**Bagley Stephen James** Form 4 November 13, 2012

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per response...

Check this box if no longer subject to

Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

**ROUTE 9W** 

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**Bagley Stephen James** 

2. Issuer Name and Ticker or Trading Symbol

SONO TEK CORP [SOTK]

(Middle) (Last) (First)

(Month/Day/Year)

SONO-TEK CORPORATION, 2012

(Zip)

11/08/2012

X\_ Officer (give title below)

Issuer

Director

10% Owner Other (specify below)

**CFO** 

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

MILTON, NY 12547

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

(State)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities Acquired

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and A Underlying So (Instr. 3 and 4

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	(A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
OPTIONS	\$ 0.74	11/08/2012		J <u>(1)</u>		23,850	09/09/2005(2)	09/07/2015	SONOTEK CORP COMMON STOCK
OPTIONS	\$ 0.98	11/08/2012		<u>J(1)</u>		15,000	10/09/2007(2)	10/06/2017	SONOTEK CORP COMMON STOCK
OPTIONS	\$ 0.74	11/08/2012		<u>J(1)</u>		10,000	03/05/2008(2)	03/03/2018	SONOTEK CORP COMMON STOCK
OPTIONS	\$ 0.61	11/08/2012		A	48,850		11/08/2012(3)	11/06/2022	SONOTEK CORP COMMON STOCK

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Bagley Stephen James						
SONO-TEK CORPORATION			CFO			
2012 ROUTE 9W			Cro			
MILTON, NY 12547						

# **Signatures**

STEPHEN J. 11/13/2012 **BAGLEY** 

\*\*Signature of Reporting

Person

# **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. \*\* 78ff(a).
- **(1)** OPTIONS WERE CANCELLED IN EXCHANGE FOR NEW OPTIONS.
- **(2)** SUBJECT TO STANDARD VESTING SCHEDULE.
- **(3)** SUBJECT TO VESTING SCHEDULE.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

2 Reporting Owners

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