Edgar Filing: INTERMOLECULAR INC - Form 3

INTERMOLECULAR INC Form 3 October 04, 2013 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Instr. 4)

1. Name and A Person <u>*</u> Neely Ric		orting	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol INTERMOLECULAR INC [IMI]			
(Last)	(First)	(Middle)	10/02/2013	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)
INTERMOL INC., 3011 STREET SAN JOSE,Â	NORTH F	FIRST		(Check all applicable) Director 10% Owner Officer Other (give title below) (specify below) SVP & Chief Financial Officer		ow)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Table I - N	Non-Derivat	ive Securiti	es Bei	neficially Owned
1.Title of Secur (Instr. 4)	ity		2. Amount o Beneficially (Instr. 4)	f Securities	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		ture of Indirect Beneficial rship
Reminder: Repo owned directly	or indirectly. Person inform require	ns who resp ation conta ed to respo	ch class of securities benefic bond to the collection of lined in this form are not nd unless the form displ //B control number.	t S.	EC 1473 (7-02)	
Т	able II - Deri	ivative Secur	ities Beneficially Owned (e	.g., puts, calls,	warrants, opt	ions, c	onvertible securities)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect **Expiration** Date Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) Derivative (Instr. 4) Price of Security: Derivative Date Expiration Title Amount or Security Direct (D) Exercisable Date Number of

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

Estimated average burden hours per

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Shares	or Indirect (I)	
	(Instr. 5)	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Neely Rick INTERMOLECULAR, INC. 3011 NORTH FIRST STREET SAN JOSE, CA 95134	Â	Â	SVP & Chief Financial Officer	Â			
Signatures							
/s/ Rick Neely 10/0	4/2013						
<u>**</u> Signature of Reporting Person	Date						

Explanation of Responses:

No securities are beneficially owned

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.