

CARLISLE COMPANIES INC

Form 4

February 12, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
KOCH D CHRISTIAN

(Last) (First) (Middle)

**C/O CARLISLE COMPANIES
INCORPORATED, 11605 NORTH
COMMUNITY HOUSE ROAD**

(Street)

CHARLOTTE, NC 28277

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
**CARLISLE COMPANIES INC
[CSL]**

3. Date of Earliest Transaction
(Month/Day/Year)
02/10/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
CEO & President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	02/10/2016		M		15,309	A	\$ 34.23	110,872	D	
Common Stock	02/10/2016		S		15,309	D	\$ 86.2 ₍₁₎	95,563	D	
Common Stock	02/10/2016		M		2,482	A	\$ 38.31	98,045	D	
Common Stock	02/10/2016		S		2,482	D	\$ 86.84 ₍₂₎	95,563	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Common Stock Options (3)	\$ 34.23	02/10/2016		M	15,309	(4) 01/31/2018	Common Stock	15,309
Common Stock Options (3)	\$ 38.31	02/10/2016		M	2,482	(5) 02/02/2021	Common Stock	2,482

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KOCH D CHRISTIAN C/O CARLISLE COMPANIES INCORPORATED 11605 NORTH COMMUNITY HOUSE ROAD CHARLOTTE, NC 28277	X		CEO & President	

Signatures

/s/ D. Christian Koch by Steven J. Ford,
attorney-in-fact

02/12/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction was executed in multiple trades at prices ranging from \$86.05 to \$86.36. The price reported above reflects the weighted average sales price. Mr. Koch hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request,

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full information regarding the number of shares sold at each separate price.

This transaction was executed in multiple trades at prices ranging from \$86.36 to \$87.03. The price reported above reflects the weighted average sales price. Mr. Koch hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

- (3) Right to buy.
- (4) 10,000 options exercisable on each of February 1, 2008, February 1, 2009 and February 1, 2010, cumulatively.
- (5) 5,823 options exercisable on each of February 3, 2012 and February 3, 2013, 5,824 options exercisable on February 3, 2014, cumulatively.
- (6) The transaction is the exercise of a derivative security.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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