CAVIUM, INC. Form 4 August 18, 2016

## FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Expires: January 31,

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

Form 5

obligations

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Hussain Muhammad Raghib Issuer Symbol CAVIUM, INC. [CAVM] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify C/O CAVIUM, INC., 2315 N. 08/16/2016 below) below) FIRST STREET Chief Operating Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN JOSE, CA 95131 Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Direct (D) Ownership (Instr. 8) Owned Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price See

Common stock 08/16/2016 P  $\frac{20,000}{(1)}$  A  $\frac{\$}{51.855}$  327,910 I  $\frac{(2)}{(3)}$  Footnote  $\frac{(3)}{(3)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: CAVIUM, INC. - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                     | 6. Date Exer | cisable and      | 7. Title and | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------------------|--------------|------------------|--------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |                        | Expiration D | ate              | Amount of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of                     | (Month/Day/  | Year)            | Underlying   | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivativ              | e            |                  | Securities   | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities<br>Acquired |              | (Instr. 3 and 4) | )            | Owne        |        |
|             | Security    |                     |                    |                   |                        |              |                  |              | Follo       |        |
|             | •           |                     |                    |                   | (A) or                 |              |                  |              |             | Repo   |
|             |             |                     |                    |                   | Disposed               |              |                  |              |             | Trans  |
|             |             |                     |                    |                   | of (D)                 |              |                  |              |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3,             |              |                  |              |             |        |
|             |             |                     |                    |                   | 4, and 5)              |              |                  |              |             |        |
|             |             |                     |                    |                   |                        |              |                  | Amoun        | t           |        |
|             |             |                     |                    |                   |                        |              |                  | or           | ·           |        |
|             |             |                     |                    |                   |                        | Date         | Expiration       | Title Numbe  | r           |        |
|             |             |                     |                    |                   |                        | Exercisable  | Date             | of           |             |        |
|             |             |                     |                    | Code V            | (A) (D)                |              |                  | Shares       |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Hussain Muhammad Raghib C/O CAVIUM, INC. 2315 N. FIRST STREET SAN JOSE, CA 95131

**Chief Operating Officer** 

### **Signatures**

/s/ Muhammad Raghib 08/18/2016 Hussain

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 6,000 shares were held in multiple family trusts.
- (2) Both direct and indirect holding. See footnote 3.
- Of the amount of securities beneficially owned following reported transaction, 36,000 shares are owned indirectly by the reporting person through multiple family trusts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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