Edgar Filing: WisdomTree Investments, Inc. - Form 4

WisdomTree Investments, Inc. Form 4 January 30, 2017

January 30, 2	017										
FORM 4 LINITED STATES SECURITIES AND EXCHANCE COM									OMB APPROVAL		
Washington, D.C. 20549									OMB Number:	3235-0287	
Check this if no long subject to Section 10 Form 4 or	 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 6. SECURITIES 5. SECURITIES 								Expires: Estimated a burden hou response	•	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type R	lesponses)										
1. Name and A Siracusano L	2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer					
		WisdomTree Investments, Inc. [WETF]					(Check all applicable)				
(Last)	(Month/Day/Year)						Director 10% Owner Officer (give title Other (specify below) below)				
C/O WISDO INVESTME AVENUE, 3	01/27/2017					Chief Investment Strategist					
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 						
NEW YORK, NY 10167								Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecurit	ies Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)		n Date, if	Date, if Transaction(A) or Disposed of Code (D)				SecuritiesIBeneficially0OwnedI	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	01/27/2017			F	19,313 (1)	D	\$0	471,925 <u>(2)</u>	D		
Common Stock	01/28/2017			F	5,257 (1)	D	\$0	466,668 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Unde Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Siracusano Luciano III C/O WISDOMTREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR NEW YORK, NY 10167			Chief Investment Strategist					
Signatures								
/s/ Marci Frankenthaler, Attorney-in-Fact	01/30/20	17						
<u>**</u> Signature of Reporting Person	Date							
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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Surrender of common stock to Issuer upon vesting of restricted stock award to cover withholding taxes.
- Includes restricted stock awards which vest as to (i) 14,219 shares on January 28, 2017, (ii) 14,224 shares on January 28, 2018, (iii)
 (2) 52,238 shares on January 27, 2018, (iv) 52,237 shares on January 27, 2019 and (v) 3,447 shares on each of January 25, 2018, 2019 and 2020.
- (3) Includes restricted stock awards which vest as to (i) 14,224 shares on January 28, 2018, (ii) 52,238 shares on January 27, 2018, (iii) 52,237 shares on January 27, 2019 and (iv) 3,447 shares on each of January 25, 2018, 2019 and 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.