#### Edgar Filing: KEWAUNEE SCIENTIFIC CORP /DE/ - Form 4

#### KEWAUNEE SCIENTIFIC CORP /DE/

Form 4 July 26, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per response...

5 Relationship of Reporting Person(s) to

Estimated average

See Instruction

1(b).

Common

Stock (1)

Common

Stock (1) Common

Stock (1)

Common

Stock

(Print or Type Responses)

1 Name and Address of Reporting Person \*

07/25/2013

07/25/2013

07/25/2013

07/25/2013

	Smith Keith D			Symbol	JNEE SC	Ticker or Trading	Issuer (Check all applicable)			
	(Last)	(First) (l	Middle)	3. Date of (Month/D	Earliest Tra	ansaction	DirectorX_ Officer (give		Owner er (specify	
2700 WEST FRONT STREET			07/25/20	•		below) below) VP - Manufacturing				
	(Street)			4. If Amer	ndment, Da	te Original	6. Individual or Joint/Group Filing(Check			
STATESVILLE, NC 28677-2927				Filed(Mon	th/Day/Year)		Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip)			Table	e I - Non-D	erivative Securities Acq	uired, Disposed of, or Beneficially Owned				
	1.Title of Security	2. Transaction Date (Month/Day/Year)	Execution			4. Securities Acquired (n(A) or Disposed of (D)	5. Amount of Securities	6. Ownership Form: Direct	Indirect	
	(Instr. 3)		any (Month/F	Day/Year)	Code (Instr. 8)	(Instr. 3, 4 and 5)	Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership	
			(1VIOIIIII/L	Jayi I Cai)	(111811.0)		Following	(Instr. 4)	(Instr. 4)	
						(A)	Reported			

Code V Amount

3,000

2,500

1,000

5.526

M

M

M

F

(A)

(D)

A

Price

12.66

Transaction(s) (Instr. 3 and 4)

D

D

D

D

8,357

10,857

6,331

\$ 8.59 11,857

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number etionof Derivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy	\$ 12.66	07/25/2013		M		3,000	08/26/2012	08/26/2019	Common Stock	3,000
Option to Buy	\$ 10.64	07/25/2013		M		2,500	08/25/2012	08/25/2020	Common Stock	2,500
Option to Buy	\$ 8.59	07/25/2013		M		1,000	08/24/2012	08/24/2021	Common Stock	1,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
Kebul tille Owner Maille / Address	

Director 10% Owner Officer Other

VP - Manufacturing

Smith Keith D

2700 WEST FRONT STREET

STATESVILLE, NC 28677-2927

## **Signatures**

/s/ Keith D.
Smith

\*\*Signature of Reporting Person

O7/25/2013

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Consideration for the exercise of the underlying option to buy was paid through a "net exercise" by directing the company to retain as payment for the total exercise cost a sufficient number of shares of Common Stock that would have been otherwise received upon this exercise as reported below on this Form 4 report.

Reporting Owners 2

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(2) Employee stock option granted under Employee Stock Option Plan under Rule 16b-3.

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