Edgar Filing: ERMATINGER WILLIAM R - Form 4

| ERMATING Form 4 May 09, 20 | GER WILLIAM I 18 | ٤ | | | | | | | | | |
|--|--|---------------|---|---|-----------------|--------|---|---|--|---|--|
| | _ | | | | | | | | OMB AP | PROVAL | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | MMISSION | OMB Number: | 3235-0287 | |
| Check the | | | | U | | | | | Expires: | January 31, | |
| if no lon subject t Section Form 4 Form 5 | F CHANGES IN BENEFICIAL OWNE SECURITIES | | | | | | Estimated average burden hours per response | | | | |
| obligation may cor <i>See</i> Insta 1(b). | ons Section 17(| a) of the l | Public U | Jtility Ho | | pany | Act of 1 | Act of 1934, 935 or Section | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> ERMATINGER WILLIAM R | | | 2. Ibbael I talle and I teller of Trading | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) 4101 WASHINGTON AVENUE | | | 3. Date of Earliest Transaction | | | | Director 10% Owner _X Officer (give title Other (specify | | | | |
| | | | (Month/Day/Tear) below) | | | | | elow) | below) /P, Chief HR Officer | | |
| | | | | Filed(Month/Day/Year) Ap | | | | Individual or Joint/Group Filing(Check pplicable Line) X_ Form filed by One Reporting Person _ Form filed by More than One Reporting | | | |
| NEWPOR | Γ NEWS, VA 236 | 07 | | | | | | Form filed by MC erson | ore than One Rep | orung | |
| (City) | (State) | (Zip) | Tab | ole I - Non- | -Derivative S | ecurit | ies Acqui | red, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution any | Date, if | 3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 05/07/2018 | | | Ι | 1,246.29 (1) | А | \$ 215.84 | 1,340.67 (2) (3) | Ι | By Savings Excess Plan | |
| Common Stock | | | | | | | | 33,609.042 | D | | |
| Common Stock | | | | | | | | 590.46 (<u>4)</u> (<u>5)</u> | I | By 401(k) plan | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: ERMATINGER WILLIAM R - Form 4

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|--------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| ERMATINGER WILLIAM R 4101 WASHINGTON AVENUE NEWPORT NEWS, VA 23607 | | | Ex. VP, Chief HR Officer | | | | |
| Signatures | | | | | | | |
| Kathy S. Owen, Attorney-in-Fact Ermatinger | 05/09/2018 | | | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transaction constitutes an intra-plan transfer in the Huntington Ingalls Industries, Inc. Savings Excess Plan (the "Plan") from one (1) investment option to the HII Stock Fund. The reporting person's interest in the HII Stock Fund is held in the form of units of interest. The
- Plan's administrator calculates the number of shares of issuer common stock represented by units in the HII Stock Fund.
- The reporting person's interest in the HII Stock Fund of the Huntington Ingalls Industries, Inc. Savings Excess Plan (the "Plan") is held in (2) the form of units of interest. The Plan's administrator calculates the number of shares of issuer common stock represented by units in the HII Stock Fund.
- This number includes 94.38 shares that were previously reported in Table II, and 1246.29 shares acquired since the filing of the last Form (3) 4.
- (4) This number includes 590.46 shares that were previously reported in Table II.

Edgar Filing: ERMATINGER WILLIAM R - Form 4

The reporting person's interest in the HII Stock Fund of the Huntington Ingalls Industries, Inc. Savings Plan (the "Plan") is held in the

(5) form of units of interest. The Plan's administrator calculates the number of shares of issuer common stock represented by units in the HII Stock Fund.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.