

PERMA FIX ENVIRONMENTAL SERVICES INC

Form 4

January 02, 2015

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ZWECKER MARK A2. Issuer Name and Ticker or Trading Symbol  
PERMA FIX ENVIRONMENTAL  
SERVICES INC [pesi]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
1042 CUMBERLAND ROAD  
(Street)3. Date of Earliest Transaction  
(Month/Day/Year)  
01/02/2015☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

ATLANTA, GA 30306

4. If Amendment, Date Original  
Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------|
| Common Stock                    | 01/02/2015                           |                                                    | A                              | 3,088                                                             | A \$ 3.2625                                                                                   | 116,405                                                  | D                                          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**SEC 1474  
(9-02)**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |             | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>of<br>Derivative<br>Security<br>(Instr. 5) |       |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-------------|---------------------------------------------------------------------|--------------------------------------------------------|-------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                                     | (A)                                                            | (D)         | Title                                                               | Amount<br>or<br>Number<br>of<br>Shares                 |       |
| Stock<br>Option                                     | \$ 9.2                                                                |                                         |                                                             |                                         |                                                                                                                       |                                                                | <u>(1)</u>  | 07/27/2015                                                          | Common<br>Stock                                        | 2,400 |
| Stock<br>Option                                     | \$ 10.75                                                              |                                         |                                                             |                                         |                                                                                                                       |                                                                | <u>(2)</u>  | 07/27/2016                                                          | Common<br>Stock                                        | 2,400 |
| Stock<br>Option                                     | \$ 14.75                                                              |                                         |                                                             |                                         |                                                                                                                       |                                                                | <u>(3)</u>  | 08/02/2017                                                          | Common<br>Stock                                        | 2,400 |
| Stock<br>Option                                     | \$ 11.7                                                               |                                         |                                                             |                                         |                                                                                                                       |                                                                | <u>(4)</u>  | 08/05/2018                                                          | Common<br>Stock                                        | 2,400 |
| Stock<br>Option                                     | \$ 13.35                                                              |                                         |                                                             |                                         |                                                                                                                       |                                                                | <u>(5)</u>  | 07/29/2019                                                          | Common<br>Stock                                        | 2,400 |
| Stock<br>Option                                     | \$ 8.4                                                                |                                         |                                                             |                                         |                                                                                                                       |                                                                | <u>(6)</u>  | 09/29/2020                                                          | Common<br>Stock                                        | 2,400 |
| Stock<br>Option                                     | \$ 7.05                                                               |                                         |                                                             |                                         |                                                                                                                       |                                                                | <u>(7)</u>  | 08/24/2021                                                          | Common<br>Stock                                        | 2,400 |
| Stock<br>Option                                     | \$ 5.5                                                                |                                         |                                                             |                                         |                                                                                                                       |                                                                | <u>(8)</u>  | 09/13/2022                                                          | Common<br>Stock                                        | 2,400 |
| Stock<br>Option                                     | \$ 2.785                                                              |                                         |                                                             |                                         |                                                                                                                       |                                                                | <u>(9)</u>  | 09/12/2023                                                          | Common<br>Stock                                        | 2,400 |
| Stock<br>Option                                     | \$ 3.7                                                                |                                         |                                                             |                                         |                                                                                                                       |                                                                | <u>(10)</u> | 09/18/2024                                                          | Common<br>Stock                                        | 2,400 |

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |         |       |
|-------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                             | Director      | 10% Owner | Officer | Other |
| ZWECKER MARK A<br>1042 CUMBERLAND ROAD<br>ATLANTA, GA 30306 | X             |           |         |       |

## Signatures

/s/Mark  
Zwecker

01/02/2015

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Option granted 07/27/05 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (2) Stock Option granted 07/27/06 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (3) Stock Option granted 08/02/07 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (4) Stock Option granted 08/05/08 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (5) Stock Option granted 07/29/09 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (6) Stock Option granted 09/29/10 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (7) Stock Option granted 08/24/11 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (8) Stock Option granted 09/13/12 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (9) Stock Option granted 09/12/13 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (10) Stock Option granted 09/18/14 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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