Gottschalk Keith Form 4 January 02, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Number: January 31, Expires: 2005

0.5

OMB APPROVAL

Estimated average response...

5. Relationship of Reporting Person(s) to

Issuer

burden hours per

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

(Print or Type Responses)

Gottschalk Keith

1. Name and Address of Reporting Person *

				OLD SECO	OND BA	NCORP	INC		(Check	all applicable)
	(Last)	` , , , ,	iddle)	3. Date of Ea (Month/Day/		saction			Director X Officer (give the		Owner r (specify
37 S. RIVER ST.			05/11/2018					EVP			
		(Street)		4. If Amenda Filed(Month/I		Original			Individual or Join	nt/Group Filin	g(Check
	AURORA, IL	60506		T HOO(MINIME	suyi Teur)			_2	X_ Form filed by On Form filed by Mo erson		
	(City)	(State) (Z	Zip)	Table I	- Non-Der	ivative Sec	curitie	s Acquir	red, Disposed of,	or Beneficiall	y Owned
	1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Execu any	eemed tion Date, if h/Day/Year)	Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Bancorp, Inc. Common Stock	12/31/2018			A	1	A	<u>(2)</u>	1,107	I	401-K
	Old Second Bancorp, Inc. Common Stock	05/11/2018			S	500 (3)	D	\$ 14.65	20,783.054 (1)	D	
	Old Second Bancorp, Inc.Common Stock								375	I	Profit Sharing

Edgar Filing: Gottschalk Keith - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Da	ate	Amou	int of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)]
	Derivative				Securities			(Instr.	3 and 4)		(
	Security				Acquired						J
					(A) or]
					Disposed						7
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	Title	or Number		
						Exercisable	Date	Title	of		
				Code V	(A) (D)						
				Code v	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships
Reporting Owner Name / Address	

Director 10% Owner Officer Other

Gottschalk Keith

37 S. RIVER ST. EVP

AURORA, IL 60506

Signatures

/s/ Keith

Gottschalk 12/31/2018

**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Included in this total are 16,729 shares of restricted stock units, 36.054 restricted stock equivalents and 4,018 shares held in a brokerage account.
- (2) Does not apply.
- (3) These shares were sold through a broker account and inadvertently missed during previous filings.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2