

WAITE CHARLES P JR
 Form 4
 November 16, 2010

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL
 OMB Number: 3235-0287
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 WAITE CHARLES P JR

2. Issuer Name and Ticker or Trading Symbol
 COMPLETE GENOMICS INC
 [GNOM]

5. Relationship of Reporting Person(s) to Issuer
 (Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
 11/16/2010

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O OVP VENTURE PARTNERS, 1010 MARKET STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

KIRKLAND, WA 98033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock, par value \$0.001	11/16/2010		C		309,433	A	(1)	309,433	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010		C		252,521	A	(3)	561,954	I	See Footnote (2)
Common Stock, par	11/16/2010		C		173,548	A	(4)	735,502	I	See Footnote

Edgar Filing: WAITE CHARLES P JR - Form 4

value \$0.001								(2)
Common Stock, par value \$0.001	11/16/2010	C	722,185	A	Ⓔ	1,457,687	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	C	828,829	A	Ⓔ	2,286,516	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	X	444,591	A	\$ 1.5	2,731,107	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	F	74,099	D	\$ 9	2,657,008	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	X	31,717	A	\$ 7.56	2,688,725	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	F	26,643	D	\$ 9	2,662,082	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	X	33,832	A	\$ 7.56	2,695,914	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	F	28,419	D	\$ 9	2,667,495	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	X	11,258	A	\$ 7.56	2,678,753	I	See Footnote (2)
Common Stock, par value \$0.001	11/16/2010	F	9,457	D	\$ 9	2,669,296	I	See Footnote (2)
Common Stock, par value	11/16/2010	X	1,088	A	\$ 7.56	2,670,384	I	See Footnote (2)

Edgar Filing: WAITE CHARLES P JR - Form 4

\$0.001 Common Stock, par value \$0.001	11/16/2010	F	914	D	\$ 9	2,669,470	I	See Footnote (2)
\$0.001 Common Stock, par value \$0.001	11/16/2010	C	6,313	A	(1)	6,313	I	See Footnote (7)
\$0.001 Common Stock, par value \$0.001	11/16/2010	C	5,150	A	(3)	11,463	I	See Footnote (7)
\$0.001 Common Stock, par value \$0.001	11/16/2010	C	3,535	A	(4)	14,998	I	See Footnote (7)
\$0.001 Common Stock, par value \$0.001	11/16/2010	C	9,477	A	(5)	24,475	I	See Footnote (7)
\$0.001 Common Stock, par value \$0.001	11/16/2010	C	4,767	A	(5)	29,242	I	See Footnote (7)
\$0.001 Common Stock, par value \$0.001	11/16/2010	X	3,134	A	\$ 1.5	32,376	I	See Footnote (7)
\$0.001 Common Stock, par value \$0.001	11/16/2010	F	523	D	\$ 9	31,853	I	See Footnote (7)
\$0.001 Common Stock, par value \$0.001	11/16/2010	X	647	A	\$ 7.56	32,500	I	See Footnote (7)
\$0.001 Common Stock, par value \$0.001	11/16/2010	F	544	D	\$ 9	31,956	I	See Footnote (7)
\$0.001 Common Stock, par value \$0.001	11/16/2010	X	690	A	\$ 7.56	32,646	I	See Footnote (7)

Edgar Filing: WAITE CHARLES P JR - Form 4

Common Stock, par value \$0.001	11/16/2010	F	580	D	\$ 9	32,066	I	See Footnote <u>(7)</u>
Common Stock, par value \$0.001	11/16/2010	X	79	A	\$ 7.56	32,145	I	See Footnote <u>(7)</u>
Common Stock, par value \$0.001	11/16/2010	F	67	D	\$ 9	32,078	I	See Footnote <u>(7)</u>
Common Stock, par value \$0.001	11/16/2010	X	7	A	\$ 7.56	32,085	I	See Footnote <u>(7)</u>
Common Stock, par value \$0.001	11/16/2010	F	6	D	\$ 9	32,079	I	See Footnote <u>(7)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount of Number of Shares
Series A Preferred Stock <u>(1)</u>	<u>(1)</u>	11/16/2010		C	309,433	<u>(8)</u> <u>(9)</u>	Common Stock 309,433
Series A Preferred Stock <u>(1)</u>	<u>(1)</u>	11/16/2010		C	6,313	<u>(8)</u> <u>(9)</u>	Common Stock 6,313
Series B Preferred	<u>(3)</u>	11/16/2010		C	252,521	<u>(8)</u> <u>(9)</u>	Common Stock 252,521

Edgar Filing: WAITE CHARLES P JR - Form 4

Stock ⁽³⁾									
Series B Preferred Stock ⁽³⁾	⁽³⁾	11/16/2010	C	5,150	⁽⁸⁾	⁽⁹⁾		Common Stock	5,150
Series C Preferred Stock ⁽⁴⁾	⁽⁴⁾	11/16/2010	C	173,548	⁽⁸⁾	⁽⁹⁾		Common Stock	173,548
Series C Preferred Stock ⁽⁴⁾	⁽⁴⁾	11/16/2010	C	3,535	⁽⁸⁾	⁽⁹⁾		Common Stock	3,535
Series D Preferred Stock ⁽⁵⁾	⁽⁵⁾	11/16/2010	C	722,185	⁽⁸⁾	⁽⁹⁾		Common Stock	722,185
Series D Preferred Stock ⁽⁵⁾	⁽⁵⁾	11/16/2010	C	9,477	⁽⁸⁾	⁽⁹⁾		Common Stock	9,477
Series E Preferred Stock ⁽⁵⁾	⁽⁵⁾	11/16/2010	C	828,829	⁽⁸⁾	⁽⁹⁾		Common Stock	828,829
Series E Preferred Stock ⁽⁵⁾	⁽⁵⁾	11/16/2010	C	4,767	⁽⁸⁾	⁽⁹⁾		Common Stock	4,767
Warrant to Purchase Common Stock	\$ 1.5	11/16/2010	X	444,591	⁽¹⁰⁾	08/12/2016		Common Stock	444,591
Warrant to Purchase Common Stock	\$ 1.5	11/16/2010	X	3,134	⁽¹⁰⁾	08/12/2016		Common Stock	3,134
Warrant to Purchase Series B Preferred Stock ⁽³⁾	\$ 11.642 ⁽⁶⁾	11/16/2010	J ⁽¹¹⁾	906	⁽¹⁰⁾	02/21/2012		Common Stock	906
Warrant to Purchase Series B Preferred Stock ⁽³⁾	\$ 11.642 ⁽⁶⁾	11/16/2010	J ⁽¹¹⁾	17	⁽¹⁰⁾	02/21/2012		Common Stock	17
		11/16/2010	J ⁽¹¹⁾	237	⁽¹⁰⁾	03/12/2012			237

Edgar Filing: WAITE CHARLES P JR - Form 4

Warrant to Purchase Series B Preferred Stock ⁽³⁾	\$ 11.642 <u>(6)</u>							Common Stock	
Warrant to Purchase Series D Preferred Stock ⁽⁵⁾	\$ 7.56	11/16/2010	X	31,717	<u>(10)</u>	02/13/2014	Common Stock	31,717	
Warrant to Purchase Series D Preferred Stock ⁽⁵⁾	\$ 7.56	11/16/2010	X	647	<u>(10)</u>	02/13/2014	Common Stock	647	
Warrant to Purchase Series D Preferred Stock ⁽⁵⁾	\$ 7.56	11/16/2010	X	33,832	<u>(10)</u>	04/06/2014	Common Stock	33,832	
Warrant to Purchase Series D Preferred Stock ⁽⁵⁾	\$ 7.56	11/16/2010	X	690	<u>(10)</u>	04/06/2014	Common Stock	690	
Warrant to Purchase Series D Preferred Stock ⁽⁵⁾	\$ 7.56	11/16/2010	X	11,258	<u>(10)</u>	06/12/2014	Common Stock	11,258	
Warrant to Purchase Series D Preferred Stock ⁽⁵⁾	\$ 7.56	11/16/2010	X	79	<u>(10)</u>	06/12/2014	Common Stock	79	
Warrant to Purchase Series D Preferred	\$ 7.56	11/16/2010	X	1,088	<u>(10)</u>	08/05/2014	Common Stock	1,088	

Edgar Filing: WAITE CHARLES P JR - Form 4

- (9) The expiration date is not relevant to the conversion of these securities.
- (10) The warrant is immediately exercisable.
- (11) Warrant canceled pursuant to its terms current with closing of Issuer's initial public offering.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.