#### COCCIO CHRISTOPHER L

Form 4

November 13, 2012

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

Check this box if no longer

January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* COCCIO CHRISTOPHER L

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

SONO TEK CORP [sotk]

(Check all applicable)

(First) (Middle) (Last)

(Street)

(State)

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

(Month/Day/Year) 29 WATSON AVENUE 11/08/2012

(Zip)

below) below) **CEO** 

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

> \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

MILTON, NY 12547

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

3. Execution Date, if Code

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Disposed of (D)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I)

Ownership (Instr. 4) (Instr. 4)

(A)

(Instr. 8)

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number of TransactionDerivative Securities Code Acquired (A) or

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Underlying (Instr. 3 an

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(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8)	Disposed of (Instr. 3, 4, a	` '			
	Security			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Options	\$ 0.95	11/08/2012		J <u>(1)</u>		20,000	05/19/2004(2)	05/17/2014	Sonote Commo Stock
OPTIONS	\$ 1.07	11/08/2012		J <u>(1)</u>		225,000 (1)	11/12/2004(2)	11/10/2014	SONOT COMMO STOCE
OPTIONS	\$ 0.74	11/08/2012		J <u>(1)</u>		91,893 (1)	11/12/2004(2)	11/10/2014	SONOT COMMO STOCE
OPTIONS	\$ 1	11/08/2012		J <u>(1)</u>		100,000	11/12/2004(2)	11/10/2014	SONOT COMMO STOCE
OPTIONS	\$ 0.74	11/08/2012		J <u>(1)</u>		50,000 (1)	03/05/2008(2)	03/03/2018	SONOT: COMMO STOCE
OPTIONS	\$ 0.61	11/08/2012		A	486,893		11/08/2012(3)	11/06/2022	SONOT COMMO STOC

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships					
•	Director	10% Owner	Officer	Other		
COCCIO CHRISTOPHER L 29 WATSON AVENUE MILTON, NY 12547	X		CEO			

# **Signatures**

CHRISTOPHER L. 11/13/2012

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options were cancelled in exchange for new options.
- (2) Subject to standard vesting schedule.
- (3) SUBJECT TO VESTING SCHEDULE.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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