

Brice Carson H
Form 4
February 06, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Brice Carson H

2. Issuer Name and Ticker or Trading Symbol
FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
01/07/2019

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

PO BOX 1352

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SMITHFIELD, NC 27577

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|---|---------------------------------|
| | | | | (A) or (D) | Price | | | | |
| | | | | Code | V | Amount | | | |
| Class A Common | 01/07/2019 | | G | V | 228 | D | \$ 0 306,825 | D | |
| Class A Common | | | | | | | 280 | I | By spouse |
| Class A Common | 01/07/2019 | | G | V | 76 | A | \$ 0 16,857 | I | As custodian for Stephen Brice |
| Class A Common | 01/07/2019 | | G | V | 76 | A | \$ 0 16,256 | I | As Custodian for Cordelia Brice |

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| | | | | | | | | |
|-------------------|------------|--|--------|---|------|-----------------------|------------------|---|
| Class A common | 01/07/2019 | | G V 76 | A | \$ 0 | 16,256 | I | As Custodian for Elizabeth Brice |
| Class A Common | | | | | | 10,652 | I | As beneficiary of Trust |
| Class A Common | | | | | | 78,284 ⁽¹⁾ | I | Trusts f/b/o children |
| Class B Common | | | | | | 108,756 | D | |
| Class B Common | | | | | | 563 | I | By spouse |
| Class B Common | | | | | | 2,347 | I | As custodian for Stephen Brice |
| Class B Common | | | | | | 2,348 | I | As custodian for Elizabeth Brice |
| Class B Common | | | | | | 2,348 | I | As custodian for Cordelia Brice |
| Class B Common | | | | | | 1,250 | I | As beneficiary of Trust |
| Class B Common | | | | | | 2,525 | I ⁽¹⁾ | Trusts f/b/o children |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Benef Own Follo Repo Trans (Instr |
|---|--|---|---|--------------------------------------|--|--|---|---|---|
|---|--|---|---|--------------------------------------|--|--|---|---|---|

