

NYSE Euronext, Inc.
Form 3
April 03, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â FORD WILLIAM E

(Last) (First) (Middle)

C/O NYSE EURONEXT,Â 11
WALL STREET

(Street)

NEW YORK,Â NYÂ 10005

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/03/2007

3. Issuer Name and Ticker or Trading Symbol
NYSE Euronext, Inc. [NYX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock, par value \$0.01 per share ⁽¹⁾ 5,384,509

I ⁽²⁾

By General Atlantic Partners 77, L.P. (See FN 2)

Common Stock, par value \$0.01 per share ⁽¹⁾ 2,333,995

I ⁽²⁾

By GAP-W Holdings, L.P. (See FN 2)

Common Stock, par value \$0.01 per share ⁽¹⁾ 96,813

I ⁽²⁾

By GapStar, LLC (See FN 2)

Common Stock, par value \$0.01 per share ⁽¹⁾ 450,919

I ⁽²⁾

By GAP Coinvestment Partners II, L.P. (See FN 2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Restricted Stock Units <u>(1)</u>	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock, par value \$0.01 per share	13,948	\$ <u>(3)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FORD WILLIAM E C/O NYSE EURONEXT 11 WALL STREET NEW YORK, NY 10005	X			

Signatures

/s/ C. M. Courtney
under POA

04/03/2007

**Signature of Reporting Person

Date _____

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Acquired in exchange for an equal number of equivalent securities of NYSE Group, Inc. in connection with the consummation on April 4,

- (1) 2007, of the transactions contemplated by the Amended and Restated Combination Agreement dated November 27, 2007 by and among the Issuer, NYSE Group, Inc., Euronext N.V. and Jefferson Merger Sub, Inc.

- (2) 8,266,236 shares of common stock consists of 5,384,509 shares owned by General Atlantic Partners 77, L.P. ("GAP 77"), 2,333,995 shares owned by GAP-W Holdings, L.P. ("GAP-W"), 96,813 shares owned by GapStar, LLC ("GapStar") and 450,919 shares owned by GAP Coinvestment Partners II, L.P. ("GAPCO II"). Mr. Ford is Chief Executive Officer and a Managing Director of General Atlantic LLC ("GA LLC") and a general partner of GAPCO II. GA LLC is the general partner of GAP 77 and GAP-W, and the sole member of GapStar. The general partners of GAPCO II are also Managing Directors of GA LLC. Mr. Ford disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

- (3) Each RSU represents the right to receive one share of the Issuer's common stock upon the Reporting Person's termination of service on the Board of Directors for any reason other than termination for cause.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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