KID BRANDS, INC Form 4 July 12, 2013

## FORM 4

## **OMB APPROVAL**

Expires:

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

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January 31,

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Horowitz Frederick J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

KID BRANDS, INC [KID]

(Check all applicable)

C/O KID BRANDS, INC., ONE

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 07/10/2013

X\_ Director 10% Owner Officer (give title Other (specify below)

MEADOWLANDS PLAZA, 8TH **FLOOR** 

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

EAST RUTHERFORD, NJ 07073

(State)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Beneficially (D) or Owned Following Reported

Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Indirect

Common

value

Stock. 07/10/2013 \$0.10 stated

5,000 (1)

Code V Amount

\$0

(D) Price

(A)

15,000 <sup>(2)</sup>

Transaction(s) (Instr. 3 and 4)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and An Underlying Sec (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
STOCK APPRECIATION RIGHT	\$ 1.53	07/10/2013		A	15,000	(3)	07/10/2023	COMMON STOCK

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Horowitz Frederick J C/O KID BRANDS, INC. ONE MEADOWLANDS PLAZA, 8TH FLOOR EAST RUTHERFORD, NJ 07073

X

# **Signatures**

/s/ Frederick J. 07/12/2013 Horowitz

\*\*Signature of Reporting Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units ("RSUs") granted on July 10, 2013 pursuant to the Issuer's Equity Incentive Plan (the "EIP"), which vest ratably over a five-year period commencing July 10, 2014.
- Includes: (i) 5,000 unvested RSUs granted on August 14, 2012 pursuant to the EIP, which vest ratably over a five-year period commencing August 14, 2013; and (ii) 4,000 unvested RSUs (out of an original grant of 5,000 RSUs on July 19, 2011 pursuant to the EIP, which vest ratably over a five-year period commencing July 19, 2012).
- (3) Represents Stock Appreciation Rights ("SARs") granted on July 10, 2013 pursuant to the EIP, which vest ratably over a five-year period commencing July 10, 2014.
  - Includes: (i) 14,250 SARs granted on August 14, 2012 pursuant to the EIP at an exercise price of \$1.41 per share, which vest ratably over a five-year period commencing August 14, 2013 and expire on August 14, 2022; (ii) 14,250 SARs granted on July 19, 2011 pursuant to
- (4) the EIP at an exercise price of \$5.17 per share, which vest ratably over a five-year period commencing July 19, 2012, and expire on July 19, 2021; and (iii) 15,000 SARs granted on July 15, 2010 pursuant to the EIP at an exercise price of \$8.17 per share, which vest ratably over a five-year period commencing July 15, 2011 and expire on July 15, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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