

RICKS CABARET INTERNATIONAL INC

Form 4

June 02, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Anakar Nour-Dean

2. Issuer Name and Ticker or Trading
Symbol

RICKS CABARET
INTERNATIONAL INC [RICK]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3978 SORRENTO VALLEY
DRIVE, SUITE 100

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/28/2014

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

SAN DIEGO, CA US 92121

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	05/28/2014		M		10,000	A	\$ 8.35	10,000	D
Common Stock	05/28/2014		M		11,300	A	\$ 8.78	21,300	D
Common Stock	05/28/2014		S		800	D	\$ 10.5115	20,500	D
Common Stock	05/28/2014		S		10,000	D	\$ 10.513	10,500	D
Common Stock	05/29/2014		S		3,394	D	\$ 10.52	7,106	D

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Common Stock	05/29/2014	S	100	D	\$ 10.525	7,006	D
Common Stock	05/29/2014	S	100	D	\$ 10.58	6,906	D
Common Stock	05/29/2014	S	200	D	\$ 10.53	6,706	D
Common Stock	05/29/2014	S	900	D	\$ 10.51	5,806	D
Common Stock	05/29/2014	S	300	D	\$ 10.5	5,506	D
Common Stock	05/30/2014	S	2,331	D	\$ 10.5	3,175	D
Common Stock	06/02/2014	S	3,175	D	\$ 10.5	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Options	\$ 8.35	05/28/2014		M	10,000	07/02/2013 07/02/2014	Common Stock 10,000
Stock Options	\$ 8.78	05/28/2014		M	11,300	06/27/2013 06/27/2014	Common Stock 11,300

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

X

Anakar Nour-Dean
3978 SORRENTO VALLEY DRIVE
SUITE 100
SAN DIEGO, CA US 92121

Signatures

/s/ Nourdean
Anakar

06/02/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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