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HORNBECK OFFSHORE SERVICES INC /LA

Form 4

February 16, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB

3235-0287 Number:

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if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * ANNESSA CARL G

2. Issuer Name and Ticker or Trading

HORNBECK OFFSHORE SERVICES INC /LA [HOS] (Check all applicable)

(Middle) (Last) (First)

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner X_ Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

103 NORTHPARK BOULEVARD. **SUITE 300**

(Street)

(State)

(Zip)

02/14/2017

Symbol

6. Individual or Joint/Group Filing(Check

Executive Vice President & COO

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

COVINGTON, LA 70433

(City)

		Table 1-Non-Derivative Securities Acquired, Disposed 61, of Beneficiary Owned								
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ies Ac	quired	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			Securities	Ownership	Indirect		
(Instr. 3)		any	Code	(D)			Beneficially	Form: Direct	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4	4 and 5	5)	Owned	(D) or	Ownership	
							Following	Indirect (I)	(Instr. 4)	
					()		Reported	(Instr. 4)		
					(A)		Transaction(s)			
			C 1 W		or	ъ.	(Instr. 3 and 4)			
G01 (1 (0))			Code V	Amount	(D)	Price				
COMMON	02/14/2017		A	50,431	A	\$0	345,514	D		
STOCK	02/14/2017		11	(1)	<i>1</i> 1	ΨΟ	343,314	Ъ		
G01 (1 (0))										
COMMON							5,000	ī	By IRA	
STOCK							3,000	1	Dy IIII	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Date, if TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	A N Sl
PHANTOM STOCK	(2)	02/14/2017		A	50,431	02/14/2018	02/14/2020	COMMON STOCK	4
PHANTOM STOCK	(3)	02/14/2017		A	100,862	02/14/2020	02/14/2020	COMMON STOCK	1

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

ANNESSA CARL G 103 NORTHPARK BOULEVARD, SUITE 300 COVINGTON, LA 70433

Executive Vice President & COO

Signatures

/s/ Beth A. LaBrosse, as POA for Carl G.

Annessa 02/16/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These time-vest restricted stock unit awards will vest in three equal annual installments on the 1st, 2nd and 3rd anniversaries of the Grant Date.
- These time-vest phantom restricted stock unit awards will vest in three equal annual installments on the 1st, 2nd and 3rd anniversaries of (2) the Grant Date. Each is the economic equivalent of one share of the Company's Common Stock. These phantom shares are payable in either stock or cash at the election of the Company.
- These performance-vest phantom restricted stock awards can vest in whole or in part on the 3rd anniversary of the Grant Date based upon the Company achieving certain levels of specified performance objectives. The number of units listed above represent the maximum amount that may be earned, or 150% of the target grant. Each is the economic equivalent of one share of the Company's Common Stock. These phantom shares are payable in either stock or cash at the election of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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