

RITCHIE BROS AUCTIONEERS INC
Form 4
March 14, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Rijk Jeroen Laurentius Johanus

2. Issuer Name and Ticker or Trading Symbol
RITCHIE BROS AUCTIONEERS INC [RBA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
03/10/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Sr. VP, Managing Director, Eur

C/O RITCHIE BROS.
AUCTIONEERS INC., 9500
GLENLYON PARKWAY
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

BURNABY, A1 V5J 0C6

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			(Instr. 8)	(A)	(D)	Price			
Common Shares	03/10/2017		M	2,623	A	(1)	2,623	D	
Common Shares	03/10/2017		M	178	A	(1)	2,801	D	
Common Shares	03/10/2017		M	3,403	A	(2)	6,204	D	
Common Shares	03/10/2017		M	231	A	(2)	6,435	D	
	03/10/2017		D	6,435	D		0	D	

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Common Shares	\$ 32.72			
Common Shares	17,245	I	Long-Term Investment Plan	
Common Shares	7,487 ⁽³⁾	I	Employee Stock Purchase Plan	
Common Shares	300	I	Held by minor child (daughter)	
Common Shares	300	I	Held by minor child (son)	
Common Shares	2,020	I	Held by spouse	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 3)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
2014 DER PSU ⁽⁴⁾	<u>(1)</u>	03/10/2017		M	178	<u>(1)</u>	<u>(1)</u>	Common Shares	178	\$ 0
2014 PSUs ⁽⁵⁾	<u>(1)</u>	03/10/2017		M	2,623	<u>(1)</u>	<u>(1)</u>	Common Shares	2,623	\$ 0
2014 DER	<u>(2)</u>	03/10/2017		M	231	<u>(2)</u>	<u>(2)</u>	Common Shares	231	\$ 0

