Edgar Filing: Parker Albert P - Form 4

Parker Albert P Form 4										
March 18, 2019	_									
FORM 4	UNITED	STATES	SECUI	RITIES	AND EX	CHANGE		т	PPROVAL	
Check this box							OMB Number:	3235-0287		
if no longer		πέντ οι	СНАМ	JCES IN	Expires:	January 31, 2005				
subject to Section 16. Form 4 or	subject to Statement of Changes in Beneficial Ownership of Estimated average burden hours per									
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Respo	onses)									
1. Name and Address of Reporting Person <u>*</u> Parker Albert P			2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(Last) (First) (Middle) 3. Date of Earliest Transaction				_	(Check all applicable)				
(Last)	(First) (I	Middle)		Day/Year)	ransaction	1	Director	109	% Owner	
				03/14/2019			_X_ Officer (give title Other (specify below) below) Chief Operating Officer			
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					al	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
ALAMEDA, CA	A 94301						Person			
(City)	(State)	(Zip)	Tab	le I - Non-	Derivativ	e Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
	ransaction Date nth/Day/Year)		Date, if	Code (Instr. 8)	4. Securi onAcquirec Disposec (Instr. 3, Amount	(A) or d of (D) 4 and 5) (A) or	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Densin den Denserte		f 1	c		£					
Reminder: Report o	n a separate line	TOF each cl		unities deno	Perse infor requi	ons who res mation cont ired to respo ays a curre	spond to the colle ained in this form ond unless the for ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					sposed of, or , convertible :	Beneficially Owned securities)	I		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionDerivative	Expiration Date	Underlying Securities

Edgar Filing: Parker Albert P - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A Disposed of (Instr. 3, 4, 4, 5)	f (D)	(Month/Day,	/Year)	(Instr. 3 and	4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Option to Purchase Common Stock	\$ 3.52	03/14/2019		A	200,000		<u>(1)</u>	03/13/2029	Common Stock	200,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Parker Albert P C/O ONCOCYTE CORPORATION 1010 ATLANTIC AVENUE, SUITE 102 ALAMEDA, CA 94501			Chief Operating Officer				
Signatures							

/s/Albert P. Parker	03/18/2019			
<u>**</u> Signature of	Date			

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

One quarter of the options shall vest upon the completion of one year of continuous service from the date of grant on March 14, 2019 and
 (1) the balance shall vest in 36 equal monthly installments commencing on the first anniversary of the date of grant, based on continuous service on the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.