

AMERIPRISE FINANCIAL INC
 Form 4
 November 26, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Maglaque Charles Neal

2. Issuer Name and Ticker or Trading Symbol
 AMERIPRISE FINANCIAL INC
 [AMP]

5. Relationship of Reporting Person(s) to Issuer
 (Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
 Pres. Advice & Wealth Mgt, COO

(Last) (First) (Middle)
 1098 AMERIPRISE FINANCIAL CENTER
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 11/25/2014

MINNEAPOLIS, MN 55474

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	11/25/2014		M		6,286 A \$ 52.86	21,680 ⁽¹⁾	D
Common Stock	11/25/2014		M		1,342 A \$ 37.23	23,022	D
Common Stock	11/25/2014		F		5,365 D \$ 132.17	17,657	D
Common Stock	11/25/2014		S		8,263 D \$ 131.588	9,394	D
					⁽²⁾	348.73	I

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Common
Stock

By 401(k)
Plan ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 52.86	11/25/2014		M	6,286	⁽⁴⁾ 01/29/2018	Common Stock	6,286
Employee Stock Option (right to buy)	\$ 37.23	11/25/2014		M	1,342	⁽⁴⁾ 02/09/2020	Common Stock	1,342

							Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
							Code	V	(A)	(D)	
Employee Stock Option (right to buy)	\$ 52.86	11/25/2014		M	6,286	⁽⁴⁾ 01/29/2018			Common Stock	6,286	
Employee Stock Option (right to buy)	\$ 37.23	11/25/2014		M	1,342	⁽⁴⁾ 02/09/2020			Common Stock	1,342	

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Maglaque Charles Neal
1098 AMERIPRISE FINANCIAL CENTER
MINNEAPOLIS, MN 55474

Pres. Advice & Wealth Mgt, COO

Signatures

/s/ Thomas R. Moore for Charles Neal
Maglaque

11/26/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The balance reflects a reduction of 106 shares as a correcting adjustment.

(2) Reflects the weighted average price of 8,263 shares of common stock of Ameriprise Financial, Inc. sold by the reporting person in multiple transactions on November 25, 2014 with sale prices ranging from \$131.38 to \$132.12 per share. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

(3) Estimate of the number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise Financial 401(k) plan as of November 25, 2014. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of Ameriprise stock.

(4) Fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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