

MALIS JERRY L
Form 4
January 25, 2011

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MALIS JERRY L

(Last) (First) (Middle)

**3845 CORPORATE CENTRE
DRIVE**

(Street)

O'FALLON, MO 63368

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

SYNERGETICS USA INC [SURG]

3. Date of Earliest Transaction
(Month/Day/Year)

01/21/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chief Scientific Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	01/21/2011		S <u>(1)</u>		493	D	\$ 4.65	880,034	D
Common Stock	01/24/2011		S <u>(1)</u>		15,200	D	\$ 4.65	864,834	D
Common Stock	01/24/2011		S <u>(1)</u>		5,300	D	\$ 4.655	859,534	D
Common Stock	01/24/2011		S <u>(1)</u>		1,300	D	\$ 4.66	858,234	D
Common Stock	01/24/2011		S <u>(1)</u>		400	D	\$ 4.6625	857,834	D

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Common Stock	01/24/2011	S ⁽¹⁾	4,600	D	\$ 4.67	853,234	D
Common Stock	01/24/2011	S ⁽¹⁾	300	D	\$ 4.675	852,934	D
Common Stock	01/24/2011	S ⁽¹⁾	300	D	\$ 4.6798	852,634	D
Common Stock	01/24/2011	S ⁽¹⁾	1,289	D	\$ 4.68	851,345	D
Common Stock	01/24/2011	S ⁽¹⁾	200	D	\$ 4.6801	851,145	D
Common Stock	01/24/2011	S ⁽¹⁾	400	D	\$ 4.69	850,745	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Report Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
MALIS JERRY L 3845 CORPORATE CENTRE DRIVE O'FALLON, MO 63368	X Chief Scientific Officer

Signatures

/s/ Jerry L.
Malis

01/25/2011

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on January 11, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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