UR-ENERGY INC Form 3

# January 10, 2014 **FORM 3**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement UR-ENERGY INC [URG] SMITH ROGER L. (Month/Day/Year) 01/01/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 10758 WEST CENTENNIAL (Check all applicable) ROAD, SUITE 200 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting CFO and CAO Person LITTLETON, COÂ 80127 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Shares D 97,331 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security 3. Title and Amount of 5. 6. Nature of Indirect 2. Date Exercisable and Securities Underlying (Instr. 4) **Expiration Date** Conversion Ownership Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Share Units	(1)	(1)	Common Shares	46,240	\$ <u>(1)</u>	D	Â
Common Share Options(Right to Buy)	(2)	09/02/2014	Common Shares	57,321	\$ 0.9 (6)	D	Â
Common Share Options(Right to Buy)	(2)	03/05/2015	Common Shares	36,891	\$ 0.81 (6)	D	Â
Common Share Options(Right to Buy)	(2)	01/28/2016	Common Shares	109,666	\$ 2.87 (6)	D	Â
Common Share Options(Right to Buy)	(2)	07/07/2016	Common Shares	150,000	\$ 1.57 <u>(6)</u>	D	Â
Common Share Options(Right to Buy)	(2)	09/09/2016	Common Shares	72,061	\$ 1.17 <u>(6)</u>	D	Â
Common Share Options(Right to Buy)	(2)	01/12/2017	Common Shares	99,284	\$ 0.91 (6)	D	Â
Common Share Options(Right to Buy)	(3)	12/07/2017	Common Shares	115,139	\$ 0.76 (6)	D	Â
Common Share Options(Right to Buy)	(4)	04/25/2018	Common Shares	50,403	\$ 0.77 (6)	D	Â
Common Share Options(Right to Buy)	(5)	12/27/2018	Common Shares	77,744	\$ 1.2 <u>(6)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
·	Director	10% Owner	Officer	Other	
	Â	Â	CFO and CAO	Â	
LITTLETON, CO 80127					

## **Signatures**

/s/ Roger L.
Smith

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each Restricted Share Unit ("RSU") entitles the holder to receive delivery of one common share upon satisfaction of the RSU vesting period. RSUs held by the reporting person will vest as follows: 12,411 on January 11, 2014, 14,393 on December 7, 2014, 9,718 on December 27, 2014 and 9,718 on December 27, 2015. At the Issuer's election, outstanding RSUs may be redeemed for cash.

Reporting Owners 2

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- (2) Fully vested and currently exercisable.
- (3) Includes options to purchase 62,175 common shares that are vested and currently exercisable. Remaining options will vest as follows: 25,331 on January 22, 2014 and 27,633 on June 7, 2014.
- (4) Includes options to purchase 16,129 common shares that are vested and currently exercisable. Remaining options will vest as follows: 11,089 on January 24, 2014, 11,088 on June 10, 2014 and 12,097 on October 24, 2014.
- (5) Includes options to purchase 7,774 common shares that are vested and currently exercisable. Remaining options will vest as follows: 17,104 on May 13, 2014, 17,104 on September 27, 2014, 17,103 on February 11, 2015 and 18,659 on June 27, 2015.
- (6) Prices are expressed in Canadian dollars.

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#### **Remarks:**

#### Exhibit List: Exhibit 24.1-Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.