6059 SH | SOLE |
| PG&E CORP | COM | 69331C108 | 8 | 175 SH | DEFINED |

FORM 13F INFORMATION TABLE

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F SHARES/ PRN AMT | SH/ PUT/ CALL | INVESTMENT DSCRETN |
|---------------------------------|-----------------|-----------|-------------------|--------------------------------|---------------------|-----------------------|
| PNC FINL SVCS GROUP INC | COM | 693475105 | 429 | 6455 SH | SOLE | |
| PACIFIC COAST OIL TR | | 694103102 | 9 | 500 SH | SOLE | |
| PALL CORP | COM | 696429307 | 31 | 450 SH | SOLE | |
| PARKER HANNIFIN CORP | COM | 701094104 | 9 | 100 SH | SOLE | |
| PAYCHEX INC | COM | 704326107 | 158 | 4510 SH | SOLE | |
| PEPSICO INC | COM | 713448108 | 2225 | 28125 SH | SOLE | |
| PEPSICO INC | COM | 713448108 | 95 | 1200 SH | DEFINED | |
| PFIZER INC | COM | 717081103 | 2604 | 90220 SH | SOLE | |
| PFIZER INC | COM | 717081103 | 267 | 9245 SH | DEFINED | |
| PHILIP MORRIS INTERNATIONAL INC | COM | 718172109 | 994 | 10725 SH | SOLE | |
| PHILIP MORRIS INTERNATIONAL INC | COM | 718172109 | 54 | 585 SH | DEFINED | |
| PHILLIPS 66 COM | | 718546104 | 119 | 1701 SH | SOLE | |
| PIEDMONT NATURAL GAS CO | | 720186105 | 11 | 332 SH | DEFINED | |
| PLUM CREEK TIMBER CO INC | COM | 729251108 | 78 | 1500 SH | SOLE | |
| PORTLAND GEN ELECTRIC CO | | 736508847 | 30 | 1000 SH | SOLE | |
| POWER INTEGRATIONS INC | | 739276103 | 27 | 620 SH | DEFINED | |
| PWRSHS QQQ TR UN SER 1 - ETF | | 73935A104 | 25 | 357 SH | SOLE | |
| POWERSHARES EXCHANGE | | 73935X195 | 51 | 4545 SH | SOLE | |
| POWER SHARES GOLDEN DRAGON | USX CHINA - ETF | 73935X401 | 53 | 2780 SH | SOLE | |
| POWERSHARES SENIOR LOAN ETF | | 73936Q769 | 400 | 15950 SH | SOLE | |
| POWERSHARES PFD - ETF | | 73936T565 | 3831 | 257456 SH | SOLE | |
| POWERSHARES PFD - ETF | | 73936T565 | 119 | 8018 SH | DEFINED | |
| POWER SHS S&P 500 -ETF | | 73937B779 | 14476 | 465920 SH | SOLE | |
| POWER SHS S&P 500 -ETF | | 73937B779 | 78 | 2503 SH | DEFINED | |
| PRAXAIR INC | COM | 74005P104 | 22 | 195 SH | DEFINED | |
| PRECISION CASTPARTS CORP | | 740189105 | 1321 | 6965 SH | SOLE | |
| PRECISION CASTPARTS CORP | | 740189105 | 64 | 338 SH | DEFINED | |
| PRICE T ROWE & ASSOCIATES | COM | 74144T108 | 108 | 1445 SH | SOLE | |
| PRICE T ROWE & ASSOCIATES | COM | 74144T108 | 41 | 549 SH | DEFINED | |
| PRICELINE COM INC | | 741503403 | 736 | 1069 SH | SOLE | |
| PRICELINE COM INC | | 741503403 | 2 | 3 SH | DEFINED | |
| PROCTER & GAMBLE CO | COM | 742718109 | 3041 | 39464 SH | SOLE | |
| PROCTER & GAMBLE CO | COM | 742718109 | 127 | 1643 SH | DEFINED | |

FORM 13F INFORMATION TABLE

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F SHARES/ PRN AMT | SH/ PUT/ CALL | INVESTMENT DSCRETN |
|-----------------------------|----------------|-----------|-------------------|--------------------------------|---------------------|-----------------------|
| PRUDENTIAL FINANCIAL INC | COM | 744320102 | 22 | 380 SH | SOLE | |
| PUBLIC SVC ENTERPRISE GROUP | COM | 744573106 | 945 | 27512 SH | SOLE | |
| PUBLIC SVC ENTERPRISE GROUP | COM | 744573106 | 16 | 480 SH | DEFINED | |
| QEP RES INC | | 74733V100 | 43 | 1355 SH | SOLE | |

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

| | | | | | | |
|------------------------------|----------------|-----------|-----|------|----|---------|
| QUALCOMM INC | COM | 747525103 | 418 | 6250 | SH | SOLE |
| QUALCOMM INC | COM | 747525103 | 85 | 1275 | SH | DEFINED |
| QUESTCOR PHARMACEUTICALS INC | | 74835Y101 | 44 | 1340 | SH | DEFINED |
| QUESTAR CORP | COM | 748356102 | 33 | 1370 | SH | SOLE |
| RF MICRODEVICES INC | COM | 749941100 | 9 | 1600 | SH | SOLE |
| RACKSPACE HOSTING INC. | | 750086100 | 10 | 195 | SH | SOLE |
| RALPH LAUREN CORP CL A | | 751212101 | 16 | 95 | SH | SOLE |
| RAYTHEON CO CLASS B | COM | 755111507 | 44 | 740 | SH | SOLE |
| REYNOLDS AMERN INC | | 761713106 | 180 | 4040 | SH | SOLE |
| RIO TINTO PLC SPON ADR | | 767204100 | 19 | 400 | SH | SOLE |
| ROCKWELL INTL CORP NEW | COM | 773903109 | 17 | 195 | SH | SOLE |
| ROCKWOOD HOLDINGS INC | | 774415103 | 35 | 535 | SH | DEFINED |
| ROPER INDUSTRIES INC. NEW | | 776696106 | 13 | 100 | SH | SOLE |
| ROPER INDUSTRIES INC. NEW | | 776696106 | 27 | 210 | SH | DEFINED |
| ROSS STORES INC | COM | 778296103 | 38 | 625 | SH | SOLE |
| ROYAL BK SCOTLAND GROUP | PLC | 780097796 | 10 | 441 | SH | SOLE |
| ROYAL DUTCH SHELL PLC | SPON ADR B | 780259107 | 83 | 1240 | SH | SOLE |
| ROYAL DUTCH PETE CO | | 780259206 | 222 | 3405 | SH | SOLE |
| S & P DEP RECEIPTS - ETF | | 78462F103 | 41 | 261 | SH | SOLE |
| SPDR GOLD TRUST | GOLD SHS - ETF | 78463V107 | 350 | 2265 | SH | SOLE |
| BARCLAYS CAPITAL INTL - ETF | | 78464A516 | 6 | 100 | SH | SOLE |
| SPDR SR TR BARCLAYS - ETF | | 78464A680 | 24 | 523 | SH | SOLE |
| SPDR S & P DIVIDEND - ETF | | 78464A763 | 539 | 8175 | SH | SOLE |
| SPDR DOW JONES INDL AVG- ETF | | 78467X109 | 26 | 178 | SH | SOLE |
| SALESFORCE COM INC | | 79466L302 | 20 | 114 | SH | DEFINED |
| SANOFI-AVENTIS | | 80105N105 | 15 | 300 | SH | SOLE |
| SCANA CORP NEW | COM | 80589M102 | 5 | 100 | SH | SOLE |
| SCHLUMBERGER LTD | COM | 806857108 | 164 | 2184 | SH | SOLE |
| SCHLUMBERGER LTD | COM | 806857108 | 166 | 2220 | SH | DEFINED |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|--------------------------|----------------|-----------|-------------------|----------------------------|-----------------|----------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DSCRETN |
| SCHWAB CHARLES CORP NEW | COM | 808513105 | 19 | 1072 | SH | DEFINED |
| SCIQUEST INC NEW | | 80908T101 | 23 | 960 | SH | DEFINED |
| SELECTIVE INS GRP INC | C/C | 816300107 | 35 | 1438 | SH | SOLE |
| SENECA FOODS CORP NEW | CL B | 817070105 | 2 | 50 | SH | SOLE |
| SENECA FOODS CORP NEW | CL A | 817070501 | 2 | 50 | SH | SOLE |
| SIGMA ALDRICH CORP | COM | 826552101 | 656 | 8455 | SH | SOLE |
| SIGMA ALDRICH CORP | COM | 826552101 | 50 | 640 | SH | DEFINED |
| SIMON PPTY GROUP INC NEW | | 828806109 | 58 | 365 | SH | SOLE |
| SOUTHERN COMPANY | | 842587107 | 616 | 13139 | SH | SOLE |
| SOUTHERN COMPANY | | 842587107 | 25 | 525 | SH | DEFINED |
| SOUTHERN COPPER CORP | | 84265V105 | 133 | 3553 | SH | SOLE |
| SOUTHWESTERN ENERGY CO | COM | 845467109 | 229 | 6145 | SH | SOLE |
| SPECTRA ENERGY CORP COM | | 847560109 | 106 | 3438 | SH | SOLE |
| SPECTRA ENERGY CORP COM | | 847560109 | 3 | 100 | SH | DEFINED |
| SPROTT PHYSICAL PLATINUM | | 85207Q104 | 20 | 2000 | SH | SOLE |
| STARBUCKS CORP | COM | 855244109 | 16 | 285 | SH | SOLE |
| STATE STREET CORP | COM | 857477103 | 1232 | 20848 | SH | SOLE |
| STATE STREET CORP | COM | 857477103 | 4 | 75 | SH | DEFINED |
| STERICYCLE INC | | 858912108 | 41 | 390 | SH | DEFINED |
| STERIS CORP | | 859152100 | 8 | 200 | SH | SOLE |
| STONE HBR EMERGING MKTS | | 86164W100 | 12 | 500 | SH | SOLE |
| STRYKER CORP | | 863667101 | 104 | 1595 | SH | SOLE |

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

| | | | | | | |
|---------------------|-----|-----------|------|-------|----|---------|
| STRYKER CORP | | 863667101 | 65 | 1000 | SH | DEFINED |
| SUFFOLK BANCORP | COM | 864739107 | 46 | 3244 | SH | SOLE |
| SUNCOKE ENERGY INC | | 86722A103 | 9 | 571 | SH | SOLE |
| SWISS HELVETIA FUND | | 870875101 | 4 | 300 | SH | SOLE |
| SYMANTEC CORP | COM | 871503108 | 42 | 1700 | SH | DEFINED |
| SYSCO CORP | COM | 871829107 | 352 | 10006 | SH | SOLE |
| SYSCO CORP | COM | 871829107 | 3 | 85 | SH | DEFINED |
| TECO ENERGY INC | | 872375100 | 880 | 49426 | SH | SOLE |
| TECO ENERGY INC | | 872375100 | 15 | 865 | SH | DEFINED |
| TJX COS INC NEW | COM | 872540109 | 1490 | 31882 | SH | SOLE |
| TJX COS INC NEW | COM | 872540109 | 7 | 140 | SH | DEFINED |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | FORM 13F INFORMATION TABLE | | |
|---------------------------------|----------------|-----------|-------------------|----------------------------|-----------------|----------------------------|
| | | | | SHARES/ PRN AMT | SH/ PRN CALL | PUT/ INVSTMT DSCRETN |
| TARGET CORP | COM | 87612E106 | 743 | 10849 | SH | SOLE |
| TARGET CORP | COM | 87612E106 | 29 | 430 | SH | DEFINED |
| TECHNE CORP | | 878377100 | 24 | 360 | SH | DEFINED |
| TETRA TECH INC NEW | | 88162G103 | 51 | 1663 | SH | SOLE |
| TEVA PHARMA INDS ADR | | 881624209 | 136 | 3430 | SH | SOLE |
| TEXAS INSTRS INC | COM | 882508104 | 401 | 11316 | SH | SOLE |
| TEXAS INSTRS INC | COM | 882508104 | 4 | 125 | SH | DEFINED |
| THERMO FISHER SCIENTIFIC INC | COM | 883556102 | 255 | 3335 | SH | SOLE |
| THERMO FISHER SCIENTIFIC INC | COM | 883556102 | 115 | 1500 | SH | DEFINED |
| 3M COMPANY COM | | 88579Y101 | 1027 | 9657 | SH | SOLE |
| 3M COMPANY COM | | 88579Y101 | 107 | 1009 | SH | DEFINED |
| TIME WARNER INC NEW COM NEW | | 887317303 | 69 | 1200 | SH | DEFINED |
| TOLL BROTHERS INC | COM | 889478103 | 47 | 1378 | SH | DEFINED |
| TOMPKINS FINANCIAL CORP | | 890110109 | 21058 | 498182 | SH | SOLE |
| TOMPKINS FINANCIAL CORP | | 890110109 | 1847 | 43690 | SH | DEFINED |
| TORONTO DOMINION BK ONT | COM NEW ADR | 891160509 | 157 | 1885 | SH | SOLE |
| TRAVELERS COS INC COM | | 89417E109 | 722 | 8571 | SH | SOLE |
| TRAVELERS COS INC COM | | 89417E109 | 15 | 180 | SH | DEFINED |
| TRIUMPH GROUP, INC | | 896818101 | 55 | 700 | SH | DEFINED |
| TUPPERWARE BRANDS CORP | COM | 899896104 | 141 | 1720 | SH | SOLE |
| US BANCORP DEL | COM NEW | 902973304 | 372 | 10955 | SH | SOLE |
| US BANCORP DEL | COM NEW | 902973304 | 48 | 1420 | SH | DEFINED |
| UTLIMATE SOFTWARE GROUP | | 90385D107 | 47 | 455 | SH | DEFINED |
| UNILEVER N V | N Y SHS NEW | 904784709 | 105 | 2550 | SH | SOLE |
| UNION PAC CORP | COM | 907818108 | 28 | 200 | SH | SOLE |
| UNITED NATURAL FOODS | | 911163103 | 15 | 310 | SH | DEFINED |
| UNITED PARCEL SERVICE INC | CL B | 911312106 | 397 | 4618 | SH | SOLE |
| UNITED PARCEL SERVICE INC | CL B | 911312106 | 67 | 785 | SH | DEFINED |
| UTD ST COMMODITY INDX - ETF | | 911717106 | 8 | 140 | SH | SOLE |
| UNITED STS NAT GAS FD LP | | 912318201 | 58 | 2668 | SH | SOLE |
| UNITED TECHNOLOGIES CORP | COM | 913017109 | 889 | 9516 | SH | SOLE |
| UNITED TECHNOLOGIES CORP | COM | 913017109 | 14 | 150 | SH | DEFINED |
| UNITEDHEALTH GROUP INC | | 91324P102 | 225 | 3940 | SH | SOLE |

FORM 13F INFORMATION TABLE

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 13F-HR

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | SHARES/ PRN AMT | SH/ PRN | PUT/ CALL | INVSTMT DSCRETN |
|-----------------------------|----------------|-----------|-------------------|--------------------|------------|--------------|--------------------|
| VANGUARD BD IND INT ETF | | 921937819 | 77 | 874 | SH | | SOLE |
| VANG TAX MNG INTL FD ETF | | 921943858 | 25012 | 686590 | SH | | SOLE |
| VANG TAX MNG INTL FD ETF | | 921943858 | 140 | 3841 | SH | | DEFINED |
| VANGUARD INTL EQUITY | INDEX - ETF | 922042858 | 322 | 7500 | SH | | SOLE |
| VECTREN CORP COM | | 92240G101 | 41 | 1170 | SH | | SOLE |
| VAN GUARD INDEX TR | | 922908413 | 36 | 498 | SH | | SOLE |
| VANGUARD INDEX REIT- ETF | | 922908553 | 1880 | 26656 | SH | | SOLE |
| VANGUARD INDEX REIT- ETF | | 922908553 | 31 | 445 | SH | | DEFINED |
| VANGARD INDEX VALUE ETF | | 922908744 | 5136 | 78389 | SH | | SOLE |
| VANGARD INDEX VALUE ETF | | 922908744 | 16 | 241 | SH | | DEFINED |
| VERIZON COMMUNICATIONS | COM | 92343V104 | 2856 | 58115 | SH | | SOLE |
| VERIZON COMMUNICATIONS | COM | 92343V104 | 73 | 1478 | SH | | DEFINED |
| VERINT SYSTEMS INC | | 92343X100 | 20 | 550 | SH | | DEFINED |
| VISA INC | COM CL A | 92826C839 | 127 | 745 | SH | | SOLE |
| VISA INC | COM CL A | 92826C839 | 83 | 488 | SH | | DEFINED |
| VODAFONE GROUP PLC NEW | | 92857W209 | 163 | 5757 | SH | | SOLE |
| WGL HLDGS INC | | 92924F106 | 19 | 432 | SH | | SOLE |
| W P CAREY INC | | 92936U109 | 27 | 405 | SH | | SOLE |
| WALMART STORES INC | COM | 931142103 | 757 | 10121 | SH | | SOLE |
| WALMART STORES INC | COM | 931142103 | 49 | 650 | SH | | DEFINED |
| WALGREEN CO | COM | 931422109 | 2025 | 42477 | SH | | SOLE |
| WALGREEN CO | COM | 931422109 | 15 | 322 | SH | | DEFINED |
| WATTS WATER TECHNOLOGIES | | 942749102 | 5 | 100 | SH | | SOLE |
| WELLS FARGO & CO NEW | COM | 949746101 | 1581 | 42749 | SH | | SOLE |
| WELLS FARGO & CO NEW | COM | 949746101 | 59 | 1600 | SH | | DEFINED |
| WHOLE FOODS MARKET INC | | 966837106 | 74 | 855 | SH | | DEFINED |
| WILLIAMS-SONOMA INC | | 969904101 | 43 | 830 | SH | | DEFINED |
| WISDOMTREE DEVL EMG MKT ETF | | 97717W133 | 21 | 1000 | SH | | SOLE |
| WISDOMTREE EMGERGING MKTS | SMALL CAP ETF | 97717W281 | 11695 | 226694 | SH | | SOLE |
| WISDOMTREE EMGERGING MKTS | SMALL CAP ETF | 97717W281 | 63 | 1226 | SH | | DEFINED |
| WISDOM TREE EMG MKTS EQ ETF | | 97717W315 | 14954 | 271612 | SH | | SOLE |
| WISDOM TREE EMG MKTS EQ ETF | | 97717W315 | 91 | 1653 | SH | | DEFINED |
| WISDOMTREE TR DIV EX | FINLS ETF | 97717W406 | 15 | 240 | SH | | SOLE |

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE X\$1000) | SHARES/ PRN AMT | SH/ PRN | PUT/ CALL | INVSTMT DSCRETN |
|-----------------------------|----------------|-----------|-------------------|--------------------|------------|--------------|--------------------|
| WISDOMTREE TR | | 97717W422 | 43 | 2417 | SH | | SOLE |
| WISDOMTREE TR EMERG MKT ETF | | 97717X867 | 264 | 5030 | SH | | SOLE |
| XEROX CORP | COM | 984121103 | 13 | 1556 | SH | | SOLE |
| XYLEM INC | | 98419M100 | 66 | 2400 | SH | | SOLE |
| ZIMMER HLDGS INC | COM | 98956P102 | 67 | 890 | SH | | SOLE |
| ZIONS BANCORPORATION | COM | 989701107 | 3 | 115 | SH | | SOLE |
| GRAND TOTALS | | | 322574 | 6589080 | | | |