Edgar Filing: NAVIDEA BIOPHARMACEUTICALS, INC. - Form 3

NAVIDEA BIOPHARMACEUTICALS, INC.

Form 3 July 20, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

3235-0104 Number: January 31,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

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(Print or Type Responses)

1. Name and Address of Reporting Person *

A Tomblyn Michael

(Last) (First) Statement

(Month/Day/Year)

07/02/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

NAVIDEA BIOPHARMACEUTICALS, INC. [NAVB]

(Middle)

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

5600 BLAZER

PARKWAY, Â SUITE 200

(Street)

Director _X__ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

SVP, Chief Med. Officer Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

DUBLIN, OHÂ 43017

(State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(City)

(Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

Form:

Ownership Ownership (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Â Common Stock 100,000 (1) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Title

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative Direct (D) Security

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	Date Exercisable	Expiration Date	Amount or Number of Shares			or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(2)	01/01/2025	Common	100,000	\$ 1.89	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting o when I wante / 12 aux ess	Director	10% Owner	Officer	Other		
Tomblyn Michael 5600 BLAZER PARKWAY SUITE 200 DUBLIN, OH 43017	Â	Â	SVP, Chief Med. Officer	Â		

Signatures

William J. Kelly,
attorney-in-fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted stock on 3/3/2015, vesting 25% per year beginning on first anniversary of award date.
- (2) Option vests 25% per year beginning on the first anniversary of date options were awarded (1/1/15).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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