

INTERNATIONAL BUSINESS MACHINES CORP

Form 3

January 09, 2015

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â KRISHNA ARVIND

(Last) (First) (Middle)

IBM CORPORATION,Â 294
ROUTE 100

(Street)

SOMERS,Â NYÂ 10589

(City) (State) (Zip)

2. Date of Event Requiring
Statement(Month/Day/Year)
01/01/20153. Issuer Name **and** Ticker or Trading SymbolINTERNATIONAL BUSINESS MACHINES CORP
[IBM]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Senior Vice President6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

1,064.624

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)
Title4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Rst. Stock Unit	Â (1)	Â (1)	Common Stock	4,000	\$ 0	D	Â
Rst. Stock Unit	Â (2)	Â (2)	Common Stock	3,713	\$ 0	D	Â
Rst. Stock Unit	Â (3)	Â (3)	Common Stock	15,874	\$ 0	D	Â
Rst. Stock Unit	Â (4)	Â (4)	Common Stock	7,813	\$ 0	D	Â
Phantom Stock Unit	Â (5)	Â (5)	Common Stock	5,877	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KRISHNA ARVIND IBM CORPORATION 294 ROUTE 100 SOMERS, NY 10589	Â	Â	Â Senior Vice President	Â

Signatures

D. Cummins on behalf of A.
Krishna

01/09/2015

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 22, 2015.

(2) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 18, 2017.

(3) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 17, 2018.

(4) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 22, 2019.

Phantom stock units acquired under the IBM Excess 401(k) Plus Plan convert to the cash value of the company's common stock on a one-for-one basis, and distribution is deferred until separation from the company. The reporting person may transfer some of these phantom stock units into an alternative investment account under such plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.