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WADDELL & REED FINANCIAL INC

Form 4

January 04, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

5. Relationship of Reporting Person(s) to

Issuer

3235-0287

January 31, Expires:

OMB APPROVAL

2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

Class A

Class A

Class A

Class A

Common

Common

Common

Common

(Print or Type Responses)

REIMER RONALD C

1. Name and Address of Reporting Person *

01/04/2010

01/04/2010

| | | | WADDELL & REED FINANCIAL INC [WDR] | | | | (Check all applicable) | | | |
|-------------------------------------------------------------------------------------------------------|--------------------------------------------------|--|----------------------------------------------------|-----------------------------------------------|---------------------------------------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------------|--|
| | | | e of Earliest Transaction h/Day/Year) h/2010 | | | | _X_ Director 10% Owner Officer (give title below) Other (specify below) | | | |
| | If Amendment, Date Original iled(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| OVERLAND PARK, KS 66202 — Form filed by More than One Reporting Person | | | | | | | Reporting | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Dat (Month/Day/Year) | | Code | 4. SecurionAcquirec Disposed (Instr. 3, | (A) or (C) (A) or |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Class A Common | 01/04/2010 | | A | 3,997 | A | \$0 | 22,698 | D | | |

 $G_{(1)}^{(1)}$ V 6,134

V 6,134

D

Α

\$0

\$0

16,564

18,012

1,000

11,489

D

Ι

I

I

Family

LLC

Investment

Pension Plan

Account

Family Charitable

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Foundation

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired | | ate | 7. Titl Amou Under Securi (Instr. | nt of lying | 8. Price of Derivative Security (Instr. 5) |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|----------------------------------------|--------------------------------------------------------------|---------------------|--------------------|-----------------------------------------------|----------------------------------------|-----------------------------------------------------|
| | · | | | (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | | |
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| • 0 | Director | 10% Owner | Officer | Other | | |
| REIMER RONALD C | | | | | | |
| 6300 LAMAR AVENUE | X | | | | | |
| OVERLAND PARK, KS 66202 | | | | | | |
| 0 ' | | | | | | |

Signatures

Ronald C. 01/04/2010 Reimer Date

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer of shares to family investment LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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