

HOME BANCSHARES INC

Form 4

April 18, 2014

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Davis Brian

2. Issuer Name **and** Ticker or Trading  
Symbol  
HOME BANCSHARES INC  
[HOMB]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/17/2014

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Chief Accounting Officer

P.O. BOX 966

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

CONWAY, AR

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)     | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                           |                                         |                                                             | Code                                 | V                                                                          | Amount                                                                                                             | (A)<br>or<br>(D)                                                     | Price                                                             |
| Common<br>Stock                           |                                         |                                                             |                                      |                                                                            | 3,924                                                                                                              | D                                                                    |                                                                   |
| Common<br>Stock -<br>Restricted           |                                         |                                                             |                                      |                                                                            | 2,000 <u>(6)</u> <u>(8)</u>                                                                                        | D                                                                    |                                                                   |
| Common<br>Stock -<br>Performance<br>Based |                                         |                                                             |                                      |                                                                            | 3,000 <u>(7)</u>                                                                                                   | D                                                                    |                                                                   |
| Common<br>Stock                           |                                         |                                                             |                                      |                                                                            | 3,564                                                                                                              | I                                                                    | By IRA                                                            |

# Edgar Filing: HOME BANCSHARES INC - Form 4

Common Stock 1,090.973 <sup>(1)</sup> I By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|-------------------------------|
| Stock Option                               | \$ 33.54                                               | 04/17/2014                           |                                                    | G                              | 10,000                                                                                  | <sup>(9)</sup> 04/16/2024                                | Common Stock                                                  | 10,000                        |
| Stock Option                               | \$ 5.33                                                |                                      |                                                    |                                |                                                                                         | <sup>(3)</sup> <sup>(4)</sup>                            | Common Stock                                                  | 3,564                         |
| Stock Option                               | \$ 11.37                                               |                                      |                                                    |                                |                                                                                         | <sup>(5)</sup> 10/16/2018                                | Common Stock                                                  | 6,600                         |
| Stock Option                               | \$ 9.31                                                |                                      |                                                    |                                |                                                                                         | <sup>(2)</sup> 08/28/2017                                | Common Stock                                                  | 3,880                         |

## Reporting Owners

| Reporting Owner Name / Address            | Relationships                    |
|-------------------------------------------|----------------------------------|
|                                           | Director 10% Owner Officer Other |
| Davis Brian<br>P.O. BOX 966<br>CONWAY, AR | Chief Accounting Officer         |

## Signatures

/s/ Brian Davis by Rachel Wesson 04/18/2014

Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes a net decline of 20.584 shares through the Home BancShares, Inc. 401(k) Plan since the reporting person's last filing. This decline is due to a mandatory refund from the Home BancShares, Inc. 401(k) Plan.
- (2) The option is exercisable in five equal annual installments. The first installment became exercisable on August 29, 2008.
- (3) The option is exercisable in five equal annual installments. The first installment became exercisable on November 22, 2005.
- (4) The option expires 10 years from the exercisable date, therefore the first installment became exercisable on November 22, 2005 and expires on November 22, 2015.
- (5) The option is exercisable in five equal annual installments. The first installment became exercisable on October 17, 2009.
- (6) Restricted Stock granted on August 2, 2012 will "cliff" vest 100% three years from award date.
- (7) The Performance Stock awarded on August 2, 2012 will "cliff" vest on the third annual anniversary of the date that the performance goal is met. The performance goal was met on September 30, 2013 and will therefore "cliff" vest 100% on September 30, 2016.
- (8) Restricted Stock granted on February 2, 2011 will vest in 33 1/3% installments over three years each February 2nd.
- (9) The option is exercisable in five equal annual installments. The first installment becomes exercisable on April 17, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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