#### Edgar Filing: HORNBECK OFFSHORE SERVICES INC /LA - Form 4

#### HORNBECK OFFSHORE SERVICES INC /LA

Form 4

February 16, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MCCARTHY TIMOTHY P

2. Issuer Name and Ticker or Trading

Symbol

HORNBECK OFFSHORE SERVICES INC /LA [HOS]

5. Relationship of Reporting Person(s) to

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 02/14/2017

Director 10% Owner Other (specify X\_ Officer (give title

SVP & Chief Human Resources Of

103 NORTHPARK BLVD, SUITE

(Street)

(State)

02/14/2017

300

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

COVINGTON, LA 70433

1. Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if (Instr. 3) Code (Month/Day/Year)

(Zip)

4. Securities Acquired 5. Amount of Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

6. Securities Beneficially Owned Following (Instr. 4)

7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4)

or Code V (D) Price Amount

34,671 A (1)

\$0 134,867 D

**STOCK** 

**COMMON** 

(City)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | SA. Deemed Execution Date, if any (Month/Day/Year) | Transaction<br>Code<br>(Instr. 8) | orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date (Month/Day/Year) |                    | 7. Little and Amount Underlying Securitie (Instr. 3 and 4) |                        |
|--------------------------------------|-----------------------------------------------------------------|--------------------------------------|----------------------------------------------------|-----------------------------------|------------------------------------------------------------------------------|----------------------------------|--------------------|------------------------------------------------------------|------------------------|
|                                      |                                                                 |                                      |                                                    | Code V                            | (A) (D)                                                                      | Date<br>Exercisable              | Expiration<br>Date | Title                                                      | Am<br>or<br>Nu<br>of S |
| PHANTOM<br>STOCK                     | (2)                                                             | 02/14/2017                           |                                                    | A                                 | 34,671                                                                       | 02/14/2018                       | 02/14/2020         | COMMON<br>STOCK                                            | 34                     |
| PHANTOM<br>STOCK                     | (3)                                                             | 02/14/2017                           |                                                    | A                                 | 69,342                                                                       | 02/14/2020                       | 02/14/2020         | COMMON<br>STOCK                                            | 69                     |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MCCARTHY TIMOTHY P 103 NORTHPARK BLVD, SUITE 300 COVINGTON, LA 70433

SVP & Chief Human Resources Of

5 Number of 6 Date Evereisable and

## **Signatures**

/s/ Beth A. LaBrosse as POA for Timothy P.
McCarthy

\*\*Signature of Reporting Person Date

3 Transaction Data 3A Danmad

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These time-vest restricted stock unit awards will vest in three equal annual installments on the 1st, 2nd and 3rd anniversaries of the Grant Date.

02/16/2017

- These time-vest phantom restricted stock unit awards will vest in three equal annual installments on the 1st, 2nd and 3rd anniversaries of (2) the Grant Date. Each is the economic equivalent of one share of the Company's Common Stock. These phantom shares are payable in either stock or cash at the election of the Company.
- These performance-vest phantom restricted stock awards can vest in whole or in part on the 3rd anniversary of the Grant Date based upon the Company achieving certain levels of specified performance objectives. The number of units listed above represent the maximum amount that may be earned, or 150% of the target grant. Each is the economic equivalent of one share of the Company's Common Stock. These phantom shares are payable in either stock or cash at the election of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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