SCOTTS MIRACLE-GRO CO

Form 4

December 13, 2013

| December 13 | , 2013 | | | | | | | | | | |
|---|---------------------|---------------|---|------------------|----------------|---|--|------------------------------------|-------------------------|--|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | OMB APPROVAL | | | | |
| Washington, D.C. 20549 | | | | | OMB Number: | 3235-0287 | | | | | |
| Check thi if no long | | , | | | | | Expires: | January 31, | | | |
| subject to | ENT OF | | | | CIAL OV | WNERSHIP OF | Estimated | 2005 average | | | |
| Section 10 Form 4 or | | | SECURITIES | | | | | burden hou | urs per | | |
| Form 5 | | nant to S | Section 16 | o(a) of the | Securiti | es Exchai | nge Act of 1934, | response | . 0.5 | | |
| obligation | Section 17(a) | | | | | | of 1935 or Section | n | | | |
| may conti <i>See</i> Instru | | 30(h) | of the Inv | estment (| Company | Act of 1 | 940 | | | | |
| 1(b). | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person * 2. I | | | | Name and | Ticker or T | Γrading | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| HAGEDOR | N JAMES | | Symbol | | | | | | | | |
| | | | SCOTTS MIRACLE-GRO CO [SMG] | | | | (Check all applicable) | | | | |
| (Last) | (First) (M | iddle) | | Earliest Tra | nsaction | | _X_ Director _X_ Officer (giv | _X_10 | % Owner ner (specify | | |
| C/O THE SO | COTTS MIRACL | E CPO | | (Month/Day/Year) | | | below) | below) below) | | | |
| | , 14111 SCOTTS | | 12/11/20 | 2/11/2013 | | | Cha | irman and CEC |) | | |
| ROAD | , | | | | | | | | | | |
| | (Street) | | 4. If Amen | ndment, Dat | e Original | | 6. Individual or J | oint/Group Fili | ng(Check | | |
| | | | Filed(Month/Day/Year) | | | Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| MADVCVII | I E OH 42041 | | | | | | | One Reporting P More than One R | | | |
| MAKISVIL | LE, OH 43041 | | | | | | Person | | | | |
| (City) | (State) (Z | Zip) | Table | I - Non-Do | erivative S | Securities A | cquired, Disposed o | f, or Beneficia | lly Owned | | |
| 1.Title of | 2. Transaction Date | | | 3. | 4. Securit | | 5. Amount of | 6. Ownership | | | |
| Security (Instr. 3) | (Month/Day/Year) | Execution any | on Date, if TransactionAcquired (A) or Code Disposed of (D) | | | Securities Beneficially | Form: Direct Indirect (D) or Benefit | Indirect Beneficial | | | |
| | | | Day/Year) (Instr. 8) (Instr. 3, 4 and 5) | | | Owned | ` / | Ownership | | | |
| | | | | | | | Following Reported | (Instr. 4) | (Instr. 4) | | |
| | | | | | | (A) | Transaction(s) | | | | |
| | | | | Code V | Amount | or (D) Price | e (Instr. 3 and 4) | | | | |
| Common | | | | | | | 35,843.728 | D | | | |
| Shares | | | | | | | 33,013.720 | D | | | |
| Common | | | | | | | 35,701.75 | I | By 401(K) | | |
| Shares | | | | | | | 33,701.73 | 1 | Plan | | |
| Common | | | | | | | 2,427,726 | I | HPLP (1) | | |
| Shares | | | | | | | 2,427,720 | 1 | III Lr (5) | | |
| Common | | | | | | | 5,970.505 | I | By DSPP | | |
| Shares | | | | | | | 3,910.303 | 1 | Dy Dorr | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8 II S (|
|---|---|---|---------------------------------------|--|--|--|--------------------|---|-------------------------------------|----------|
| | | | Code V | (A) (| | ate xercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | <u>(2)</u> | 12/11/2013 | A | 30,131 | | (3) | (3) | Common Shares | 30,131 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| HAGEDORN JAMES C/O THE SCOTTS MIRACLE-GRO COMPANY 14111 SCOTTSLAWN ROAD MARYSVILLE, OH 43041 | X | X | Chairman and CEO | | | |

Signatures

Kathy L. Uttley as attorney-in-fact for James
Hagedorn
12/13/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to Exchange Act Rule 16a-1(a)(1), the reporting person may be deemed, solely for purposes of determining whether he is a beneficial owner of more than 10% of the common shares of the Issuer ("Common Shares"), to be the beneficial owner of the securities of
- (1) the Issuer that are held by Hagedorn Partnership, L.P., a Delaware limited partnership in which the reporting person is a general partner (the "Partnership"). Represents the aggregate proportionate interest of the reporting person and those family members in whose holdings he may be deemed to have a pecuniary interest, in Common Shares held by the Partnership.
- (2) The restricted stock units were granted, together with an equal number of related dividend equivalents, under The Scotts Miracle-Gro Company Long-Term Incentive Plan, and subject to the terms and conditions of an individual award agreement. Each whole restricted stock unit represents a contingent right to receive one common share of The Scotts Miracle-Gro Company. Each dividend equivalent

Reporting Owners 2

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represents a contingent right to receive cash in respect of dividends that are declared and paid during the period beginning on the grant date and ending on the settlement date with respect to the common share of The Scotts Miracle-Gro Company represented by the related restricted stock unit.

The restricted stock units are scheduled to vest on December 11, 2016. Subject to the terms of The Scotts Miracle-Gro Company

(3) Long-Term Incentive Plan, vested restricted stock units will be settled in common shares of The Scotts Miracle-Gro Company as soon as administratively practicable, but no later than 90 days, following vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.