

CorMedix Inc.
Form 4
November 14, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Lefkowitz Steven W

(Last) (First) (Middle)

C/O CORMEDIX INC., 745 ROUTE
202-206, SUITE 303

(Street)

BRIDGEWATER, NJ US 08807

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CorMedix Inc. [CRMD]

3. Date of Earliest Transaction
(Month/Day/Year)
11/13/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Interim Chief Financial Ofcr

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.001 par value per share	11/13/2013		C	42,857 A	\$ 0.35	123,929 D	

Common
Stock,
\$0.001 par
value per
share

100,000 (8) I (1)

10,000 I

Wade
Capital
Corporation
Money
Purchase
Plan

Common
Stock,
\$0.001 par
value per
share

Reporting
Person's
Wife

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Warrant (right to purchase Common Stock)	\$ 3.4375							08/20/2013	03/24/2015	Common Stock, \$0.001 par value per share	5,000
Stock Option (right to buy)	\$ 0.9							(2)	03/20/2023	Common Stock, \$0.001 par value per share	120,000
Stock Option (right to buy)	\$ 0.68							(3)	12/05/2022	Common Stock, \$0.001 par value per share	150,000
Senior Convertible Note (convertible into Common Stock)	\$ 0.35	11/13/2013		C		42,857		11/13/2012	11/13/2013	Common Stock, \$0.001 par value per share	42,857
	\$ 0.4							11/13/2012	11/13/2017		37,500

Warrant (right to purchase Common Stock)						Common Stock, \$0.001 par value per share	
Warrant (right to purchase Common Stock)	\$ 0.4		09/20/2012	09/20/2017		Common Stock, \$0.001 par value per share	87,5
Stock Option (right to buy)	\$ 0.29		<u>(4)</u>	01/06/2022		Common Stock, \$0.001 par value per share	30,0
Stock Option (right to buy)	\$ 1.1		<u>(5)</u>	08/11/2021		Common Stock, \$0.001 par value per share	30,0
Warrant <u>(6)</u>	\$ 3.4375		<u>(7)</u>	03/24/2015		Common Stock, \$0.001 par value per share	19,5

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lefkowitz Steven W C/O CORMEDIX INC. 745 ROUTE 202-206, SUITE 303 BRIDGEWATER, NJ US 08807	X		Interim Chief Financial Ofcr	

Signatures

Alexander M. Donalson by Power of Attorney 11/14/2013

 **Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person beneficially owns these securities through Wade Capital Corporation Money Purchase Plan (an entity for which he has voting and investment control).

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- (2) These options vest quarterly over two years beginning June 13, 2013.
- (3) These options vest as follows: (a) fifty percent (50%) on the date of issuance of the CE Mark certification for Neutrolin in Europe, which occurred on July 5, 2013, and (b) fifty percent (50%) on December 31, 2013.
- (4) The options vest in full on the first anniversary of the date of grant.
- (5) The options vest ratably, one-third of which will vest on each of the grant date, the first anniversary and the second anniversary thereof.
- (6) (Right to buy Common Stock, \$0.001 par value per share)
- (7) The warrants were issued as part of the Company's initial public offering in March 2010 and were exercisable beginning six months after the effective date of the Company's registration statement related thereto.
- (8) The reporting person's Form 4 filed September 23, 2013 mistakenly indicated the total number of securities beneficially owned was 181,072, when in fact the total number of securities beneficially owned is 100,000.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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