Edgar Filing: HORNBECK OFFSHORE SERVICES INC /LA - Form 4

HORNBECK OFFSHORE SERVICES INC /LA

Form 4

February 22, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

burden hours per

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person **
HORNBECK TODD M

2. Issuer Name **and** Ticker or Trading

Issuer

Symbol

HORNBECK OFFSHORE SERVICES INC /LA [HOS]

(Check all applicable)

(Last)

(First) (Middle)

3. Date of Earliest Transaction

__X__ Director _____ 10% Owner __X__ Officer (give title _____ Other (specify

5. Relationship of Reporting Person(s) to

103 NORTHPARK BOULEVARD,

(Street)

SUITE 300

(Month/Day/Year) 02/17/2017

President & CEO

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person ___ Form filed by More than One Reporting

Person

below)

COVINGTON, LA 70433

(City)	(State) (Z	Cip) Table	I - Non-D	erivative S	ecurit	ies Acqı	uired, Disposed o	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON STOCK	02/17/2017		Code V D	Amount 11,654	(D)	Price (1)	1,069,264	D	
COMMON STOCK	02/17/2017		F	11,533 (2)	D	\$ 4.66	1,057,731	D	
COMMON STOCK	02/17/2017		F	5,103 (2)	D	\$ 4.66	1,052,628	D	
COMON STOCK	02/17/2017		M	5,833	A	<u>(3)</u>	1,058,461	D	
COMMON STOCK	02/17/2017		D	5,833	D	\$ 6.85	1,052,628	D	

Edgar Filing: HORNBECK OFFSHORE SERVICES INC /LA - Form 4

(3)

	_			
COMMON STOCK		42,511 (4)	I	By Limited Partnership
COMMON STOCK		1,650	I	By Wife
COMMON STOCK		20,000 (5)	I	By Family Trusts
COMMON STOCK		70	I	By UTMA custodian for child
COMMON STOCK		220	I	By IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

D =1 = 4! = = = l=!=

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exer	cisable and	7. Title and Am	ount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onof Derivative	Expiration D	ate	Underlying Sec	urities
Security	or Exercise		any	Code	Securities	(Month/Day/	Year)	(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired				
	Derivative				(A) or				
	Security				Disposed of				
					(D)				
					(Instr. 3, 4,				
					and 5)				
									Amount
									or
						Date	Expiration	Title	Number
						Exercisable	Date		of
				Code V	(A) (D)				Shares
PHANTOM	(2)	02/17/2017		M	5 022	(3)	(3)	COMMON	5 022
STOCK	<u>(3)</u>	02/17/2017		M	5,833	(3)	(3)	STOCK	5,833

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
HORNBECK TODD M 103 NORTHPARK BOULEVARD, SUITE 300 COVINGTON, LA 70433	X		President & CEO			

Reporting Owners 2

Edgar Filing: HORNBECK OFFSHORE SERVICES INC /LA - Form 4

Signatures

/s/ Beth A. LaBrosse as POA for Todd M. Hornbeck

02/22/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent the forfeiture of a portion of a performance vest restricted stock unit award granted February 18, 2014.
- (2) Disposition of these shares represents payment of tax liability by delivering or withholding securities incident to the vesting of a restricted stock unit award issued in accordance with Rule 16b-3.
 - Represents the vesting and cash settlement of a time-vest phantom restricted stock unit award with a Grant Date of February 18, 2014.
- (3) Each phantom unit is the economic equivalent of one share of the Company's Common Stock. The phantom shares are payable only in cash based on a 10 day trailing average of the market price of the Company's stock on the vesting date. No shares were actually, issued, converted, or sold in connection with this transaction.
- (4) Represents shares beneficially owned by Reporting Person through a Family Limited Partnership.
- (5) Represents shares beneficially owned by Reporting Person through various family trusts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3