

NYSE Group, Inc.  
Form 4  
January 12, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Putnam Gerald D

(Last) (First) (Middle)

C/O NYSE GROUP, INC., 11  
WALL STREET

(Street)

NEW YORK, NY 10005

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NYSE Group, Inc. [NYX]

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/11/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)

President and Co-COO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	01/11/2007		S	100 <sup>(1)</sup> D	\$ 109.14 813,727	I	See Footnote <sup>(2)</sup>
Common Stock, par value \$0.01 per share	01/11/2007		S	100 <sup>(1)</sup> D	\$ 108.4 813,627	I	See Footnote <sup>(2)</sup>
Common Stock, par	01/11/2007		S	100 <sup>(1)</sup> D	\$ 108.35 813,527	I	See Footnote

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value								(2)
\$0.01 per share								
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 108.25	813,427	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 108.08	813,327	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 108	813,227	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.95	813,127	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.94	813,027	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.89	812,927	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.84	812,827	I	See Footnote (2)
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.8	812,727	I	See Footnote (2)
Common Stock, par value	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.76	812,827	I	See Footnote (2)

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\$0.01 per share								
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.74	812,527	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.64	812,427	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.62	812,327	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.56	812,227	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.51	812,127	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.5	812,027	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.49	811,927	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.33	811,827	I	See Footnote <u>(2)</u>
Common Stock, par value \$0.01 per	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.3	811,727	I	See Footnote <u>(2)</u>

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share									
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 107.05	811,627	I	See Footnote <u>(2)</u>	
Common Stock, par value \$0.01 per share	01/11/2007	S	100 <u>(1)</u>	D	\$ 106.62	811,527	I	See Footnote <u>(2)</u>	
Common Stock, par value \$0.01 per share	01/11/2007	S	115 <u>(1)</u>	D	\$ 106.3	811,412	I	See Footnote <u>(2)</u>	
Common Stock, par value \$0.01 per share	01/11/2007	S	200 <u>(1)</u>	D	\$ 107.42	811,212	I	See Footnote <u>(2)</u>	
Common Stock, par value \$0.01 per share	01/11/2007	S	200 <u>(1)</u>	D	\$ 107.35	811,012	I	See Footnote <u>(2)</u>	
Common Stock, par value \$0.01 per share	01/11/2007	S	200 <u>(1)</u>	D	\$ 107.32	810,812 <u>(3)</u>	I	See Footnote <u>(2)</u>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Benef Own Follo Repo
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Disposed  
of (D)  
(Instr. 3,  
4, and 5)

Trans  
(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Putnam Gerald D C/O NYSE GROUP, INC. 11 WALL STREET NEW YORK, NY 10005			President and Co-COO	

## Signatures

Cornelius M. Courtney under POA dated 4/27/2006 01/12/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares owned by the Putnam Family Foundation, a charitable organization. Mr. Putnam does not have a pecuniary interest in the Putnam Family Foundation and disclaims beneficial ownership in shares owned by the Putnam Family Foundation.
- (2) Mr. Putnam is the President of the Putnam Family Foundation, a charitable organization. Mr. Putnam does not have a pecuniary interest in the Putnam Family Foundation and disclaims beneficial ownership in shares owned by the Putnam Family Foundation.
- (3) Represents 738,858 shares held through GSP, LLC (Mr. Putnam owns a controlling interest in GSP, LLC), 57,908 shares held through Leicester Enterprises, LLC (Mr. Putnam owns a controlling interest in Leicester Enterprises, LLC) and 14,046 shares that GSP, LLC gifted to the Putnam Family Foundation.

### Remarks:

The sales of shares reported on this Form 4 were made pursuant to a selling plan, dated November 30, 2006, intended to complete

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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