

HORIZON BANCORP /IN/
Form SC 13G/A
February 13, 2014

SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. 2)*

Horizon Bancorp
(Name of Issuer)

Common Stock
(Title of Class of Securities)

440407104
(CUSIP Number)

December 31, 2013
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☒ Rule 13d-1(b)

☐ Rule 13d-1(c)

☐ Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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CUSIP No. 440407104

NAME OF REPORTING PERSON

1

Manulife Financial Corporation

CHECK THE APPROPRIATE BOX IF A MEMBER OF A
GROUP* (a) £

2

(b) £

N/A

SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Canada

SOLE VOTING POWER

Number of **5**

Shares -0-
SHARED VOTING POWER

Beneficially **6**

Owned by -0-
Each SOLE DISPOSITIVE POWER

Reporting **7**

Person -0-
SHARED DISPOSITIVE POWER

With **8**

-0-

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

None, except through its indirect, wholly-owned subsidiaries, Manulife Asset Management (North America) Limited and Manulife Asset Management (US) LLC

CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*

10

N/A

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

11

See line 9 above.

TYPE OF REPORTING PERSON*

12

HC

***SEE INSTRUCTIONS**

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CUSIP No. 440407104

NAME OF REPORTING PERSON

1

Manulife Asset Management (North America) Limited
CHECK THE APPROPRIATE BOX IF A MEMBER OF A
GROUP* (a) £

2

(b) £

N/A
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Canada
SOLE VOTING POWER

Number of **5**

Shares 3,889
SHARED VOTING POWER

Beneficially **6**

Owned by -0-
Each SOLE DISPOSITIVE POWER

Reporting **7**

Person 3,889
SHARED DISPOSITIVE POWER

With **8**

-0-

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

3,889

10 CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*

N/A

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

11

0.04%

TYPE OF REPORTING PERSON*

12

IA

***SEE INSTRUCTIONS**

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CUSIP No. 440407104

NAME OF REPORTING PERSON

1

Manulife Asset Management (US) LLC
CHECK THE APPROPRIATE BOX IF A MEMBER OF A
GROUP* (a) £

2

(b) £

N/A
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware
SOLE VOTING POWER

Number of **5**

Shares 484,696 (comprising of 5,524 common stock and 479,172 shares issuable upon exercise of warrants)
SHARED VOTING POWER

Beneficially **6**

Owned by -0-
Each SOLE DISPOSITIVE POWER

Reporting **7**

Person 484,696 (comprising of 5,524 common stock and 479,172 shares issuable upon exercise of warrants)
SHARED DISPOSITIVE POWER

With **8**

-0-
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

484,696 (comprising of 5,524 common stock and 479,172 shares issuable upon exercise of warrants)
10 CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*

N/A

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

11

5.57% (comprising of 5,524 common stock and 479,172 shares issuable upon exercise of warrants)
TYPE OF REPORTING PERSON*

12

IA

***SEE INSTRUCTIONS**

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- Item 1(a) Name of Issuer:
Horizon Bancorp
- Item 1(b) Address of Issuer's Principal Executive Offices:
515 Franklin Square
Michigan City, Indiana 46360
- Item 2(a) Name of Person Filing:
This filing is made on behalf of Manulife Financial Corporation ("MFC") and MFC's indirect, wholly-owned subsidiaries, Manulife Asset Management (North America) Limited ("MAM (NA)") and Manulife Asset Management (US) LLC ("MAM (US)").
- Item 2(b) Address of Principal Business Office:
The principal business offices of MFC and MAM (NA) are located at 200 Bloor Street East, Toronto, Ontario, Canada, M4W 1E5.
The principal business office of MAM (US) is located at 197 Clarendon Street, Boston, Massachusetts 02116.
- Item 2(c) Citizenship:
MFC and MAM (NA) are organized and exist under the laws of Canada.
MAM (US) is organized and exists under the laws of the State of Delaware.
- Item 2(d) Title of Class of Securities:
Common Stock
- Item 2(e) CUSIP Number:
440407104
- Item 3 If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

MFC: (g) (X) a parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G).

MAM (NA): (e) (X) an investment adviser in accordance with §240.13d-1(b)(1)(ii)(E).

MAM (US): (e) (X) an investment adviser in accordance with §240.13d-1(b)(1)(ii)(E).

Item 4 Ownership:

(a) Amount Beneficially Owned: MAM (NA) has beneficial ownership of 3,389 shares of Common Stock. MAM (US) has beneficial ownership of 484,696 (5,524 shares of Common Stock and 479,172 shares issuable upon exercise of warrants). Through its parent-subsidary relationship to MAM (NA) and MAM (US),

MFC may be deemed to have beneficial ownership of these same shares.

(b) Percent of Class: Of the 8,695,721 shares outstanding as of November 7, 2013 according to the issuer's Quarterly Report filed on Form 10-Q for the quarterly period ended September 30, 2013, MAM (NA) held 0.04% and MAM (US) held 5.57% (including 479,172 shares issuable upon exercise of warrants).

(c) Number of shares as to which the person has:

(i) sole power to vote or to direct the vote:

MAM (NA) and MAM (US) each has sole power to vote or to direct the voting of the shares of Common Stock beneficially owned by each of them.

(ii) shared power to vote or to direct the vote: -0-

(iii) sole power to dispose or to direct the disposition of:

MAM (NA) and MAM (US) each has sole power to dispose or to direct the disposition of the shares of Common Stock beneficially owned by each of them.

(iv) shared power to dispose or to direct the disposition of: -0-

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Item
5 Ownership of Five Percent or Less of a Class:

Not applicable.

Item
6 Ownership of More than Five Percent on Behalf of Another Person:

Not applicable.

Item
7 Identification and Classification of the Subsidiary which Acquired the Security Being Reported on by the Parent Holding Company or Control Person:

See Items 3 and 4 above.

Item
8 Identification and Classification of Members of the Group:

Not applicable.

Item
9 Notice of Dissolution of Group:

Not applicable.

Item
10 Certification:

By signing below the undersigned certifies that, to the best of its knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of its knowledge and belief, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

Manulife Financial Corporation

By: /s/ Kenneth G. Pogrín

Name: Kenneth G. Pogrín

Dated: February 10, 2014 Title: Attorney in Fact*

**Manulife Asset Management (North America)
Limited**

By: /s/ Kenneth G. Pogrín

Name: Kenneth G. Pogrín

Dated: February 10, 2014 Title: General Counsel and Secretary

Manulife Asset Management (US) LLC

By: /s/ William E. Corson

Name: William E. Corson

Dated: February 10, 2014 Title: Vice President and Chief Compliance Officer

* Signed pursuant to a Power of Attorney dated January 17, 2008 included as an Exhibit to Schedule 13G filed with the Securities and Exchange Commission by Manulife Financial Corporation on January 24, 2008.

EXHIBIT A

JOINT FILING AGREEMENT

Manulife Financial Corporation, Manulife Asset Management (North America) Limited and Manulife Asset Management (US) LLC agree that the Schedule 13G (Amendment No. 2) to which this Agreement is attached, relating to the Common Stock of Horizon Bancorp, is filed on behalf of each of them.

Manulife Financial Corporation

By: /s/ Kenneth G. Pogrín

Name: Kenneth G. Pogrín

Dated: February 10, 2014 Title: Attorney in Fact*

**Manulife Asset Management (North America)
Limited**

By: /s/ Kenneth G. Pogrín

Name: Kenneth G. Pogrín

Dated: February 10, 2014 Title: General Counsel and Secretary

Manulife Asset Management (US) LLC

By: /s/ William E. Corson

Name: William E. Corson

Dated: February 10, 2014 Title: Vice President and Chief Compliance Officer

* Signed pursuant to a Power of Attorney dated January 17, 2008 included as an Exhibit to Schedule 13G filed with the Securities and Exchange Commission by Manulife Financial Corporation on January 24, 2008.

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