#### HORIZON BANCORP /IN/

Form 4 May 12, 2014

## FORM 4

# OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

Expires: January 31,

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

(Print or Type Responses)

See Instruction

| 1. Name and Ac  | erson * 2. Issuer Symbol   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |                    |                   | 5. Relationship of Reporting Person(s) to Issuer        |  |                            |                         |  |
|-----------------|--|--|--------------------|-------------------|---|--|----------------------------|-------------------------|--|
|                 | HORIZO   | HORIZON BANCORP /IN/ [HBNC]                        |                    |                   |   | (Check all applicable)   |                            |                         |  |
| (Last)          | (First) (M   | iddle) 3. Date of                                  | Earliest Tra       | nsaction          |   |  |                            |                         |  |
|                 |  | (Month/D   | ay/Year)           |                   |   | Director   |                            | 6 Owner                 |  |
| 515 FRANKLIN SQ |  | 05/08/20   | 05/08/2014         |                   |   | X Officer (give title Other (specify below)  Chief Executive Officer |                            |                         |  |
|                 | 4. If Ame  | 4. If Amendment, Date Original                     |                    |                   |   | 6. Individual or Joint/Group Filing(Check                            |                            |                         |  |
|                 |  | Filed(Month/Day/Year)                              |                    |                   | Applicable Line) _X_ Form filed by One Reporting Person |  |                            |                         |  |
| MICHIGAN        |  |  |                    |                   | Form filed by More than One Reporting Person            |  |                            |                         |  |
| (City)          | (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owner. |  |                    |                   |   |  | lly Owned                  |                         |  |
| 1.Title of      | 2. Transaction Date  | 2A. Deemed   | 3.                 | 4. Securi         | ties  | 5. Amount of   | 6. Ownership               | 7. Nature of            |  |
| Security        | (Month/Day/Year)   | Execution Date, if                                 | Transactio         | onAcquired        |   | Securities   | Form: Direct               | Indirect                |  |
| (Instr. 3)      |  | any  | Code               | Disposed          | ` /   | Beneficially   | (D) or                     | Beneficial              |  |
|                 |  | (Month/Day/Year)                                   | (Instr. 8)  Code V | (Instr. 3, Amount | (A) or (D) Price  | Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Indirect (I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |  |
| Common<br>Stock |  |  |                    |                   |   | 117,553  | D                          |                         |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

I

 $72,247 \frac{(1)}{2}$ 

**ESOP** 

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer<br>Expiration D<br>(Month/Day, | ate             | 7. Title and Underlying (Instr. 3 and | Securities                             |
|---|---|--------------------------------------|---|---|--|---|-----------------|---------------------------------------|--|
|   |   |                                      |   | Code V                                  | (A) (D)  | Date<br>Exercisable                         | Expiration Date | Title                                 | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 20.24  | 06/18/2013                           |   | A                                       | 7,853<br>(1)   | (2)   | 06/18/2023      | Common<br>Stock                       | 7,853                                  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 22.2   | 03/18/2014                           |   | A                                       | 6,923  | (3)   | 03/18/2024      | Common<br>Stock                       | 6,923                                  |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |
|--------------------------------|---------------|
|                                |               |

Director 10% Owner Officer Other

DWIGHT CRAIG M 515 FRANKLIN SQ MICHIGAN CITY, IN 46360

Chief Executive Officer

### **Signatures**

/s/ Craig M
Dwight

\*\*Signature of Reporting Person

O5/12/2014

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares received in the ESOP and Thrift Plans
- (2) The options vest in three equal annual installments beginning on the anniversary date of June 18, 2013.
- (3) The options vest in three equal annual installments beginning on the anniversary date of March 14, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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