

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

Clough Global Allocation Fund  
Form NSAR-A  
November 27, 2013

PAGE 1  
000 A000000 09/30/2013  
000 C000000 0001288795  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 CLOUGH GLOBAL ALLOCATION FUND  
001 B000000 811-21583  
001 C000000 8772568445  
002 A000000 1290 BROADWAY, SUITE 1100  
002 B000000 DENVER  
002 C000000 CO  
002 D010000 80203  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 CLOUGH CAPITAL PARTNERS  
008 B000001 A  
008 C000001 801-63142  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02109  
010 A000001 ALPS FUND SERVICES, INC.  
010 B000001 84-5730  
010 C010001 DENVER  
010 C020001 CO  
010 C030001 80203  
011 A000001 MERRILL LYNCH  
011 B000001 8-0114235  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10080  
012 A000001 COMPUTERSHARE SHAREOWNER SERVICES LLC  
012 B000001 84-05579  
012 C010001 JERSEY CITY  
012 C020001 NJ  
012 C030001 07310  
013 A000001 COHEN FUND AUDIT SERVICES, LTD.  
013 B010001 WESTLAKE  
013 B020001 OH  
013 B030001 44145  
015 A000001 Bank of New York Mellon Corp.  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
PAGE 2  
015 C030001 10286  
015 E010001 X  
015 A000002 HSBC Malaysia  
015 B000002 S  
015 C010002 Kuala Lumpur  
015 D010002 Malaysia Berhad

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015 D020002 206-001  
015 E040002 X  
015 A000003 DBS Bank Ltd., Singapore  
015 B000003 S  
015 C010003 The Comtech  
015 D010003 Singapore  
015 D020003 118502  
015 E040003 X  
015 A000004 HSBC Hong Kong  
015 B000004 S  
015 C010004 Kowloon  
015 D010004 Hong Kong  
015 D020004 C00019  
015 E040004 X  
015 A000005 CIBC Mellon Trust Company  
015 B000005 S  
015 C010005 Toronto  
015 D010005 Canada  
015 D020005 M5H 4A6  
015 E040005 X  
015 A000006 HSBC Seoul  
015 B000006 S  
015 C010006 Bongrae-dong  
015 D010006 South Korea  
015 D020006 1-ga Seoul  
015 E040006 X  
015 A000007 Citibank N.A., Brazilian Branch  
015 B000007 S  
015 C010007 Sao Paulo  
015 D010007 Brazil  
015 D020007 01311  
015 E040007 X  
015 A000008 National Australia Bank  
015 B000008 S  
015 C010008 Melbourne  
015 D010008 Australia  
015 D020008 3000  
015 E040008 X  
015 A000009 Citibank International Plc  
015 B000009 S  
015 C010009 Brussels  
015 D010009 Belgium  
015 D020009 6-1140  
015 E040009 X  
015 A000010 HSBC Shanghai  
PAGE 3  
015 B000010 S  
015 C010010 Shanghai  
015 D010010 China  
015 E040010 X  
015 A000011 HSBC Shenzhen  
015 B000011 S  
015 C010011 Shenzhen  
015 D010011 China  
015 E040011 X  
015 A000012 Euroclear Bank  
015 B000012 S  
015 C010012 Brussels  
015 D010012 Belgium  
015 D020012 1210  
015 E040012 X  
015 A000013 Skandinaviska Enskilda Banken AB

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015 B000013 S  
015 C010013 Helsinki  
015 D010013 Finland  
015 D020013 00100  
015 E040013 X  
015 A000014 BNP Paribas Securities Services  
015 B000014 S  
015 C010014 Paris  
015 D010014 France  
015 D020014 93761  
015 E040014 X  
015 A000015 Deutsche Bank AG  
015 B000015 S  
015 C010015 Jakarta  
015 D010015 Indonesia  
015 D020015 10310  
015 E040015 X  
015 A000016 Intesa Sanpaolo S.p.A.  
015 B000016 S  
015 C010016 Milan  
015 D010016 Italy  
015 D020016 20152  
015 E040016 X  
015 A000017 The Bank of Tokyo Mitsubishi UFJ Ltd.  
015 B000017 S  
015 C010017 Tokyo  
015 D010017 Japan  
015 E040017 X  
015 A000018 Banco Nacional de Mexico  
015 B000018 S  
015 C010018 Mexico City  
015 D010018 Mexico  
015 D020018 06695 DF  
015 E040018 X  
015 A000019 National Australia Bank - National Nominees  
PAGE 4  
015 B000019 S  
015 C010019 Wellington  
015 D010019 New Zealand  
015 D020019 6000  
015 E040019 X  
015 A000020 Skandinaviska Enskilda Banken AB  
015 B000020 S  
015 C010020 Oslo  
015 D010020 Norway  
015 D020020 0252  
015 E040020 X  
015 A000021 Deutsche Bank AG  
015 B000021 S  
015 C010021 Makati City  
015 D010021 Phillipines  
015 D020021 1226  
015 E040021 X  
015 A000022 ING Bank (Eurasia) ZAO  
015 B000022 S  
015 C010022 Moscow  
015 D010022 Russia  
015 D020022 127473  
015 E040022 X  
015 A000023 Standard Bank of South Africa  
015 B000023 S  
015 C010023 Johannesburg

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015 D010023 South Africa  
015 D020023 2001  
015 E040023 X  
015 A000024 Banco Bilbao Vizcaya Argentina  
015 B000024 S  
015 C010024 Madrid  
015 D010024 Spain  
015 D020024 28014  
015 E040024 X  
015 A000025 Skandinaviska Enskilda Banken AB  
015 B000025 S  
015 C010025 Stockholm  
015 D010025 Sweden  
015 D020025 SE-106 40  
015 E040025 X  
015 A000026 Credit Suisse AG  
015 B000026 S  
015 C010026 Zurich  
015 D010026 Switzerland  
015 D020026 8070  
015 E040026 X  
015 A000027 HSBC Taiwan  
015 B000027 S  
015 C010027 Taipei  
015 D010027 Taiwan  
PAGE 5  
015 D020027 115  
015 E040027 X  
015 A000028 HSBC Bangkok  
015 B000028 S  
015 C010028 Bangkok  
015 D010028 Thailand  
015 D020028 10500  
015 E040028 X  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 BARCLAYS CAPITAL, INC.  
020 B000001 06-1031656  
020 C000001 41  
020 A000002 MERRILL LYNCH & CO., INC.  
020 B000002 13-2740599  
020 C000002 33  
020 A000003 STATE STREET GLOBAL MARKETS, LLC  
020 B000003 04-3464336  
020 C000003 27  
020 A000004 CITIGROUP GLOBAL MARKETS INC.  
020 B000004 11-2418191  
020 C000004 23  
020 A000005 STERNE, AGEE & LEACH, INC.  
020 B000005 63-0463600  
020 C000005 15  
020 A000006 UBS FINANCIAL SERVICES, INC.  
020 B000006 13-2638166  
020 C000006 15  
020 A000007 DEUTSCHE BANK SECURITIES, INC.  
020 B000007 13-2730828  
020 C000007 15  
020 A000008 GOLDMAN SACHS & CO.  
020 B000008 13-5108880  
020 C000008 14  
020 A000009 MACQUARIE CAPITAL (USA) INC.

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020 B000009 98-0141094  
020 C000009 13  
020 A000010 CREDIT AGRICOLE CHEUVREUX NORTH AMERICA, INC.  
020 B000010 13-3867844  
020 C000010 13  
021 000000 374  
022 A000001 DREYFUS TREASURY PRIME CASH MANAGEMENT  
022 C000001 121098  
022 D000001 109046  
022 A000002 MORGAN STANLEY & CO., INC.  
022 B000002 13-2655998  
022 C000002 6169  
022 D000002 28407  
022 A000003 LEGG MASON WOOD WALKER, INC.  
022 B000003 52-0902557  
PAGE 6  
022 C000003 14103  
022 D000003 12829  
022 A000004 CREDIT SUISSE SECURITIES (USA) LLC  
022 B000004 05-0546650  
022 C000004 5771  
022 D000004 9528  
022 A000005 NOMURA SECURITIES INTERNATIONAL, INC.  
022 B000005 13-2642206  
022 C000005 0  
022 D000005 8367  
022 A000006 JEFFERIES & CO., INC.  
022 B000006 95-2622900  
022 C000006 2075  
022 D000006 2076  
022 A000007 MORGAN STANLEY INSTITUTIONAL LIQUIDITY FUND  
022 C000007 1960  
022 D000007 1960  
022 A000008 DEUTSCHE BANK SECURITIES, INC.  
022 B000008 13-2730828  
022 C000008 3602  
022 D000008 0  
022 A000009 RBC CAPITAL MARKETS CORP.  
022 B000009 13-5033225  
022 C000009 1671  
022 D000009 1216  
022 A000010 PIPER JAFFRAY & CO.  
022 B000010 41-0953246  
022 C000010 1494  
022 D000010 859  
023 C000000 161086  
023 D000000 174287  
024 000000 Y  
025 A000001 DREYFUS TREASURY PRIME CASH MANAGEMENT  
025 B000001 -  
025 C000001 D  
025 D000001 25739  
025 A000002 CITIGROUP GLOBAL MARKETS, INC.  
025 B000002 11-2418191  
025 C000002 E  
025 D000002 5003  
025 A000003 NOMURA SECURITIES INTERNATIONAL, INC.  
025 B000003 13-2642206  
025 C000003 E  
025 D000003 1318  
025 A000004 BANK OF AMERICA  
025 B000004 94-1687665

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025 C000004 E  
025 D000004 2777  
026 A000000 N  
026 B000000 Y  
026 C000000 Y

PAGE 7

026 D000000 Y  
026 E000000 Y  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
045 000000 Y  
046 000000 N  
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049 000000 N  
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052 000000 N  
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054 B000000 Y  
054 C000000 Y  
054 D000000 Y  
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054 F000000 N  
054 G000000 Y  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 Y  
054 L000000 N  
054 M000000 Y

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054 N000000 N  
054 O000000 Y  
055 A000000 N  
055 B000000 Y

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|-----|---------|---|-----|
| 056 | 000000  | Y |     |
| 057 | 000000  | N |     |
| 058 | A000000 | N |     |
| 059 | 000000  | Y |     |
| 060 | A000000 | N |     |
| 060 | B000000 | N |     |
| 061 | 000000  |   | 0   |
| 062 | A000000 | N |     |
| 062 | B000000 |   | 0.0 |
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| 062 | D000000 |   | 0.0 |
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| 062 | H000000 |   | 0.0 |
| 062 | I000000 |   | 0.0 |
| 062 | J000000 |   | 0.0 |
| 062 | K000000 |   | 0.0 |
| 062 | L000000 |   | 0.0 |
| 062 | M000000 |   | 0.0 |
| 062 | N000000 |   | 0.0 |
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| 066 | C000000 | N |     |
| 066 | D000000 | N |     |
| 066 | E000000 | N |     |
| 066 | F000000 | N |     |
| 066 | G000000 | Y |     |
| 067 | 000000  | Y |     |
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| 070 | A020000 | N |     |
| 070 | B010000 | Y |     |
| 070 | B020000 | Y |     |
| 070 | C010000 | Y |     |
| 070 | C020000 | N |     |
| 070 | D010000 | Y |     |
| 070 | D020000 | N |     |
| 070 | E010000 | Y |     |
| 070 | E020000 | N |     |
| 070 | F010000 | Y |     |
|     | PAGE    | 9 |     |
| 070 | F020000 | N |     |
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| 070 | H010000 | Y |     |
| 070 | H020000 | N |     |
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| 070 | J020000 | N |     |
| 070 | K010000 | Y |     |
| 070 | K020000 | Y |     |
| 070 | L010000 | Y |     |
| 070 | L020000 | Y |     |
| 070 | M010000 | Y |     |

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|       |         |    |        |
|-------|---------|----|--------|
| 070   | M020000 | Y  |        |
| 070   | N010000 | Y  |        |
| 070   | N020000 | Y  |        |
| 070   | O010000 | Y  |        |
| 070   | O020000 | Y  |        |
| 070   | P010000 | N  |        |
| 070   | P020000 | N  |        |
| 070   | Q010000 | N  |        |
| 070   | Q020000 | N  |        |
| 070   | R010000 | Y  |        |
| 070   | R020000 | Y  |        |
| 071   | A000000 |    | 202190 |
| 071   | B000000 |    | 226323 |
| 071   | C000000 |    | 242072 |
| 071   | D000000 | 84 |        |
| 072   | A000000 | 6  |        |
| 072   | B000000 |    | 271    |
| 072   | C000000 |    | 1724   |
| 072   | D000000 |    | 0      |
| 072   | E000000 |    | 99     |
| 072   | F000000 |    | 1222   |
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| 072   | K000000 |    | 0      |
| 072   | L000000 |    | 0      |
| 072   | M000000 |    | 68     |
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| 072   | P000000 |    | 1479   |
| 072   | Q000000 |    | 0      |
| 072   | R000000 |    | 0      |
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| 072   | V000000 |    | 0      |
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| 072   | W000000 |    | 1      |
| 072   | X000000 |    | 3268   |
| 072   | Y000000 |    | 0      |
| 072   | Z000000 |    | -1174  |
| 072AA | 000000  |    | 3197   |
| 072BB | 000000  |    | 0      |
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| 072CC | 020000  |    | 0      |
| 072DD | 010000  |    | 6261   |
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| 072EE | 000000  |    | 0      |
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| 073   | B000000 |    | 0.0000 |
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| 074   | D000000 |    | 14438  |
| 074   | E000000 |    | 2991   |
| 074   | F000000 |    | 225724 |
| 074   | G000000 |    | 0      |
| 074   | H000000 |    | 0      |
| 074   | I000000 |    | 25739  |



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|     |         |        |
|-----|---------|--------|
| 074 | J000000 | 5811   |
| 074 | K000000 | 0      |
| 074 | L000000 | 527    |
| 074 | M000000 | 70957  |
| 074 | N000000 | 349694 |
| 074 | O000000 | 5434   |
| 074 | P000000 | 282    |
| 074 | Q000000 | 0      |
| 074 | R010000 | 0      |
| 074 | R020000 | 66319  |
| 074 | R030000 | 199    |
| 074 | R040000 | 90573  |
| 074 | S000000 | 0      |
| 074 | T000000 | 186887 |
| 074 | U010000 | 10435  |
| 074 | U020000 | 0      |
| 074 | V010000 | 17.91  |
| 074 | V020000 | 0.00   |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 6      |
| 074 | Y000000 | 205650 |
| 075 | A000000 | 0      |
| 075 | B000000 | 182292 |
| 076 | 000000  | 15.25  |
| 077 | A000000 | N      |
| 078 | 000000  | N      |
| 080 | C000000 | 0      |

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|           |                     |              |
|-----------|---------------------|--------------|
| 081       | B000000             | 0            |
| 086       | A010000             | 0            |
| 086       | A020000             | 0            |
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| 086       | B020000             | 0            |
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| 086       | C020000             | 0            |
| 086       | D010000             | 0            |
| 086       | D020000             | 0            |
| 086       | E010000             | 0            |
| 086       | E020000             | 0            |
| 086       | F010000             | 0            |
| 086       | F020000             | 0            |
| 087       | A010000             | COMMON STOCK |
| 087       | A020000             | 18913Y103    |
| 087       | A030000             | GLV          |
| 088       | A000000             | Y            |
| 088       | B000000             | N            |
| 088       | C000000             | N            |
| 088       | D000000             | Y            |
| SIGNATURE | Jill Kerschen       |              |
| TITLE     | Assistant Treasurer |              |