

CHRISTMANN JOHN J
Form 4
February 06, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHRISTMANN JOHN J

2. Issuer Name and Ticker or Trading Symbol
APACHE CORP [APA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
2000 POST OAK BLVD., SUITE 100
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
02/05/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO and President

HOUSTON, TX 77056-4400

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-------------|---|
| | | | Code | V | Amount | (A) or (D) | Price | | |
| Common Stock | 02/05/2018 | <u>(1)</u> | M | | 25,376 | A | \$ 0 | 144,147.908 | D |
| Common Stock | 02/05/2018 | <u>(2)</u> | F | | 9,986 | D | \$ 42.82 | 134,161.908 | D |
| Common Stock | 02/05/2018 | <u>(3)</u> | M | | 19,542 | A | \$ 0 | 153,703.908 | D |
| Common Stock | 02/05/2018 | <u>(4)</u> | F | | 7,690 | D | \$ 44.69 | 146,013.908 | D |
| Common Stock | 02/06/2018 | | G | V | 671 <u>(5)</u> | D | \$ 0 | 145,342.908 | D |

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| | | | | | | | | | |
|--------------|------------|---|---|--------------------|---|------|-------------|---|--------------------------------|
| Common Stock | 02/06/2018 | G | V | 671 ⁽⁶⁾ | D | \$ 0 | 144,671.908 | D | |
| Common Stock | 02/06/2018 | G | V | 671 ⁽⁷⁾ | D | \$ 0 | 144,000.908 | D | |
| Common Stock | 02/06/2018 | G | V | 671 | A | \$ 0 | 1,728.955 | I | By JJC V 1998 Trust |
| Common Stock | 02/06/2018 | G | V | 671 | A | \$ 0 | 1,728.955 | I | By CAC 1998 Trust |
| Common Stock | 02/06/2018 | G | V | 671 | A | \$ 0 | 1,728.955 | I | By CEC 2003 Trust |
| Common Stock | | | | | | | 1,431.43 | I | By JJC IV 1984 Trust |
| Common Stock | | | | | | | 2,891.827 | I | Held by Trustee of 401(k) Plan |
| Common Stock | | | | | | | 52,340.441 | I | Held by Trustee of NQ Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|---|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock / Units ⁽⁸⁾ | \$ 0 ⁽⁹⁾ | 02/05/2018 | | M | 25,376 | <u>(1)</u> | <u>(1)</u> | Common Stock | 25,376 |

| | | | | | | | | |
|---|---------------------|------------|---|--------|------------|------------|-----------------|--------|
| Restricted Stock / Units ⁽⁸⁾ | \$ 0 ⁽⁹⁾ | 02/05/2018 | M | 19,542 | <u>(3)</u> | <u>(3)</u> | Common Stock | 19,542 |
|---|---------------------|------------|---|--------|------------|------------|-----------------|--------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------|-------|
| | Director | 10% Owner | Officer | Other |
| CHRISTMANN JOHN J 2000 POST OAK BLVD. SUITE 100 HOUSTON, TX 77056-4400 | X | | CEO and President | |

Signatures

Raj Sharma,
Attorney-in-Fact

02/06/2018

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Vesting on 02/03/2018 of restricted stock units under employer plan - data provided by plan administrator on 02/05/2018. Vesting occurs ratably over three years.
- (2) Shares withheld to cover required tax withholding on vesting of restricted stock as of 02/03/2018 - data provided by plan administrator on 02/05/2018.
- (3) Vesting on 02/01/2018 of restricted stock units under employer plan - data provided by plan administrator on 02/05/2018. Vesting occurs ratably over three years.
- (4) Shares withheld to cover required tax withholding on vesting of restricted stock as of 02/01/2018 - data provided by plan administrator on 02/05/2018.
- (5) Gift to the JJCVC 1998 Trust of which the reporting person is the trustee.
- (6) Gift to the CAC 1998 Trust of which the reporting person is the trustee.
- (7) Gift to the CEC 2003 Trust of which the reporting person is the trustee.
- (8) With tandem tax withholding right
- (9) One share of Apache common stock for each restricted stock unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.