KROGER CO Form 3 March 18, 2013

#### FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement KROGER CO [KR] Clark Robert W (Month/Day/Year) 03/14/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1014 VINE STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer \_Other Person CINCINNATI. OHÂ 45202 (give title below) (specify below) Form filed by More than One Group Vice President Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 24,537.2405 (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	•		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	Ownership	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	(mail: 3)	

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				Shares		(I) (Instr. 5)	
Non-Qualified Stock Option	(2)	05/06/2014	Common Stock	9,000	\$ 17.31	D	Â
Non-Qualified Stock Option	(2)	05/04/2016	Common Stock	6,000	\$ 19.94	D	Â
Non-Qualified Stock Option	(2)	06/28/2017	Common Stock	7,200	\$ 28.27	D	Â
Non-Qualified Stock Option	(2)	06/26/2018	Common Stock	5,500	\$ 28.61	D	Â
Non-Qualified Stock Option	(2)	06/25/2019	Common Stock	7,250	\$ 22.34	D	Â
Non-Qualified Stock Option	(2)	06/24/2020	Common Stock	7,250	\$ 20.16	D	Â
Non-Qualified Stock Option	(2)	06/24/2020	Common Stock	3,500	\$ 20.16	D	Â
Non-Qualified Stock Option	(2)	09/16/2020	Common Stock	3,500	\$ 21.88	D	Â
Non-Qualified Stock Option	(2)	06/23/2021	Common Stock	7,250	\$ 24.74	D	Â
Non-Qualified Stock Option	(2)	06/23/2021	Common Stock	4,000	\$ 24.74	D	Â
Non-Qualified Stock Option	(2)	12/08/2021	Common Stock	5,000	\$ 23.52	D	Â
Non-Qualified Stock Option	(2)	07/12/2022	Common Stock	8,000	\$ 21.96	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director 10% Own		Officer	Other		
Clark Robert W 1014 VINE STREET CINCINNATI. OH 45202	Â	Â	Group Vice President	Â		

# **Signatures**

/s/ Robert W. Clark	03/18/2013		
**Signature of Reporting Person	Date		

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The total amount of securities directly owned by the reporting person includes shares in the Company's employee benefit plans that are deemed to be 'tax-conditioned plans' pursuant to Rule 16b-3, to the extent disclosed on reports received from plan trustees.
- (2) These options were granted under a long-term incentive plan of The Kroger Co. and vest in equal annual installments in whole amounts over a five-year period, at the rate of 20% per year commencing one year from the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.