#### POTASHNER KENNETH F

Form 4 April 08, 2011

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

burden hours per

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

Common

Common

Stock

Stock

04/06/2011

04/06/2011

(Print or Type Responses)

POTASHNER KENNETH F			2. Issuer Name and Ticker or Trading Symbol NEWPORT CORP [NEWP]			Issuer			
(Last)	(First) (	(Middle)	3. Date of	of Earliest T	-		all applicable		
1791 DEERE AVENUE (Street)			(Month/I 04/06/2	Day/Year) 2011		X Director Officer (give ti below)		Owner or (specify	
			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
IRVINE, O	CA 92606					Form filed by Mo Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative Securities Acq	uired, Disposed of,	or Beneficiall	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8)	4. Securities Acquired (A nor Disposed of (D) (Instr. 3, 4 and 5)  (A) or	) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				0 1 17	4 (D) D:	(1113ti. 5 and 4)			

Code V Amount

6,000

8.675

 $M^{(1)}$ 

 $S^{(1)}$ 

(D)

A

D

Price

17.7676 61,977

\$ 13.16

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

70,652

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D

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number ionof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		1 3 4
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 13.16	04/06/2011		M <u>(1)</u>		6,000	(3)	09/17/2011	Common Stock	6,000	

De

(In

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
POTASHNER KENNETH F 1791 DEERE AVENUE IRVINE, CA 92606	X					

### **Signatures**

Jeffrey B. Coyne, SVP & General Counsel, as attorney-in-fact for reporting person 04/08/2011

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction was effected pursuant to a Rule 10b5-1 trading plan established by reporting person on March 7, 2011.
- Reflects the weighted-average sale price for an aggregate of 8,675 shares sold in multiple transactions at prices ranging from \$17.66 to \$17.91 per share. Reporting person undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a staff of the staff
- (2) \$17.91 per share. Reporting person undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (3) Option vested in full on September 18, 2002.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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