Edgar Filing: COCCIO CHRISTOPHER L - Form 4

| Form 4 | RISTOPHER L | | | | | | | | | | | |
|--|---|--|---|--|-------------|---|---|--|------------------------|--|--|--|
| August 20, 2018 FORM 4 UNITED STATES SI | | | | SECURITIES AND EXCHANGE COMMISSION | | | | | OMB APPROVAL | | | |
| Check this if no longe | s box | Washington, D.C. 20549 | | | | | | | | 3235-0287 January 31, | | |
| subject to Section 16 Form 4 or | 51ATE N 5. | | | | | GES IN BENEFICIAL OWNERSHIP O SECURITIES | | | | Expires: 2009 Estimated average burden hours per | | |
| Form 5 obligation may conti <i>See</i> Instru- 1(b). | Filed purs s Section 17(a | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | response 0.8 | | | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| COCCIO CHRISTOPHER L Symbol SONO | | | Symbol | suer Name and Ticker or Trading ol [O TEK CORP [SOTK] te of Earliest Transaction | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| | | | | | | | | | | | | |
| (Month/Da SONO-TEK CORP, 2012 RT 9W 08/17/20 (Street) 4. If Amen | | | (Month/Da | (Month/Day/Year) 08/17/2018 | | | | X Director 10% Owner X Officer (give title Other (specify below) below) CEO | | | | |
| | | | nendment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| MILTON, N | Y 12547 | | | | | | | Form filed by M Person | Iore than One Re | porting | | |
| (City) | (State) (| Zip) | Table | I - Non-De | erivative S | Securi | ties Acq | uired, Disposed of | f, or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | Security (Month/Day/Year) Execution Date, (Instr. 3) any | | on Date, if | Code (D) (Instr. 8) (Instr. 3, 4 and 5) | | | Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | | | |
| Sono-Tek | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | |
| Corp Common Stock | 08/17/2018 | | | S | 3,700 | D | \$ 2.55 | 493,034 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | ; | Date | Amou Unde Secur | le and unt of rlying rities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---------------------------------------|---|---------------------|--------------------|-----------------------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| COCCIO CHRISTOPHER L SONO-TEK CORP 2012 RT 9W | Х | | CEO | | | | |
| MILTON, NY 12547 | | | | | | | |
| Signatures | | | | | | | |
| Christopher L. Coccio 08/ | /20/2018 | | | | | | |

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.