### Edgar Filing: HORNBECK OFFSHORE SERVICES INC /LA - Form 4

#### HORNBECK OFFSHORE SERVICES INC /LA

Form 4

February 22, 2017

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

HORNBECK OFFSHORE

3. Date of Earliest Transaction

SERVICES INC /LA [HOS]

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Giberga Samuel A

(Middle) (First)

103 NORTHPARK BOULEVARD, **SUITE 300** 

(Street) 4. If Amendment, Date Original

Symbol

Filed(Month/Day/Year)

(Month/Day/Year)

02/17/2017

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer

(Check all applicable)

Director 10% Owner Other (specify \_X\_\_ Officer (give title

below)

Executive VP & General Counsel

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

### COVINGTON, LA 70433

(City)	(State) (Zi	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Execution Date, if Transaction(A) or Disposed of any Code (D)		d of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON STOCK	02/17/2017		Code V D	Amount 3,647	(D)	Price (1)	220,111	D	
COMMON STOCK	02/17/2017		F	3,610 (2)	D	\$ 4.66	216,501	D	
COMMON STOCK	02/17/2017		F	1,597 (2)	D	\$ 4.66	214,904	D	
COMMON STOCK	02/17/2017		M	3,033	A	<u>(3)</u>	217,937	D	
COMMON STOCK	02/17/2017		D	3,033	D	\$ 6.85	214,904	D	

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(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number Diof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
PHANTOM STOCK	<u>(3)</u>	02/17/2017		M	3,033	(3)	(3)	COMMON STOCK	3,033

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Giberga Samuel A 103 NORTHPARK BOULEVARD, SUITE 300 COVINGTON, LA 70433

Executive VP & General Counsel

## **Signatures**

/s/ Beth A. LaBrosse as POA for Samuel A. Giberga

02/22/2017

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares represent the forfeiture of a portion of a performance vest restricted stock unit award granted February 18, 2014.
- (2) Disposition of these shares represents payment of tax liability by delivering or withholding securities incident to the vesting of a restricted stock unit award issued in accordance with Rule 16b-3.
- (3) Represents the vesting and cash settlement of a time-vest phantom restricted stock unit award with a Grant Date of February 18, 2014. Each phantom unit is the economic equivalent of one share of the Company's Common Stock. The phantom shares are payable only in

Reporting Owners 2

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cash based on a 10 day trailing average of the market price of the Company's stock on the vesting date. No shares were actually, issued, converted, or sold in connection with this transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.