#### Edgar Filing: ENANTA PHARMACEUTICALS INC - Form 4

#### ENANTA PHARMACEUTICALS INC

Form 4

October 11, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* SAINTS CAPITAL GRANITE, L.P. 2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

below)

Symbol

**ENANTA PHARMACEUTICALS** 

(Check all applicable)

INC [ENTA]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction

\_X\_\_ 10% Owner Director Officer (give title \_\_\_ Other (specify

(Month/Day/Year) 10/09/2013

C/O SAINTS CAPITAL SERVICES, LLC, 475 SANSOME

STREET, SUITE 1850

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

SAN FRANCISCO, CA 94111

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secui	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or Dispos (Instr. 3, 4	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/09/2013		S	236	D	\$ 22	17,315	I	See Footnote (1)
Common Stock	10/09/2013		S	2,030	D	\$ 22	148,975	I	See Footnote
Common Stock	10/09/2013		S	2,835	D	\$ 22	208,046	I	See Footnote (3)

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Common Stock	10/09/2013	S	19,899	D	\$ 22	1,460,339	I	See Footnote (4)
Common Stock	10/10/2013	S	106	D	\$ 21.0563	17,209	I	See Footnote
Common Stock	10/10/2013	S	910	D	\$ 21.0563	148,065	I	See Footnote
Common Stock	10/10/2013	S	1,271	D	\$ 21.0563	206,775	I	See Footnote (3)
Common Stock	10/10/2013	S	8,920	D	\$ 21.0563	1,451,419	I	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.		5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transa	ctio	rNumber	Expiration D	ate	Amou	int of	Derivative
Security	or Exercise		any	Code		of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr.	8)	Derivative	•		Securi	ities	(Instr. 5)
	Derivative					Securities			(Instr.	3 and 4)	
	Security					Acquired					
						(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
										A 4	
										Amount	
							Date	Expiration	Title	or Number	
						]	Exercisable	Date	ritte	of	
				C- 1-	<b>T</b> 7	(A) (D)					
				Code	V	(A) (D)				Shares	

# **Reporting Owners**

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
SAINTS CAPITAL GRANITE, L.P. C/O SAINTS CAPITAL SERVICES, LLC		X				

Reporting Owners 2

475 SANSOME STREET, SUITE 1850
SAN FRANCISCO, CA 94111

Saints Capital Granite, LLC C/O SAINTS CAPIATL SERVICES, LLC 475 SANSOME STREET, SUITE 1850 SAN FRANCISCO, CA 94111	X
mRNA - Holdings LLC C/O SAINTS CAPITAL SERVICES, LLC 475 SANSOME STREET, SUITE 1850 SAN FRANCISCO, CA 94111	X
OBP (Adjunct) III - Holdings LLC C/O SAINTS CAPITAL SERVICES, LLC 475 SANSOME STREET, SUITE 1850 SAN FRANCISCO, CA 94111	X
OBP (Bermuda) III - Holdings LLC C/O SAINTS CAPITAL SERVICES, LLC 475 SANSOME STREET, SUITE 1850 SAN FRANCISCO, CA 94111	X
OBP III - Holdings LLC C/O SAINTS CAPITAL SERVICES, LLC 475 SANSOME STREET, SUITE 1850 SAN FRANCISCO, CA 94111	X

Holdings LLC

Signatures	
Saints Capital Grainte, L.P., By: Saints Capital Granite, LLC, its General Partner, By: Kenneth B. Sawyer, Managing Member	10/11/2013
**Signature of Reporting Person	Date
Saints Capital Granite, LLC, By: Kenneth B. Sawyer, Managing Member	10/11/2013
**Signature of Reporting Person	Date
mRNA - Holdings LLC, By: Kenneth B. Sawyer, Managing Member of Saints Capital Granite, LLC, General Partner of Saints Capital Granite, L.P., a Member of mRNA - Holdings LLC	10/11/2013
**Signature of Reporting Person	Date
OBP (Adjunct) III - Holdings LLC, By: Kenneth B. Sawyer, Managing Member of Saints Capital Granite, LLC, General Partner of Saints Capital Granite, L.P., a Member of OBP (Adjunct) III - Holdings LLC	10/11/2013
**Signature of Reporting Person	Date
OBP (Bermuda) III - Holdings LLC, By: Kenneth B. Sawyer, Managing Member of Saints Capital Granite, LLC, General Partner of Saints Capital Granite, L.P., a Member of OBP (Bermuda) III - Holdings LLC	10/11/2013
**Signature of Reporting Person	Date
OBP III - Holdings LLC, By: Kenneth B. Sawyer, Managing Member of Saints Capital Granite, LLC, General Partner of Saints Capital Granite, L.P., a Member of OBP III - Holdings LLC	10/11/2013

Signatures 3 \*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The shares are directly owned by mRNA Holdings LLC ("mRNA"). Saints Capital Granite, L.P. ("Saints LP") is a member of mRNA and has voting and investment control with respect to the securities owned directly by mRNA. Saints Capital Grainte, LLC ("Saints
- (1) LLC") is the general partner of Saints LP. Saints LP and Saints LLC disclaim beneficial ownership of these securities, except to the extent of its pecuniary interest therein, if any, and this report shall not be deemed an admission that such Reporting Persons are the beneficial owners of such securities for Section 16 or any other purpose.
  - The shares are directly owned by OBP (Adjunct) III Holdings LLC ("OBP (A) III"). Saints Capital Granite, L.P. ("Saints LP") is a member of OBP (A) III and has voting and investment control with respect to the securities owned directly by OBP (A) III. Saints Capital
- (2) Grainte, LLC ("Saints LLC") is the general partner of Saints LP. Saints LP and Saints LLC disclaim beneficial ownership of these securities, except to the extent of its pecuniary interest therein, if any, and this report shall not be deemed an admission that such Reporting Persons are the beneficial owners of such securities for Section 16 or any other purpose.
  - The shares are directly owned by OBP (Bermuda) III Holdings LLC ("OBP (B) III"). Saints Capital Granite, L.P. ("Saints LP") is a member of OBP (B) III and has voting and investment control with respect to the securities owned directly by OBP (B) III. Saints Capital
- (3) Grainte, LLC ("Saints LLC") is the general partner of Saints LP. Saints LP and Saints LLC disclaim beneficial ownership of these securities, except to the extent of its pecuniary interest therein, if any, and this report shall not be deemed an admission that such Reporting Persons are the beneficial owners of such securities for Section 16 or any other purpose.
  - The shares are directly owned by OBP III Holdings LLC ("OBP III"). Saints Capital Granite, L.P. ("Saints LP") is a member of OBP III and has voting and investment control with respect to the securities owned directly by OBP III. Saints Capital Grainte, LLC ("Saints
- (4) LLC") is the general partner of Saints LP. Saints LP and Saints LLC disclaim beneficial ownership of these securities, except to the extent of its pecuniary interest therein, if any, and this report shall not be deemed an admission that such Reporting Persons are the beneficial owners of such securities for Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.